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STATE OF VERMONT  
OFFICE OF THE ATTORNEY GENERAL  
109 STATE STREET  
MONTPELIER, VT  
05609-1001

September 18, 2018

Raymond M. DiGuiseppe  
The DiGuiseppe Law Firm, P.C.  
2 N. Front Street, Fifth Floor  
Wilmington, NC 28401

BY ELECTRONIC EMAIL to: [law.rmd@gmail.com](mailto:law.rmd@gmail.com)

Dear Mr. DiGuiseppe:

I write in response to your Vermont Access to Public Records Act request dated September 5, 2018. In that request you seek, for the period of July 30, 2018 to September 5, 2018:

1. [R]ecords and information containing or about any of the following subjects: CodeIsFreeSpeech.com; Firearms Policy Coalition, FPC; Firearms Policy Foundation; FPF; The Calguns Foundation; CGF; California Association of Federal Firearms Licensees; CAL-FFL; Brandon Combs; Gene Hoffman.
2. [R]ecords and information that are or refer to communications with Amazon AWS or Amazon Web Services (including but not limited to communications to or from [ec2-abse@amazon.com](mailto:ec2-abse@amazon.com)) about CodeIsFreeSpeech.com, the subject of "Liberator," or the subject "liberator\_complete.zip."
3. [R]ecords and information containing or about any of the following subjects: Defense Distributed; ghostgunner.net; Shopify; Ghost Gunner; Ghost Gunner 2; CNC; 80% lower.

Enclosed please find potentially responsive records. To reduce costs, we have omitted duplicate copies of potentially responsive records as well as copies of

potentially responsive documents that have been publicly filed in *State of Washington et al. v. United States Dep't of State et al.*, No. 2:18-cv-01115 (W.D. Wash.). All documents that have been filed in that case are available to the public through the federal judiciary's PACER system at <http://www.pacer.gov>.

Additionally, attorney-client communications, attorney work product and/or documents relevant to pending litigation exchanged with other state Attorney General Offices have not been provided. These potentially responsive documents are also exempt from disclosure under 1 V.S.A. § 317(c)(3), (4), and (14).

To the extent you consider that this response constitutes a denial of your request, you may appeal to the Deputy Attorney General. Any appeal should be in writing and addressed to:

Joshua Diamond, Esq.  
Deputy Attorney General  
Office of the Attorney General  
109 State Street  
Montpelier, VT 05609-1001

Please note that the attached documents are being provided at no cost.

Sincerely,

A handwritten signature in black ink, appearing to read 'B. Battles', with a long horizontal flourish extending to the right.

Benjamin D. Battles  
Assistant Attorney General

Enclosures

## Battles, Benjamin

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**From:** Bowers, Todd (ATG) <ToddB@ATG.WA.GOV>  
**Sent:** Thursday, August 2, 2018 8:36 PM  
**To:** Aaron Goldstein; Abigail Wood; Al Gilbert; Andy Saindon; Bart DeLone; Beneski, Kristin (ATG); Battles, Benjamin; Cynthia Hudson; Dana Viola; Eleanor Blume; Elizabeth Wilkins; Eric Haren; Eric Tabor; Henry Kantor; Jacob Campion; Jeff Dunlap; Jennifer Thomson (jthomson@attorneygeneral.gov); Jeremy Feigenbaum; Jerry Coyne; Jimmy Rock; Joanna Lydgate; Jon Miller; Jonathan Goldman; Jones, Zach (ATG); Joseph Rubin; Diamond, Joshua; Kelli Evans; Kim Berger; Kim Massicotte; Laura Stuber; Lauren Sulcove; Mark Beckington; Matt Grove; Maura Murphy Osborne; Michael Field; Nelson Richards; Robyn Bender; Rupert, Jeffrey (ATG); Sam Towell; Scott Kaplan; Sprung, Jeff (ATG); Sripriya Narasimhan; Steven Wu; Williams, Jennah (ATG); Yael Shavit  
**Subject:** FW: State of Washington, et al. v. U.S. Department of State, et al., No. 2:18-cv-1115 (W.D. Wash.)

Apologies for those for whom this will be redundant, but resending as we've just added a number of states. Want to make sure everyone is looped in. Thx.

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**From:** Miller, Jonathan (AGO) <jonathan.miller@state.ma.us>  
**Sent:** Thursday, August 2, 2018 5:29 PM  
**To:** stuart.j.robinson@usdoj.gov  
**Cc:** Sprung, Jeff (ATG) <JeffS2@ATG.WA.GOV>; Bowers, Todd (ATG) <ToddB@ATG.WA.GOV>; Lydgate, Joanna (AGO) <joanna.lydgate@state.ma.us>; Rupert, Jeffrey (ATG) <JeffreyR2@ATG.WA.GOV>; Beneski, Kristin (ATG) <KristinB1@ATG.WA.GOV>; eric.soskin@usdoj.gov  
**Subject:** State of Washington, et al. v. U.S. Department of State, et al., No. 2:18-cv-1115 (W.D. Wash.)

Dear Stuart,

I am writing to follow up your correspondence with Washington's Assistant Attorney General Jeff Sprung dated August 2, 2018.

Following the issuance of a Temporary Restraining Order by the U.S. District Court on Tuesday, the technical data that Defense Distributed had posted on its affiliated websites following its settlement with the Department of State were removed. However, in connection with their removal from the Defense Distributed websites, these files have started to appear on numerous other websites that are easily accessible to the public.

Among the websites on which we have seen these files are: codeisfreespeech.com, fosscad.org, grabcad.com, and free3d.com, and we believe that these items have been posted in a publicly accessible DropBox file, too. Without a doubt, there are other websites that are currently hosting these files, making them available to individuals who cannot lawfully purchase or obtain a firearm in the United States. The Department of State has the authority, and we believe the obligation, to take action to ensure that these data are removed from the internet immediately.

As you know, the distribution, transfer, or offering of access to these technical materials is restricted under Category I of the United States Munitions List (USML) and the International Traffic in Arms Regulations (ITAR). This includes all CAD files or other technical instruction to manufacture three-dimensional (3D) firearms or gun-related parts (including triggers, grips, barrels, receivers, magazines, or munitions) using commercially available 3D printers or computer-numerically-controlled machines. Pursuant to Section 127.1 of ITAR, 22 C.F.R. § 127.1, it is unlawful to grant access to or otherwise disseminate technical data to manufacture or modify any USML Category I defense articles without prior

authorization from the Directorate of Defense Trade Controls. The files previously posted by Defense Distributed remain covered by the USML as a result of the District Court's Temporary Restraining Order.

We ask that the Department of State take immediate steps to ensure compliance with the Arms Export Control Act and ITAR. It is an urgent matter affecting the public safety of all Americans. By no later than 2 p.m. PDT tomorrow (Friday), please advise of what steps the Department of State has taken to address these concerns. Should you have any questions, please do not hesitate to contact me or my colleagues.

Sincerely,

Jonathan B. Miller

Chief, Public Protection and Advocacy Bureau

Office of Massachusetts Attorney General Maura Healey

One Ashburton Place

Boston, MA 02108

617-963-2073 (office)

617-571-5349 (cell)

[Jonathan.Miller@state.ma.us](mailto:Jonathan.Miller@state.ma.us)

**Clark, Charity**

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**From:** Battles, Benjamin  
**Sent:** Tuesday, July 31, 2018 10:10 AM  
**To:** Clark, Charity  
**Subject:** RE: 3D gun case complaint  
**Attachments:** 3D gun case - complaint.pdf

Sorry about that

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**From:** Clark, Charity  
**Sent:** Tuesday, July 31, 2018 10:05 AM  
**To:** Battles, Benjamin <Benjamin.Battles@vermont.gov>  
**Subject:** RE: 3D gun case complaint

Looks like you accidentally attached the Corren case.

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**From:** Battles, Benjamin  
**Sent:** Tuesday, July 31, 2018 9:59 AM  
**To:** Clark, Charity <[Charity.Clark@vermont.gov](mailto:Charity.Clark@vermont.gov)>  
**Subject:** 3D gun case complaint

Benjamin D. Battles  
Solicitor General  
Vermont Attorney General's Office  
802.828.5944  
[benjamin.battles@vermont.gov](mailto:benjamin.battles@vermont.gov)

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7 **UNITED STATES DISTRICT COURT**  
8 **WESTERN DISTRICT OF WASHINGTON**  
9 **AT SEATTLE**

10 STATE OF WASHINGTON; STATE OF  
11 CONNECTICUT; STATE OF MARYLAND;  
12 STATE OF NEW JERSEY; STATE OF NEW  
13 YORK; STATE OF OREGON;  
14 COMMONWEALTH OF  
15 MASSACHUSETTS; COMMONWEALTH  
16 OF PENNSYLVANIA; and the DISTRICT  
17 OF COLUMBIA,

18 Plaintiffs,

19 v.

20 UNITED STATES DEPARTMENT OF  
21 STATE; MICHAEL R. POMPEO, in his  
22 official capacity as Secretary of State;  
23 DIRECTORATE OF DEFENSE TRADE  
24 CONTROLS; MIKE MILLER, in his official  
capacity as Acting Deputy Assistant Secretary  
of Defense Trade Controls; SARAH  
HEIDEMA, in her official capacity as Director  
of Policy, Office of Defense Trade Controls  
Policy; DEFENSE DISTRIBUTED; SECOND  
AMENDMENT FOUNDATION, INC.; AND  
CONN WILLIAMSON,

Defendants.

NO.

COMPLAINT FOR DECLARATORY  
AND INJUNCTIVE RELIEF

1 Plaintiffs the State of Washington, State of Connecticut, State of Oregon, State of  
 2 Maryland, State of New Jersey, State of New York, Commonwealth of Massachusetts,  
 3 Commonwealth of Pennsylvania and the District of Columbia (the “States”) bring this lawsuit  
 4 against Defendants United States Department of State, Michael R. Pompeo, Directorate of  
 5 Defense Controls, Mike Miller, and Sarah Heidema (the “Government Defendants”); as well as  
 6 Defense Distributed, Second Amendment Foundation, Inc., and Conn Williamson.

## 7 I. INTRODUCTION

8 1. This case addresses the threat that downloadable guns, in the form of Computer  
 9 Aided Design (CAD) files for the automated production of firearms using a 3-D printer<sup>1</sup>, will  
 10 imminently be released on the internet, making these weapons available to virtually anyone. 3-D  
 11 printed guns are functional weapons that are often unrecognizable by standard metal detectors  
 12 because they are made out of materials other than metal (e.g., plastic) and untraceable because  
 13 they contain no serial numbers. Anyone with access to the CAD files and a commercially  
 14 available 3-D printer could readily manufacture, possess, or sell such a weapon—even those  
 15 persons statutorily ineligible to possess firearms, including violent felons, the mentally ill and  
 16 persons subject to protection and no-contact orders. This serious threat to the national security  
 17 and to public safety in the State of Washington was caused by the Federal Government’s covert  
 18 and *ultra vires* regulatory about-face, in violation of the Administrative Procedure Act (APA)  
 19 and the Tenth Amendment to the U.S. Constitution. Until recently, the Federal Government  
 20 prohibited the distribution of CAD files for the automated production of 3-D printed weapons  
 21 by including such files on the United States Munitions List (USML) and making them subject to  
 22 the International Traffic in Arms Regulations (ITAR), which are administered by the Directorate  
 23 of Defense Trade Controls (DDTC) within the Department of State. As recently as April of this  
 24 year, the Government’s position was that if such CAD files were distributed via the internet, they

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<sup>1</sup> 3-D printing refers to technology that allows a person to make a three dimensional product using a digital file or software in conjunction with a printer that is directed by the software. See, e.g., <https://3dprinting.com/what-is-3d-printing/> (last visited July 30, 2018).

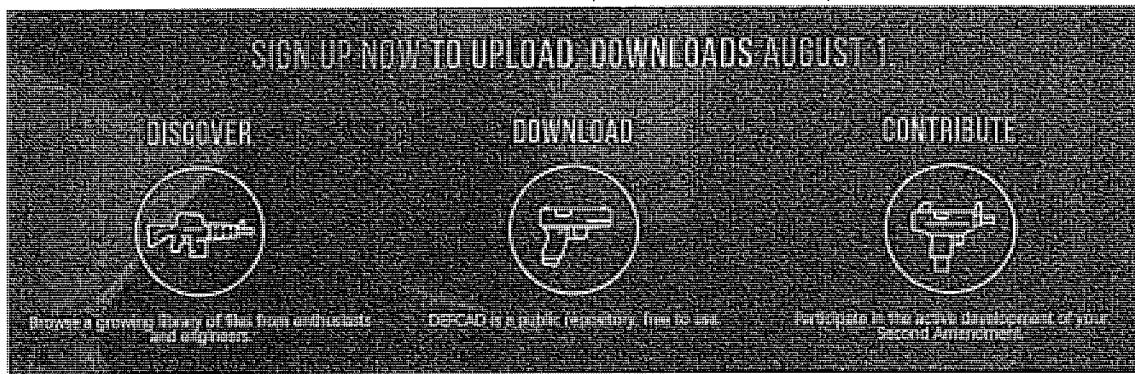
1 could be “easily used overseas to make firearms that are subject to U.S. export controls”, where,  
2 “beyond the reach of U.S. law, they could be used to threaten U.S. national security, U.S. foreign  
3 policy interests, or international peace and stability.”

4 2. In June 2018, however, the Government completely reversed its position on the  
5 dissemination of the CAD files—not publicly or in accordance with a valid administrative  
6 process, but by entering an under-the-radar settlement with a private company known as Defense  
7 Distributed (DD). *Defense Distributed v. U.S. Dept. of State*, 15-CV-372 RP (W.D. Texas).  
8 Defense Distributed’s stated objective is to ensure global, unrestricted access to firearms by  
9 posting its CAD files online so that virtually everyone will have access to a “downloadable gun.”  
10 As part of the Settlement Agreement, the Government promised to: (i) draft and fully pursue a  
11 notice of rulemaking and a final rule to remove the CAD files at issue from ITAR jurisdiction;  
12 (ii) temporarily modify Category I of the USML to exclude the files at issue from ITAR; (iii)  
13 issue a letter to Defense Distributed advising that its files are exempt from ITAR and “approved  
14 for public release (i.e., unlimited distribution)”; and (iv) permit “any United States person” to  
15 “use, reproduce or otherwise benefit from” the files at issue.

16 3. On July 27, 2018, in accordance with the Settlement Agreement, DDTC  
17 published a “Temporary Modification of Category I of the United States Munitions List” that  
18 permits the dissemination of certain CAD files in Defense Distributed’s possession, including  
19 files used to create undetectable and untraceable weapons, as well as a tabletop gun-milling  
20 machine called the “Ghost Gunner.” This deregulation also applies to other files for the  
21 automated production of 3-D printed weapons that may be developed or acquired by Defense  
22 Distributed in the future.  
23  
24



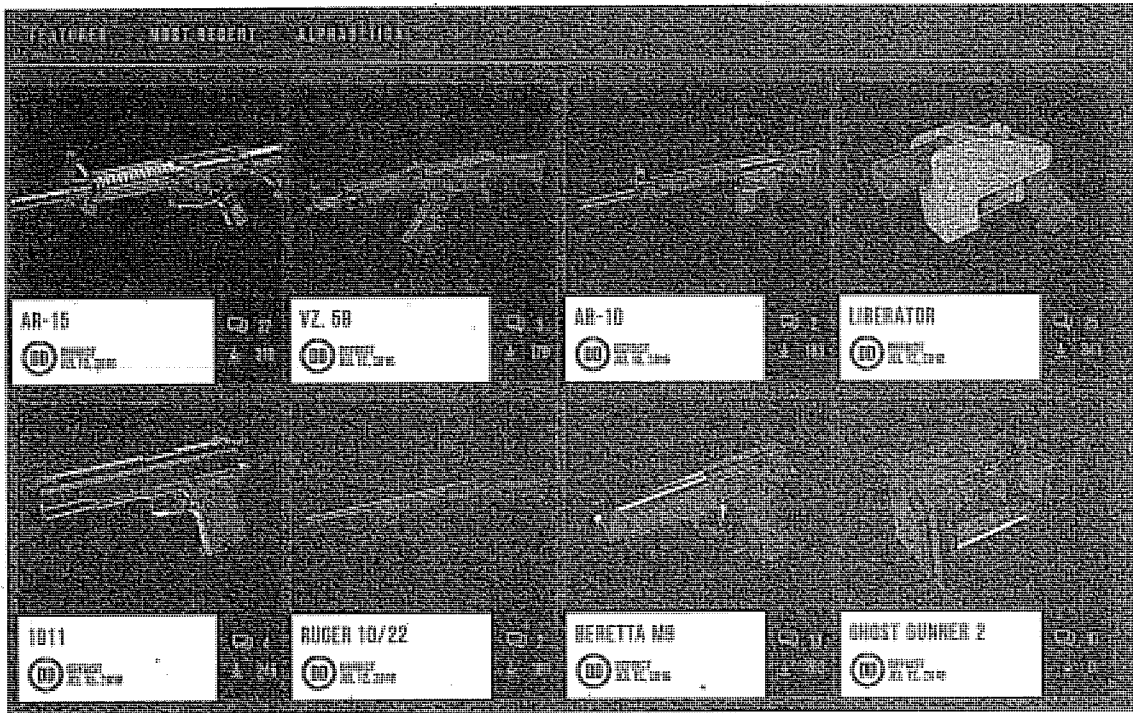
4. Although the Government's deregulation of the CAD files in question is nominally "temporary," it permits Defense Distributed's founder, self-described "crypto-anarchist" Cody Wilson—and anyone else—to immediately disseminate the files by making them available for download via the internet. Wilson and Defense Distributed have announced that they intend to release the files on August 1, 2018. As of that point, the files will be, practically speaking, irretrievable, because they will have been posted on the internet—a bell that cannot be un-rung:



Source: <https://defcad.com> (accessed July 28, 2018).

5. The files that Defense Distributed intends to make available for download as of August 1, 2018 include CAD files that can be used to manufacture a variety of weapons, including AR-15 frames<sup>2</sup> and a 3-D printed pistol known as the "Liberator", as well as a "computer-controlled milling machine" called the "Ghost Gunner," which is designed to allow its owner to carve gun parts out of aluminum:

<sup>2</sup> An AR-15 is a semi-automatic rifle that is the civilian equivalent of the M-16 and the weapon of choice for many mass shooters.



Source: <https://defcad.com> (accessed July 28, 2018).

6. According to news reports,<sup>3</sup> the Defense Distributed website's repository of downloadable-gun files will also include "more exotic DIY semi-automatic weapons." "The relaunched site will be open to user contribution, too; Wilson hopes it will soon serve as a searchable, user-generated database of practically any firearm imaginable." According to Wilson: "What's about to happen is a Cambrian explosion of the digital content related to firearms." Wilson says: "All this Parkland stuff, the students, all these dreams of 'common sense gun reforms'? No. The internet will serve guns, the gun is downloadable . . . No amount of petitions or die-ins or anything else can change that."

<sup>3</sup> Andy Greenberg, *A Landmark Legal Shift Opens Pandora's Box for DIY Guns*, (July 18, 2018) *Wired*, available at <https://www.wired.com/story/a-landmark-legal-shift-opens-pandoras-box-for-diy-guns/>, attached hereto as Ex. 1.

1           7.     The Government entered into the Settlement Agreement in contravention of the  
2 statutes and regulations which govern the export designation process. Among other things, upon  
3 information and belief, the State Department: (i) has not provided the relevant Congressional  
4 committees with the required 30 days' notice to "temporarily" modify the USML or to achieve  
5 the same thing via approval for public release of the information pursuant to 22 C.R.F.  
6 §125.4(b)(13); (ii) has not received the concurrence of the Secretary of Defense to "temporarily"  
7 change the designation of the files at issue; and (iii) has not followed established commodity  
8 jurisdiction procedures before agreeing to "temporarily" exempt the CAD files at issue from  
9 ITAR.

10           8.     The "temporary modification" of USML Category I and approval for public release  
11 of the information pursuant 22 C.F.R. §125.4(b)(13) are especially troubling because it involves  
12 making CAD files available on the internet, which largely overrides the later need to formally  
13 modify the relevant rules. Moreover, the "temporary modification" on its face applies to recently  
14 developed files that the Government has presumably not even seen or evaluated, as well as files  
15 that may be developed in the future.

16           9.     In addition, the Government has acted in an arbitrary and capricious manner, and  
17 has abused its discretion, by (i) failing to consider evidence relevant to ITAR jurisdiction over  
18 the CAD files; (ii) drastically changing long-established practice and policy without any  
19 explanation or sufficient notice; and (iii) failing to study the national- and state-security  
20 implications of exempting the CAD files from ITAR. Upon information and belief, the  
21 Government has made no determination regarding the national security implications of the  
22 agreement, or its effects on sovereign U.S. states' ability to protect the safety of those within  
23 their borders.  
24

1 10. Tellingly, even the notices of proposed rules to amend the ITAR, which the  
2 Departments of State and Commerce published on May 24, 2018, make no mention of the  
3 dangers posed by the files falling into the hands of terrorist organizations, insurgent groups,  
4 transnational organized criminal organizations, or countries subject to the U.S. or U.N. arms  
5 embargoes.

6 11. The Government Defendants' unlawful actions—if allowed to stand—will lead  
7 to the proliferation of untraceable printed guns overseas and within the United States.  
8 Domestically, the proliferation of these guns also threatens to cripple the various States'  
9 extensive and comprehensive systems of firearms regulations designed to keep guns out of the  
10 wrong hands.

11 12. For all these reasons, and others detailed below, the Government Defendants have  
12 violated the Administrative Procedure Act (APA) and the Tenth Amendment of the U.S.  
13 Constitution, which reserves police power to the states. The Plaintiff States seek a declaration  
14 that the “temporary modification” of the USML Category I (which constitutes a final agency  
15 action) is invalid, and an injunction requiring the Government Defendants to rescind the  
16 temporary modification and refrain from acting in a manner inconsistent with such rescission.

## 17 II. JURISDICTION AND VENUE

18 13. This Court has jurisdiction over this matter and the parties hereto pursuant to 28  
19 U.S.C. §§ 1331, 2201, and 2202.

20 14. Venue in this Court is proper pursuant to 28 U.S.C. § 1391(e) because the Plaintiff  
21 is located here and a substantial part of the events or omissions giving rise to the claim occurred  
22 or will imminently occur here. In particular, the dissemination of the CAD files in question will  
23 have an adverse impact on the public safety in the City of Seattle and King County, Washington,  
24 which are located in this district. *See* Declarations of King County Sheriff Mitzi Johanknecht

(attached hereto as Exhibit 2) and Seattle Police Chief Carmen Best (attached hereto as Exhibit 3). Also, Defendant Second Amendment Foundation, Inc. is located in Bellevue, Washington.

### III. PARTIES

15. The States of Washington, Connecticut, Maryland, New Jersey, New York, Oregon, the Commonwealths of Massachusetts and Pennsylvania (Plaintiff States) and the District of Columbia, represented by and through their respective Attorneys General, are sovereign states of the United States of America. The security of the Plaintiff States is threatened by the Government's deregulation of CAD files for the automated production of 3-D printed weapons via the "temporary modification" of the USML Category I. The "temporary modification" is also a direct attack on the State's sovereign power to protect the safety of those within its borders, including the power to enact and enforce laws related to the ownership and use of firearms.

16. Defendant the United States Department of State (State Department) is the executive agency of the United States government responsible for administering and enforcing the ITAR under the authority of the Arms Export Control Act (AECA). The State Department is a party to the Settlement Agreement with Defense Distributed.

17. Defendant Michael R. Pompeo is sued in his official capacity as the Secretary of State. In this capacity, he is responsible for the operation and management of the State Department, including the operation and management of the Directorate of Defense Trade Controls (DDTC) and administration and enforcement of the ITAR. The Secretary of State is a party to the Settlement Agreement with Defense Distributed.

18. Defendant DDTC is a subordinate unit within the Department of State Bureau of Political and Military Affairs responsible for administering and enforcing the ITAR. The DDTC

1 enacted the "temporary modification" of the USML Category I, and is a party to the Settlement  
2 Agreement with Defense Distributed.

3 19. Defendant Mike Miller is sued in his official capacity as the Acting Deputy  
4 Assistant Secretary of Defense Trade Controls. The Acting Deputy Assistant Secretary is a party  
5 to the Settlement Agreement with Defense Distributed.

6 20. Defendant Sarah Heidema is sued in her official capacity as the Director, Office  
7 of Defense Trade Controls Policy. The Director, Office of Defense Trade Controls Policy is a  
8 party to the Settlement Agreement with Defense Distributed.

9 21. Defendant Defense Distributed is a Texas corporation whose headquarters and  
10 principal place of business are located in Austin, Texas. Upon information and belief, Defense  
11 Distributed advertises and sells items over the internet throughout the nation, including in  
12 Washington. Defense Distributed also intends to make available for download from the internet  
13 as of August 1, 2018 the CAD files at issue in this Complaint, and these downloads would be  
14 available in Washington. Defense Distributed is a necessary party as the Settlement Agreement  
15 that it entered into with the other Defendants may be affected by the requested relief, and this  
16 may impede Defense Distributed's interests under that Settlement Agreement.

17 22. Defendant Second Amendment Foundation, Inc. is a non-profit organization  
18 incorporated under the laws of Washington with its principal place of business in Bellevue,  
19 Washington. The Second Amendment Foundation is a necessary party as the Settlement  
20 Agreement that it entered into with the other Defendants may be affected by the requested relief,  
21 and this may impede the Second Amendment Foundation's interests under that Settlement  
22 Agreement.

23 23. Conn Williamson is a citizen of the State of Washington. Mr. Williamson is a  
24 necessary party as the Settlement Agreement that he entered into with the other Defendants may

1 be affected by the requested relief, and this may impede Mr. Williamson's interests under that  
2 Settlement Agreement.

#### 3 IV. ALLEGATIONS

##### 4 A. The Statutory and Regulatory Framework

5 24. The Arms Export Control Act (AECA), 22 U.S.C. § 2751 *et seq.*, authorizes the  
6 President, "[i]n furtherance of world peace and the security and foreign policy of the United  
7 States ... to control the import and the export of defense articles and defense services."  
8 22 U.S.C. § 2778(a)(1). The purpose of the AECA is to reduce the international trade in arms  
9 and avoid destabilizing effects abroad through arms exports. 22 U.S.C. § 2751.

10 25. Under the AECA, "[t]he President is authorized to designate those items which  
11 shall be considered as defense articles and defense services for the purposes of this section and  
12 to promulgate regulations for the import and export of such articles and services." 22 U.S.C. §  
13 2778(a)(1). Items designated as defense articles or services constitute the United States  
14 Munitions List (USML). *Id.* at § 2778(a)(1). Category I of the USML lists articles, services,  
15 and related technical data for "Firearms, Close Assault Weapons and Combat Shotguns."

16 26. Among other things, Category I of the USML includes all firearms up to .50  
17 caliber, and all technical data directly related to such firearms. *See* 22 C.F.R. § 121.1(I)(a).  
18 "Technical data" is information that "is required for the design, development, production,  
19 manufacture, assembly, operation, repair, testing, maintenance or modification of defense  
20 articles." *Id.* § 120.10(a). Technical data includes "information in the form of blueprints,  
21 drawings, photographs, plans, instructions or documentation" . § 120.10.

22 27. As former Director of the Office of Defense Trade Controls Management Lisa V.  
23 Aguirre stated in a 2015 declaration filed in federal court, "the 'technical data' provisions serve  
24 the purpose of limiting the export of detailed information needed to manufacture, maintain, or

1 operate defense articles controlled on the USML. *Defense Distributed v. U.S. Dept. of State*, 15-  
2 CV-372 RP Dkt. 32-1 ¶ 14(d). Such export limitations advance the purposes of the AECA by  
3 limiting the ability of foreign powers to design, develop, and produce defense articles in lieu of  
4 being able to obtain those articles directly. Absent the inclusion of technical data in the ITAR,  
5 the ITAR's limits on arms transfers would be of negligible practical effect because the ITAR  
6 would leave unregulated the exportation of the fundamental technology, know-how, blueprints,  
7 and other design information sufficient for foreign powers to construct, produce, manufacture,  
8 maintain, and operate the very same equipment regulated in its physical form by the ITAR." *Id.*

9 28. Pursuant to Executive Order 13637, the President has delegated his AECA  
10 authority to the State Department. In turn, the State Department has promulgated the ITAR,  
11 which is administered by the DDTC. *See* 22 C.F.R. §§ 120-130. Among other things, the DDTC  
12 is tasked with maintaining, reviewing and clarifying the USML.

13 29. Pursuant to Executive Order 13637, section 1(n), "[d]esignations including  
14 changes in designations, by the Secretary of items or categories that shall be considered as  
15 defense articles and defense services subject to export control under section 38 (22 U.S.C. 2778)  
16 shall have the concurrence of the Secretary of Defense."

17 30. In addition, the Executive Branch must give notice to the International Relations  
18 Committee of the House of Representatives and to the Committee on Foreign Relations of the  
19 Senate at least 30 days in advance of removing an item from the USML. 22 U.S.C. § 2778(f)(1).  
20 Such notification must be made in accordance with the procedures applicable to reprogramming  
21 notifications under section 634A(a) of the Foreign Assistance Act of 1961, 22 U.S.C. § 2394-1.  
22 *Id.*

23 31. Subject to the procedural requirements above and other provisions of AECA,  
24 ITAR allows the Deputy Assistant Secretary for Defense Trade Controls to order the temporary



1 modification of any ITAR regulation. However, it may do so only “in the interest of the security  
2 and foreign policy of the United States”—not merely as an interim measure before a final rule  
3 can be passed. 22 C.F.R. § 126.2.

4 32. For situations where there is doubt that a particular item to be exported falls on  
5 the USML, ITAR contains a commodity jurisdiction (CJ) procedure. 22 C.F.R. § 120.4. Upon  
6 written request, the DDTC will provide a determination as to whether a certain item, service, or  
7 data is within the jurisdiction of ITAR. *Id.*

8 33. As the Director Aguirre explained in her 2015 declaration, the CJ determination  
9 “entails consultation among the Department of State, Defense, Commerce and other U.S.  
10 Government agencies and industry in appropriate cases.” Ex. 4 ¶ 19. Assessments are made on  
11 a case-by-case basis, evaluating whether the article is covered by the USML, is functionally  
12 equivalent to an article on the USML, or has substantial military or intelligence application. A  
13 determination made pursuant to the commodity jurisdiction process takes into account “(i) The  
14 form and fit of the article; and (ii) The function and performance capability of the article.” Ex. 4  
15 ¶ 20.

16 34. 22 C.F.R. § 120.4(f) requires that “State, Defense and Commerce will resolve  
17 commodity jurisdiction disputes in accordance with established procedures. State shall notify  
18 Defense and Commerce of the initiation and conclusion of each case.”

19 **B. The Defense Distributed CAD Files**

20 35. Defense Distributed is a Texas corporation founded by Cody Wilson, a self-  
21 described “crypto-anarchist” who believes that “governments should live in fear of their  
22 citizenry.” His company’s objective is for everyone in the world to have access to guns, and to  
23 make meaningful gun regulation impossible.  
24

1        36. In or around early May 2013, Defense Distributed posted CAD files on  
2 DEFCAD.org, a website it created to serve as an open-source repository for weapons designs,  
3 including software code used to automatically manufacture the "Liberator" pistol. The Liberator  
4 is a plastic firearm which contains 6-oz piece of steel, which can be easily removed, enabling it  
5 to avoid detection in walk-through metal detectors.

6        37. Defense Distributed described these CAD files as "essentially blueprints that can  
7 be read by CAD software." As the Federal Government stated in a court filing in April 2018,  
8 these files are "indispensable to a three-dimensional ('3-D') printing process used to create  
9 firearms and their components." All a user would need to do is connect to a 3-D printer,  
10 download the CAD files, and enter a print command, in order to create a real, functional weapon  
11 within hours or minutes.

12        38. On May 8, 2013, the Office of Defense Trade Controls Compliance, which is  
13 responsible for compliance with and civil enforcement of the AECA and ITAR, sent Defense  
14 Distributed a letter noting that "it is unlawful to export any defense article or technical data for  
15 which a license or written approval is required without first obtaining the required authorization  
16 from the DDTC." That letter is attached hereto as Exhibit 5. The letter explained that "disclosing  
17 (including oral or visual disclosure) or transferring foreign data to a foreign person, whether in  
18 the United States or abroad, is considered an export under § 120.17 of the ITAR." It requested  
19 that Defense Distributed remove ten specific CAD files from public access "immediately" and  
20 advised that Defense Distributed could submit a request for CJ determination for the files.  
21 Defense Distributed submitted a CJ determination request on June 21, 2015.

22        39. Separately, Defense Distributed submitted a CJ determination request for the  
23 "Ghost Gunner," an automated firearms metal milling machine. In April 2015, the DDTC  
24 determined that the Ghost Gunner machine itself was not subject to the jurisdiction of the State

1 Department, but that the “project files and data files for producing a defense article on a 3D  
2 printer or similar device constituted technical data on that defense article that would be subject  
3 to ITAR regulation.”

4 40. The DDTC completed its review of Defense Distributed’s original requests on  
5 June 4, 2015 and determined that six of those files were subject to ITAR control: (i) the  
6 Liberator pistol; (ii) the .22 caliber electric pistol; (iii) the 5.56/.223 muzzle brake; (iv) the  
7 Springfield XD- 40 tactical slide assemble; (v) the sub-caliber insert; and (vi) the VZ-58 front  
8 sight.

9 41. In making its CJ determination, the DDTC noted that the CAD files could be used  
10 to “automatically find, align, and mill” a defense article such as a firearm on a 3-D printer or  
11 other manufacturing device, and that manufacture of a defense article in this way requires  
12 considerably less know-how than manufacture in reliance on conventional technical data, which  
13 merely *guides* the manufacture of a defense article and requires additional craftsmanship, know-  
14 how, tools, and materials.

15 **C. Defense Distributed’s Lawsuit against the Federal Government**

16 42. In May 2015, Defense Distributed sued the Federal Government in a Texas  
17 federal district court, seeking an injunction to prevent the Government from regulating Defense  
18 Distributed’s dissemination of the CAD files: *Def. Distributed v. U.S. Dept. of State*,  
19 15-CV-372 RP (W.D. Texas).

20 43. In defending against that lawsuit, the Government stated it was “particularly  
21 concerned that [the] proposed export of undetectable firearms technology could be used in an  
22 assassination, for the manufacture of spare parts by embargoed nations, terrorist groups, or to  
23 compromise aviation security overseas in a manner specifically directed at U.S. persons.” As  
24 the Government explained, the CAD files “are ‘technical data’ that are regulated by the ITAR

1 because, absent such regulation, providing the CAD designs to a foreign person or foreign  
 2 government would be equivalent to providing the defense article itself, enabling the complete  
 3 circumvention of ITAR's export regulations."

4 44. Along with its opposition to Plaintiffs' preliminary injunction motion, the  
 5 Government submitted an affidavit from Lisa V. Aguirre, who was then the Director of the  
 6 Office of Defense Trade Controls Management. *See* Ex. 4, Dkt. 32-1. Among other things,  
 7 Director Aguirre stated that: (i) "[t]he 'Liberator' firearm included in DD's CAD designs  
 8 presents a specific and unique risk to the national security and foreign policy interests of the  
 9 United States"; (ii) making the CAD files available online would provide terrorist organizations  
 10 with firearms, which could be used against the United States or its allies; and (iii) "[a]ccess to  
 11 weapons technology coupled with the uncontrolled ubiquitous means of productions . . . could  
 12 contribute to armed conflict, terrorist or criminal acts, and seriously undermine global export  
 13 control and non-proliferation regimes designed to prevent the dangerous and destabilizing spread  
 14 and accumulation of weapons and related technologies." Ex. 4 at ¶ 35(c).

15 45. The federal district court accepted the Government's arguments and declined to  
 16 preliminarily enjoin the Government's regulation of the CAD files. In doing so, the court found  
 17 that "[f]acilitating global access to firearms undoubtedly increases the possibility of outbreak or  
 18 escalation of conflict." *Def. Distributed v. U.S. Dep't of State*, 121 F. Supp. 3d 680, 691  
 19 (W.D. Tex. 2015).

20 46. On appeal, the Fifth Circuit affirmed the district court's refusal to enjoin the  
 21 Government's enforcement efforts, focusing on both the national security implications of the  
 22 CAD files and the permanent nature of the internet:

23 Even if Plaintiffs—Appellants eventually fail to obtain a permanent injunction, the  
 24 files posted in the interim [if a preliminary injunction issued] would remain online  
 essentially forever, hosted by foreign websites such as the Pirate Bay and freely

1 available worldwide . . . *Because those files would never go away*, a preliminary  
 2 injunction would function, in effect, as a permanent injunction as to all files  
 released in the interim. *Thus, the national defense and national security interest*  
 3 *would be harmed forever.*

4 *Def. Distributed v. United States Dep't of State*, 838 F.3d 451, 461 (5th Cir. 2016) (emphasis  
 added).

5 47. On January 8, 2018, the Supreme Court denied Defense Distributed's petition for  
 6 a writ of certiorari. *Def. Distributed v. Dep't of State*, 138 S. Ct. 638 (2018).

7 48. After the district court lifted the stay of proceedings that had been imposed  
 8 pending the above-referenced appeals, the Government in April 2018 moved to dismiss Defense  
 9 Distributed's complaint, arguing that the CAD files at issue "can unquestionably facilitate the  
 10 creation of defense articles abroad" and that "the Department of State has consistently and  
 11 reasonably concluded that it is not possible to meaningfully curtail the overseas dissemination  
 12 of arms if unfettered access to technical data essential to the production of those arms is  
 13 permitted." If the Government were not permitted to regulate the dissemination of the CAD  
 14 files, it argued, "they could be used to threaten U.S. national security, U.S. foreign policy  
 15 interests, or international peace and stability."

16 49. Mere weeks after the Government moved to dismiss, Wilson and Defense  
 17 Distributed abruptly announced that their case had settled. According to news reports, "the  
 18 government surprised the plaintiffs by suddenly offering them a settlement with essentially  
 19 everything they wanted."<sup>4</sup> On July 27, 2018, the parties filed a stipulation of dismissal with  
 20 prejudice.

#### 21 **D. The Government's Settlement Agreement with Defense Distributed**

22 50. The Settlement Agreement was apparently finalized in April 2018, but was not  
 23 executed by the parties until June 29, 2018, and was not made public until July 10, 2018. A true

24 <sup>4</sup> Exhibit 1

1 and correct copy of the Settlement Agreement, which is published on DDTC's website  
2 (<https://www.pmdtdc.state.gov>), attached hereto as Exhibit 6.

3 51. Pursuant to Paragraph 1 of the Settlement Agreement, the Government  
4 Defendants have committed to:

5 a. "draft and . . . fully pursue, to the extent authorized by law (including the  
6 Administrative Procedure Act), the publication in the Federal Register of a notice of  
7 proposed rulemaking and final rule, revising USML Category I to exclude the technical  
8 data that is the subject of the [Defense Distributed] Action";

9 b. "announce[ ], while the above-referenced rule is in development, . . . a  
10 temporary modification, consistent with [ITAR], of USML Category I to exclude the  
11 technical data that is the subject of the Action . . . on or before July 27, 2018";

12 c. "issu[e] . . . a letter to Plaintiffs on or before July 27, 2018, signed by the  
13 Deputy Assistant Secretary for Defense Trade Controls, advising that the Published Files,  
14 Ghost Gunner Files, and CAD Files<sup>5</sup> are approved for public release (i.e., unlimited  
15 distribution) in any form and are exempt from the export licensing requirements of the  
16 ITAR"; and

17 d. "acknowledge[ ] and agree[ ] that the temporary modification of USML  
18 Category I permits any United States person . . . to access, discuss, use, reproduce, or  
19 otherwise benefit from the technical data that is the subject of the Action, and that the  
20  
21

---

22 <sup>5</sup> These terms are defined as follows, by reference to Defense Distributed's complaint:

- 23 • "Published Files": "technical information regarding a number of gun-related items, including a  
24 trigger guard, grips, two receivers, a magazine for AR-15 rifles, and a handgun".
- "Ghost Gunner Files": "files containing technical information on a machine, named the "Ghost Gunner," that can be used to manufacture a variety of items, including gun parts".
- "CAD Files": files which Defense Distributed has made requests to the Department of Defense Office of Prepublication Review and Security for prepublication review since September 2, 2014.

1 letter to Plaintiffs permits any such person to access, discuss, use, reproduce or otherwise  
2 benefit from the Published Files, Ghost Gunner Files, and CAD Files.”

3 52. Importantly, Paragraphs 1(a), (b), and (d) of the Settlement Agreement apply to  
4 “the technical data that is the subject of the Action,” which is defined to include “Other Files,”  
5 i.e., those that “Defense Distributed has and will continue to create and possess . . . that contain  
6 technical information, to include design drawings, rendered images, written manufacturing  
7 instructions.” In other words, they include existing files that the Government presumably has not  
8 seen or evaluated, as well as files that may be created or acquired by Defense Distributed in the  
9 future.

10 53. There is no indication in the Settlement Agreement (or elsewhere) that any  
11 analysis, study or determination was made by the Government Defendants, in consultation with  
12 other agencies, before the Government agreed to remove the CAD Files from the USML  
13 Category I. In fact, the Settlement Agreement states that it “does not reflect any agreed-upon  
14 purpose other than the desire of the Parties to reach a full and final conclusion of the Action, and  
15 to resolve the Action without the time and expense of further litigation.” Ex. 6 ¶ 5.

16 54. Upon information and belief, neither the House Committee on Foreign Relations  
17 nor the Senate Committee on Foreign Relations received the required 30 days’ advance notice  
18 of the “temporary modification” referenced in Paragraphs 1(b) or (d) of the Settlement  
19 Agreement. The temporary modification went into effect on July 27, 2018, without providing  
20 any such notice to Congress.

21 55. In addition, there is no indication in the Settlement Agreement (or elsewhere) that  
22 the Secretary of Defense has concurred in the changes to designation to which the Government  
23 Defendants committed, as required by Executive Order 13637. There is also no indication that  
24

1 the Government Defendants have followed the established procedures for making a CJ  
2 determination before allowing Defense Distributed to disseminate its CAD files.

3 56. Since the Settlement Agreement became public, Cody Wilson and Defense  
4 Distributed have repeatedly and adamantly claimed that the “temporary modification” pursuant  
5 to the Settlement Agreement will effectively negate all gun violence prevention efforts. Among  
6 other things, Wilson tweeted a photo of a tombstone announcing the death of “gun control,” and  
7 stated: “All this Parkland stuff, the students, all these dreams of ‘common sense gun reforms’?  
8 No. The internet will serve guns . . . No amount of petitions or die-ins or anything else can  
9 change that.” *See* Ex. 1.

10 **E. The Government’s Actions in Accordance with the Settlement Agreement**

11 57. On May 24, 2018, as promised, the Government published notices of proposed  
12 rulemaking by the State and Commerce Departments, which would remove Plaintiffs’ CAD files  
13 from the USML Category I. *See* International Traffic in Arms Regulations: U.S. Munitions List  
14 Categories I, II, and II, 83 Fed. Reg. 24,198 (May 24, 2018); Control of Firearms, Guns,  
15 Ammunition and Related Articles the President Determines No Longer Warrant Control Under  
16 the United States Munitions List (USML), 83 Fed. Reg. 24,166 (May 24, 2018).

17 58. According to the Department of State’s Notice of Proposed Rule, it “is engaged  
18 in an effort to revise the U.S. Munitions List so that its scope is limited to those defense articles  
19 that provide the United States with a critical military or intelligence advantage or, in the case of  
20 weapons, are inherently for military end use.” According to the State Department, the articles  
21 that would be removed from the list “do not meet this standard.” For this reason, the notice  
22 proposes to remove all non-automatic firearms up to .50 caliber (and any related technical data)  
23 from the USML under the jurisdiction of the State Department, and move jurisdiction over these  
24



1 products over to the Commerce Department, which, due to its looser export controls,<sup>6</sup> do not  
2 typically take action to prohibit the publication of the data.

3 59. The Department of Commerce's Proposed Rule, filed the same day, describes  
4 how its Export Administration Regulations (EAR) will apply to items no longer controlled under  
5 the USML. Although the Department of Commerce would not comprehensively restrict the  
6 export of technology related to firearms, it would have authority to impose a restriction on a  
7 case-by-case basis if it determines the export would be contrary to the national security or foreign  
8 policy interests of the United States, the promotion of human rights, or regional stability.  
9 See 15 C.F.R. § 742.6. But the Department of Commerce cannot restrict the export of technology  
10 already in the public domain, including through posting on publicly available sites on the  
11 internet. See 15 C.F.R. §§ 734.3(b)(3), 734.7(a)(4). If the Government Defendants' improper  
12 deregulation of the CAD files at issue is not enjoined, and Defense Distributed makes its  
13 repository of files available online, the Department of Commerce will be unable to make an  
14 independent determination about whether national security or other concerns warrant restricting  
15 the unlimited dissemination of those files in accordance with the EAR.

16 60. The public comment period for both notices concluded on July 9, 2018, the day  
17 before the Settlement Agreement became public.

18 61. On July 27, 2018, as promised, DDTC published a notice on its website entitled  
19 "Temporary Modification of Category I of the United States Munitions List." attached hereto as  
20 Ex. 7. This notice states that "the Acting Deputy Assistant Secretary for Defense Trade Controls  
21 has determined that it is in the interest of the security and foreign policy of the United States to  
22  
23

24 <sup>6</sup> ITAR requires any exporter of items on the USML to register with the State Department, see 22 C.F.R. 122.1(a), but Commerce Department regulations include no similar registration requirement.

temporarily modify United States Munitions List (USML) Category I to exclude” the technical data described in the Settlement Agreement.

62. Upon information and belief, the Government did not actually “determine” that “it is in the interest of the security and foreign policy of the United States” to permit the global dissemination of CAD files that can be used to automatically manufacture undetectable and untraceable weapons. The notion that *removal* of an item from the USML is in the national security interest defies common sense. This statement also contradicts the Settlement Agreement, which provides that the parties’ agreement thereto does not “reflect any agreed-upon purpose other than the desire of the Parties to reach a full and final conclusion of the Action, and to resolve the Action without the time and expense of further litigation.”

63. In sum, the Government’s covert agreement to deregulate the CAD files by way of the Settlement Agreement—which culminated in the enactment of the “temporary modification” on July 27, 2018—are final agency decisions that not only failed to comply with procedural requirements, but that have far-reaching implications for national security and the safety and security of the State and people of Washington.

**F. Adverse Effects on the States’ Public Safety Laws**

64. Each of the States in this matter have extensive and comprehensive statutory and regulatory schemes regarding firearms. The aim of the States’ laws is the same: To protect the public by keeping guns out of the hands of those who should not possess them – minors, convicted felons, the mentally ill, and those subject to protective and no-contact orders. The States’ ability to protect the public will be seriously undermined if the Government’s action is allowed to stand because the Government’s action will allow anyone – including those ineligible to possess firearms – to easily obtain untraceable guns by simply printing them.

1           **1. Washington's Firearms Laws**

2           65. The State of Washington has a comprehensive statutory scheme regulating the  
3 possession, licensing, registration, and use of firearms and dangerous weapons.

4           66. These laws promote public safety by keeping guns out of the hands of those who,  
5 for various reasons, should not have access to them, including minors, persons convicted of  
6 violent felonies, the mentally ill, and persons subject to various protection and no-contact orders.

7           67. As noted, Cody Wilson's express intent is to eviscerate *any* regulation of firearms  
8 by providing to anyone—including the categories of persons just mentioned—the ability to  
9 easily manufacture firearms that can evade metal detectors, are untraceable because they carry  
10 no markings, and shoot bullets that cannot be forensically linked to the gun. The Government  
11 Defendants' unlawful action in removing from the USML CAD files like those Mr. Wilson  
12 intends to disseminate will allow Mr. Wilson and others like him to achieve their dream.

13           68. Indeed, the Government Defendants' unlawful action will effectively cripple  
14 Washington's ability to enforce its firearm and dangerous weapons regulations—to the great  
15 detriment of the public and public safety.

16           69. Washington law prohibits certain persons from obtaining or possessing firearms.  
17 For example, persons cannot possess firearms if they have been convicted or found not guilty by  
18 reason of insanity of crimes including serious felony offenses and certain crimes committed by  
19 one family member against another (e.g., stalking, reckless endangerment, coercion). Wash Rev.  
20 Code §§ 9.41.040(1), (2)(a)(i)-(ii). Persons subject to a variety of protection and no contact  
21 orders are also prohibited from possessing firearms.<sup>7</sup> Wash Rev. Code § 9.41.040(2)(a)(iii).  
22 Persons who have been involuntarily committed for mental health treatment may not possess

23 \_\_\_\_\_  
24 <sup>7</sup> These include sexual assault protection orders (Wash Rev. Code 7.90), stalking protection orders (Wash.  
Rev. Code 7.92), anti-harassment protection orders (Wash Rev. Code 10.14), and domestic violence protection  
orders (RCW 26.50).

1 firearms. Wash Rev. Code § 9.41.040(2)(a)(iv). Finally, persons under the supervision of the  
 2 Washington Department of Corrections cannot possess firearms or ammunition. Wash Rev.  
 3 Code § 9.41.045.

4 70. Washington law also has set up an extensive system of rules to ensure these  
 5 persons cannot buy firearms. For example, a person who applies to buy a pistol from a dealer  
 6 must provide a laundry list of information, including his or her name, residential address, date  
 7 and place of birth, driver's license number or state identification card number, and statement that  
 8 the buyer is eligible under Washington law to possess the gun, as well as a description of the  
 9 gun, including the make, model, caliber and manufacturer's number. Wash Rev. Code §  
 10 9.41.090(5). The dealer cannot deliver the pistol to the buyer, even if he or she is eligible to  
 11 possess the gun, unless the manufacturer's number for the gun is recorded on the application and  
 12 transmitted to the local police chief or sheriff where the buyer lives. *Id.* The dealer must keep a  
 13 record in a book of each pistol sold, including information about the person buying the weapon  
 14 (e.g., name, address, etc.) and the weapon (e.g., caliber, make, model and manufacturer's  
 15 number), and the book must be signed by both the buyer and the dealer in one another's presence.  
 16 Wash Rev. Code § 9.41.110(9)(a). The dealer is also obligated to give to the buyer a copy of a  
 17 pamphlet advising the buyer of legal restrictions on the use of firearms and firearms safety. Wash  
 18 Rev. Code § 9.41.090(5) (year).

19 71. One of the cornerstones of Washington's firearms regulatory structure is the use  
 20 of background checks. Essentially all sales or transfers of firearms in Washington are subject to  
 21 background checks.<sup>8</sup> Wash. Rev. Code § 9.41.113(1). This includes not just sales by dealers, but  
 22 also sales or transfers at gun shows and online. *Id.* Even sales or transfers between unlicensed  
 23

24 <sup>8</sup> The exceptions to this rule are extremely limited (e.g., transfers between immediate family members, antique firearms, to prevent imminent death or great bodily harm, etc.). RCW 9.41.113(4).

parties must be run through a licensed dealer in order to ensure that a background check is completed. Wash Rev. Code § 9.41.113(3). The purpose of the background check is simple and obvious: to ensure that persons prohibited by law from possessing firearms are unable to do so.

72. The Government's "temporary modification" of the USML Category I to permit "any United States person" to "access, discuss, use, reproduce, or otherwise benefit from" CAD files for the automated production of 3-D printed weapons quite literally nullifies the State of Washington's laws prohibiting certain categories of persons from possessing firearms.

73. If the "temporary modification" is left in place, the State of Washington stands to suffer extreme and irreparable harm. Persons ineligible to possess firearms under Washington law will easily be able to obtain downloadable guns that they can produce at home using a 3-D printer. Washington law enforcement will have no means of detecting such weapons using standard equipment such as metal detectors, and no means of tracing such weapons because they have no serial numbers.

74. 3-D printers are widely available to the general public in Washington. For example, Amazon has hundreds of 3-D printers on its website for sale to the public. In addition, such printers are widely accessible at Washington colleges and universities, including the University of Washington in Seattle. *See, e.g.*, <https://itconnect.uw.edu/learn/workshops/3d-printing-consultation/> (University of Washington); <https://vcea.wsu.edu/fiz/3d-printing/> (Washington State University); <https://www.cwu.edu/multimodal-education/3d-printing> (Central Washington University).

75. The dangers posed by the Government's actions that will allow the imminent dissemination of the technology needed to print guns is recognized by two of Washington's preeminent law enforcement officers, Seattle Police Chief Carmen Best and King County Sheriff Mitzi Johanknecht. As Sheriff Johanknecht attests, "Ghost guns are an extreme risk to public

1 safety that would disrupt the ability of law enforcement to conduct, solve and prevent violent  
2 crimes.” Ex. 2 ¶ 8.

3 76. In sum, the Government’s actions are an extreme infringement on the State of  
4 Washington’s sovereign right to enact and enforce its public safety laws.

5 **2. Connecticut’s Firearms Laws**

6 77. Connecticut comprehensively regulates the possession, sale and transfer of all firearms  
7 within and into the state and bans the most dangerous military-style firearms completely. It also regulates  
8 the classes of people who may lawfully possess otherwise lawful firearms and prohibits individual from  
9 possessing firearms who pose the most serious threat to public safety, and in some instances, themselves.

10 **a. Connecticut’s regulation of all lawful firearm owners**

11 78. In Connecticut, people who wish to possess handguns—pistols or revolvers—are  
12 required to have a valid pistol permit; an eligibility certificate to purchase pistols or revolvers; an  
13 eligibility certificate to purchase long guns, or a be a police officer or one of the exemption listed in  
14 law. Not everyone who wishes to have a pistol permit in Connecticut is granted one; he or she must be a  
15 person is a suitable person to receive such permit. Conn. Gen. Stat. Ann. § 29-28. Individuals who wish  
16 to possess a pistol or revolver must satisfy basic safety training requirements. Conn. Gen. Stat. Ann. §  
29-36f(b); Conn. Gen. Stat. § 29-28 (b).

17 **b. Connecticut’s regulation of sale, purchase and transfer of possession**  
18 **of all firearms, even between lawful firearm owners**

19 80. Connecticut closely regulates the sale and transfer of all firearms, even between  
20 lawful firearm owners. In Connecticut, no person, firm or corporation shall sell, deliver or  
21 otherwise transfer any pistol or revolver to any person who is prohibited from possessing a pistol  
22 or revolver. Conn. Gen. Stat. § 29-33(a). The purchaser of a pistol or revolver must have a valid  
23 permit to carry a pistol or revolver. Conn. Gen. Stat. § 29-33(b). Compliance with these  
24 requirements is ensured by requiring all sales or transfers of pistols or revolvers in Connecticut

1 be made through a process established by the Connecticut Department Emergency Services and  
2 Public Protection. Conn. Gen. Stat. § 29-33(c).

3 81. Similarly, Connecticut regulates the sale and transfer of long guns such as rifles  
4 and shotguns. All parties to such transfers must ensure, through a process established by the  
5 Connecticut Department Emergency Services and Public Protection, that the purchaser of the  
6 long gun has a valid long gun eligibility certificate that has not been revoked or suspended. Conn.  
7 Gen. Stat. § 29-36l(f).

8 82. Connecticut regulation also restricts how many firearms a person can sell as year  
9 without becoming a federally licensed firearm dealer or obtaining a permit. Conn. Gen. Stat.  
10 § 29-28.

11 83. Unlike many states, Connecticut's firearm regulations extend to the sales,  
12 transfers or exchanges taking place at "gun shows." Connecticut requires that gun show sellers  
13 obtain an authorization number from the Connecticut Special Licensing and Firearms Unit.  
14 Conn. Gen. Stat. § 29-37g(c).

15 **c. Connecticut's prohibition on possession of a firearm by certain**  
16 **persons**

17 84. Connecticut prohibits certain persons from obtaining or possessing firearms. For  
18 example, persons cannot possess firearms if they have been convicted or found not guilty by  
19 reason of insanity of crimes including serious felony offenses and certain crimes committed by  
20 one family member against another Conn. Gen. Stat. § 53a-217. No person convicted for a  
21 Felony or a Misdemeanor crime of domestic violence involving the use or threatened use of  
22 physical force or a deadly weapon may possess any firearms in Connecticut. Conn. Gen. Stat.  
23 § 29-36f(b); Conn. Gen. Stat. § 29-28 (b).

24 85. The types of crimes that render someone ineligible to possess a firearm in

1 Connecticut are wide ranging and include: 1) illegal possession of narcotics or other controlled  
2 substances; 2) criminally negligent homicide; 3) assault in the third degree ; 4) Assault of a  
3 victim 60 or older in the third degree; 5) threatening; 6) reckless endangerment in the first degree;  
4 7) unlawful restraint in the second degree; 8) riot in the first degree; 9) riot in the second degree;  
5 10) Inciting to riot; 11) stalking in the second degree; or 12) anyone who has been convicted as  
6 delinquent for the commission of a serious juvenile offense, or 13) anyone who has been  
7 discharged from custody within the preceding twenty years after having been found not guilty  
8 of a crime by reason of mental disease or defect; 14) anyone who has been confined in a hospital  
9 for persons with psychiatric disabilities within the preceding sixty months by order of a probate  
10 court; 15) anyone who has been voluntarily admitted to a hospital for persons with psychiatric  
11 disabilities within the preceding six months for care and treatment of a psychiatric disability and  
12 not solely for alcohol or drug dependency; or 15) anyone who is subject to a firearms seizure  
13 order issued pursuant to Connecticut General Statute Section 29-38c after notice and an  
14 opportunity to be heard has been provided to such person; 16) anyone who is an alien illegally  
15 or unlawfully in the United States; 17) anyone who satisfies any of the federal disqualifiers listed  
16 in Title 18 U.S.C Chapter 44. *See* Conn. Gen. Stat. § 29-28(b); Conn. Gen. Stat. § 29-36f(b).

17 86. Connecticut also prohibits a person under the age of 21 years of age from  
18 obtaining a pistol or revolver. Conn. Gen. Stat. § 29-36f(a).

19 **d. Connecticut's regulation of assault weapons and machine guns**

20 87. Connecticut prohibits the possession of an assault weapon or any "part or  
21 combination of parts" that can be readily assembled into an assault weapon, Conn. Gen. Stat.  
22 § 53-202c unless the owner obtained a Certificate of Possession prior to January 1, 2014.  
23 Conn. Gen. Stat. § 53-202d.

24 88. Any Connecticut resident who owns a fully automatic weapon or machine gun is



1 required to complete a state form registering that firearm with Connecticut immediately upon  
2 receiving it, and upon an annual basis. Conn. Gen. Stat. § 53-202(g).

3 89. The Government's "temporary modification" of the USML Category I to permit  
4 "any United States person" to "access, discuss, use, reproduce, or otherwise benefit from" CAD  
5 files for the automated production of 3-D printed weapons quite literally nullifies the State of  
6 Connecticut's laws prohibiting certain categories of persons from possessing firearms.

7 90. If the "temporary modification" is left in place, the State of Connecticut stands to  
8 suffer extreme and irreparable harm. Persons ineligible to possess firearms under Connecticut  
9 law will easily be able to obtain downloadable guns that they can produce at home using a 3-D  
10 printer. Connecticut law enforcement will have no means of detecting such weapons using  
11 standard equipment such as metal detectors, and no means of tracing such weapons because they  
12 have no serial numbers.

13 91. In sum, the Government's actions are an extreme infringement on the State of  
14 Connecticut's sovereign right to enact and enforce its public safety laws.

15 **3. Maryland's Firearms Laws**

16 92. The State of Maryland has one of the most robust firearms regulatory regimes in  
17 the country. For instance, Maryland prohibits certain categories of persons from buying or  
18 possessing a firearm. This includes minors under the age of 21, and persons previously convicted  
19 of certain serious crimes, including crimes of violence. Md. Code Ann., Pub. Safety § 5-133.  
20 Persons who have been involuntarily committed to a mental health facility, or are under the  
21 protection of a court-appointed guardian, or have been found incompetent to stand trial, or are  
22 addicted to a controlled dangerous substance, or are subject to a protective order are all  
23 prohibited from possessing a firearm as well. *Id.*

24 93. Sales and other transfers of firearms in Maryland are extensively regulated to

1 ensure that prohibited persons are unable to obtain a weapon. A person seeking to purchase, rent,  
2 or receive a handgun must first obtain a handgun qualification license. Md. Code Ann., Pub.  
3 Safety § 5-117.1. To obtain such a license, applicants must, among other things, make a sworn  
4 statement that they are not prohibited under federal or State law from possessing a handgun, pass  
5 a fingerprint-based background check, and complete an approved firearms safety training course.  
6 *Id.* Further, a person must submit a firearm application before the person purchases, rents, or  
7 transfers a handgun in Maryland. Md. Code Ann., Pub. Safety §§ 5-117, 5-118. That transaction  
8 must be executed within 90 days of the application's approval and must be reported to the State  
9 Police, including a description of the firearm and its serial number. Md. Code Ann., Pub. Safety  
10 § 5-123. Firearm dealers are required to maintain records of every transaction, including the  
11 name and address of the purchaser, a precise description, including make, model, caliber, and  
12 serial number of each firearm acquired or sold, and the date of sale. Md. Code Ann., Pub. Safety  
13 § 5-145. Further, persons moving to Maryland from out-of-state must register their firearms with  
14 the State Police, which requires the applicant to submit information such as their name, address,  
15 and Social Security number, as well as the make, model, and manufacturer's serial number of  
16 the firearm. Md. Code Ann., Pub. Safety § 5-143.

17 94. Maryland also prohibits the possession of certain types of firearms. Assault  
18 weapons, including assault pistols, may not be bought, possessed, sold, or transported into the  
19 State. Md. Code Ann., Crim. Law § 4-303. Detachable magazines with a capacity of more than  
20 ten rounds of ammunition are also prohibited from being bought, sold, or possessed. Md. Code  
21 Ann., Crim. Law § 4-305.

22 95. Maryland's carefully constructed regulatory regime will be upended if the  
23 Government's action is permitted to stand. Persons currently prohibited from possessing  
24 firearms would be able to easily circumvent Maryland law by simply manufacturing a gun on a

1 3-D printer. The firearms thus produced will be unregistered, unmarked, and virtually  
2 untraceable, directly harming Maryland's interest in a well-regulated firearms market and  
3 potentially leading to an increase in violent crime.

#### 4 4. New Jersey's Firearms Laws

5 96. New Jersey not only has statutes related to the purchase and possession of guns,  
6 but also laws relating to who can manufacture firearms. In New Jersey, under N.J.S.A. 2C:39-9,  
7 it is illegal to manufacture a weapon without being registered or licensed to do so. And N.J.S.A.  
8 2C:39-10 makes it a crime to knowingly violate the regulatory provision relating to the  
9 manufacturing of firearms in N.J.S.A. 2C:58-1, which provides that every manufacturer of  
10 firearms shall register with the proper State authorities. Yet Defense Distributed's codes would  
11 enable individuals to manufacture guns, without a license, using a 3D printer at home, no matter  
12 what state law says – and indeed, founder Cody Wilson has celebrated this result.

13 97. New Jersey also has an extensive system of rules for people purchasing firearms.  
14 A person must obtain a firearms purchaser identification card before purchasing, receiving, or  
15 otherwise acquiring a firearm. Under N.J.S.A. 2C:58-3(c), the following people are prohibited  
16 from obtaining a purchaser identification card, and thus prohibited from purchasing  
17 firearms: those who have been convicted of crimes and disorderly persons offenses involving  
18 acts of domestic violence (N.J.S.A. 2C:58-3(c)(1)); those who are drug dependent (N.J.S.A.  
19 2C:58-3(c)(2)); those who are confined for mental disorders to hospitals, mental institutions or  
20 sanitariums (N.J.S.A. 2C:58-3(c)(2)); those who suffer from a physical defect or disease that  
21 would make it unsafe for him to handle firearms (N.J.S.A. 2C:58-3(c)(3)); those who have been  
22 confined for a mental disorder (N.J.S.A. 2C:58-3(c)(3)); those who are alcoholics and are unable  
23 to produce proof demonstrating that they no longer suffer from that particular disability in a  
24 manner that would interfere with or handicap them in the handling of firearms (N.J.S.A.

2C:58-3(c)(3)); juveniles (N.J.S.A. 2C:58-3(c)(4)); those for whom the issuance of a permit to purchase a handgun or firearms purchaser identification card would not be in the interests of the public health, safety, or welfare (N.J.S.A. 2C:58-3(c)(5)); those who are subject to restraining orders issued pursuant to the "Prevention of Domestic Violence Act" prohibiting them from possessing firearms (N.J.S.A. 2C:58-3(c)(6)); those who were adjudicated delinquent for offenses which, if committed by an adult, would constitute a crime involving the unlawful use or possession of weapons, explosives, or destructive devices (N.J.S.A. 2C:58-3(c)(7)); those who had a firearm seized pursuant to the Prevention of Domestic Violence Act (N.J.S.A. 2C:58-3(c)(8)); and those who are named on the consolidated Terroristic Watchlist maintained by the Terrorist Screening Center administered by the Federal Bureau of Investigation (N.J.S.A. 2C:58-3(c)(9)). And New Jersey bans all assault weapons. N.J.S.A. 2C:39-5(f).

98. Finally, New Jersey law prohibits "certain persons" from purchasing, owning, possessing, or controlling any and all firearms under N.J.S.A. 2C:39-7(b), due to their prior convictions for aggravated assault, arson, burglary, escape, extortion, homicide, kidnapping, robbery, aggravated sexual assault, sexual assault, bias intimidation, endangering the welfare of a child, stalking, or a crime involving domestic violence. Those persons face a mandatory term of imprisonment with at least five years of parole ineligibility if they purchase, own, possess, or control a firearm. N.J.S.A. 2C:39-7(b).

##### 5. New York's Firearms Laws

99. For over a century, in order to promote public safety, New York law has regulated the possession and use of guns and has prohibited certain persons from obtaining or possessing firearms. *See* NY Penal Law §§ 265.00, 265.01, 265.20(a)(3), 400.00; *Kachalsky v. Cty. of Westchester*, 701 F.3d 81, 84 (2012); cert. denied, 133 S. Ct. 1806 (2013). For example, New York licenses the possession of "firearms," which are defined, as a general matter, as any

1 pistol or revolver; a shotgun having one or more barrels less than eighteen inches in length; a  
2 rifle having one or more barrels less than sixteen inches in length; and any assault weapon.  
3 See NY Penal Law §§ 265.01, 265.20(a)(3), 400.00. These measures remain the law today.

4 100. Licenses are limited “to those over twenty-one years of age, of good moral  
5 character, without a history of crime or mental illness, and ‘concerning whom no good cause  
6 exists for the denial of the license.’ ” *Kachalsky v. County of Westchester*, 701 F.3d 81, 86  
7 (quoting PL § 400.00(1).); NY Penal Law §§ 265.00, 265.01, 265.20(a)(3), 400.00. Persons  
8 subject to a variety of protection orders are also prohibited from maintaining licenses. NY Penal  
9 Law § 400.00(1); NY Criminal Procedure Law § 530.14; Family Court Act §842-a.

10 101. Every license application triggers an investigation into the applicant by local law  
11 enforcement, including an investigation into the applicant’s mental health history.  
12 PL § 400.00(4); *Kachalsky*, 701 F.3d at 87. Firearms subject to licensure must be disclosed to  
13 and registered with licensing officials. N.Y. Penal Law § 400.00(7) (mandating that each license  
14 “specify the weapon covered by calibre, make, model, manufacturer’s name and serial number,  
15 or if none, by any other distinguishing number or identification mark . . .”).

16 102. New York has also enacted specific criminal prohibitions on the possession of  
17 rifles and shotguns by certain mentally ill individuals. PL §§ 265.01(6), 265.00(16). Penal Law  
18 § 265.01(6), enacted in 1974, provides that “a person who has been certified not suitable to  
19 possess a rifle or shotgun . . . and refuses to yield possession of such rifle or shotgun upon the  
20 demand of a police officer” is guilty of criminal possession of a weapon in the fourth degree. *Id.*  
21 § 265.01(6). Law enforcement is authorized to take firearms “possessed by such person.”

22 103. New York’s Secure Ammunition and Firearms Enforcement Act of 2013 (SAFE  
23 Act) generally restricts the transfer and possession of “assault weapons”—defined, as a general  
24 matter, as rifles, shotguns, and pistols that are (1) semiautomatic, (2) in the case of a pistol or

1 rifle, able to accept a detachable ammunition magazine, and (3) equipped with at least one feature  
 2 on an enumerated list of military style features. Penal Law § 265.00(22).[1] Possession of a  
 3 prohibited assault weapon constitutes the Class D felony of Criminal Possession of a Weapon in  
 4 the Third Degree. *Id.* § 265.02(7)-(8)<sup>9</sup>.

5 104. The Government's "temporary modification" of the USML Category I to permit  
 6 "any United States person" to "access, discuss, use, reproduce, or otherwise benefit from" CAD  
 7 files for the automated production of 3-D printed weapons quite literally nullifies the New  
 8 York's laws prohibiting certain categories of persons from possessing firearms. If the "temporary  
 9 modification" is left in place, the New York stands to suffer extreme and irreparable  
 10 harm. Persons ineligible to possess firearms under New York law will easily be able to obtain  
 11 downloadable guns that they can produce at home using a 3-D printer. New York law  
 12 enforcement will have no means of detecting such weapons using standard equipment such as  
 13 metal detectors, and no means of tracing such weapons because they have no serial numbers.

#### 14 6. Oregon's Firearms Laws

15 105. Oregon law also limits the availability and manufacture of firearms to protect the  
 16 public safety and in the exercise of its police powers. Or. Rev. St. 166.170(1) provides: "[e]xcept  
 17 as expressly authorized by state statute, the authority to regulate in any matter whatsoever the  
 18 sale, acquisition, transfer, ownership, possession, storage, transportation or use of firearms or  
 19 any element relating to firearms and components thereof, including ammunition, is vested solely  
 20 in the Legislative Assembly." Under this authority, the Oregon Legislature enacted Or. Rev. St.  
 21 166.410, which states that "[a]ny person who manufactures or causes to be manufactured within

22  
 23 <sup>9</sup> The Act does not prohibit possession of any firearm that was lawfully possessed before the law's effective date  
 24 of January 15, 2013. See Penal Law § 265.00(22)(g)(v). Persons who lawfully possessed a banned assault weapon  
 at that time may continue to do so, but must register the weapon with the Superintendent of the State Police. *Id.* §  
 400.00(16-a).]

1 | this state, or who imports into this state, or offers, exposes for sale, or sells or transfers a handgun,  
2 | short-barreled rifle, short-barreled shotgun, firearms silencer or machine gun, otherwise than in  
3 | accordance with [the Oregon statutes] is guilty of a Class B felony.”

4 |       106. Thus, Oregon law prohibits certain persons from obtaining or possessing  
5 | firearms. For example, Oregon law prohibits certain felons, certain individuals under the  
6 | jurisdiction of juvenile court, certain individuals with will mental illnesses and certain persons  
7 | subject to stalking orders from possession firearms. Or. Rev. St. 166.250; Or. Rev. St. 166.255.  
8 | Under Or. Rev. St. 166.470(1), it is unlawful to knowingly and intentionally sell, deliver or  
9 | otherwise transfer a firearm to such persons.

10 |       107. Oregon law also has set up an extensive system of rules to ensure unauthorized  
11 | persons cannot buy firearms. For example, with certain exceptions (for example, transfers to  
12 | family members), only a gun dealer may transfer a firearm. Or. Rev. St. 166.435(2). A person  
13 | who applies to buy a handgun from a dealer must provide valid government identification  
14 | bearing a photograph and date of birth, and the dealer must complete a transaction record with  
15 | the signature of the purchaser. This transaction record much include the federal firearms license  
16 | number of the dealer, the business name of the dealer, the place of transfer, the name of the  
17 | person making the transfer, the make, model, caliber and manufacturer’s number of the handgun  
18 | and the type, the social security number of the purchaser, and the issuer and identification  
19 | number of the identification presented by the purchaser. The dealer must also obtain the  
20 | thumbprints of the prospective purchaser and contact the Department of State Police  
21 | (“Department”) to conduct a criminal background check. Or. Rev. St. 166.412; Or. Rev. St.  
22 | 166.418.

23 |       108. Oregon law also requires a request for a criminal background check to transfer a  
24 | gun at a gun show. Or. Rev. St. 166.433(2); Or. Rev. St. 166.438.

7. **Massachusetts' Firearms Laws**

109. Massachusetts carefully regulates the possession, licensing, and use of firearms and other inherently dangerous weapons. Among the goals of these laws is limiting access to deadly weapons by persons who may inflict harm – be it negligently or intentionally – on themselves or others. These laws also recognize that criminal use of firearms is a significant problem, that guns should be registered and traceable in the event of theft or criminal misuse, and that possession of firearms should be limited to responsible persons who meet all requirements for licensure. *See, e.g., Commonwealth v. Reyes*, 464 Mass. 245, 250 (2013); *Jupin v. Kask*, 447 Mass. 141, 153-154 (2006)

110. Under Massachusetts law,<sup>10</sup> a person may not possess or carry a firearm without obtaining a license from the appropriate licensing authority. Persons may not obtain a license to carry a firearm if they: (1) have committed certain offenses, including violent crimes and laws regulating the use, possession, or sale of a controlled substance; (2) have been committed to a hospital or institution for mental illness, or alcohol or substance misuse, subject to limited exceptions; (3) were younger than 21 years old at the time of submitting an application; (4) are currently subject to an order for suspension or surrender of firearms in connection with an abuse prevention order; (5) have an outstanding arrest warrant in any state or federal jurisdiction; (7) have been dishonorably discharged from the armed forces of the United States; (8) are a fugitive from justice; or (9) have renounced their United States citizenship. M.G.L. c. 140, § 131(d).

111. A licensing authority also may deny a person a license to carry firearms if the licensing authority determines that the person is unsuitable for a license based on: (i) reliable

<sup>10</sup> The Massachusetts-specific allegations contained herein constitute a summary of some of the most relevant provisions of Massachusetts law. It is not an exhaustive or complete list of all relevant statutes, regulations, or other provisions.



1 and credible information that the applicant or licensee has exhibited or engaged in behavior that  
2 suggests that, if issued a license, the applicant or licensee may create a risk to public safety; or  
3 (ii) existing factors that suggest that, if issued a license, the applicant or licensee may create a  
4 risk to public safety. M.G.L. c. 140, § 131(d).

5 112. Anyone who wishes to sell, rent, or lease firearms must apply for and obtain a  
6 license. Such licenses are valid for three years. No license may issue until an investigation into  
7 the applicant's criminal history has been completed. A licensee must record all sales of firearms  
8 to include a complete description of the firearm (including the make and type of firearm) and the  
9 person purchasing the firearm (including the person's sex, residence, and occupation). The police  
10 may inspect the premises of a licensee at all times. M.G.L. c. 140, §§ 122-124. Reports of all  
11 transactions must be made by licensees to Massachusetts's Department of Criminal Justice  
12 Information Services with information that includes the make, model, serial number, caliber,  
13 barrel length, and gun surface finish. 803 C.M.R. 10.00.

14 113. It is unlawful to manufacture a firearm in Massachusetts or to deliver a firearm  
15 to a dealer in Massachusetts without a serial number permanently inscribed on a visible metal  
16 surface of the firearm. M.G.L. 269, § 11E.

17 114. Anyone who purchases or obtains a firearm from any source other than a licensed  
18 dealer must, within seven days of receiving the firearm, report in writing to the Commissioner  
19 of the Massachusetts Department of Criminal Justice Information Services the name and address  
20 of the seller or donor and the buyer or donee, together with a complete description of the firearm,  
21 including the caliber, make, and serial number. M.G.L. c. 140, § 128B.

22 115. Only handguns that meet the safety and performance standards expressed in state  
23 law and regulations, including protection against accidental discharge and explosion upon firing,  
24 may be sold. M.G.L. c. 140D, § 123, clauses 18 to 20. The Secretary of the Massachusetts

1 Executive Office of Public Safety and Security has compiled an approved firearms roster,  
2 pursuant to M.G.L. 140, § 131-3/4 and 501 C.M.R. 7.00.

3 116. It is unlawful to sell, offer for sale, transfer, or possess any weapon, capable of  
4 discharging a bullet or shot, that is not detectable as a weapon or potential weapon by x-ray  
5 machines commonly used at airports or walk-through metal detectors. M.G.L. c. 140, § 131N.

6 117. The sale, transfer, or possession of an "Assault weapon," as defined in M.G.L. c.  
7 140, § 121, is prohibited. M.G.L. c. 140, § 131M.

8 118. All firearms that are used in the commission of a crime must be traced by the  
9 licensing authority for the city or town in which the crime took place. M.G.L. c. 140, § 131Q.

10 **8. Pennsylvania's Firearms Laws**

11 119. Pennsylvania, like the other states, also has a robust system of state firearms laws  
12 designed to keep the public safe and that would be undermined if the Government's action is  
13 allowed to stand. Section 6105 of the Pennsylvania's Firearms Act mandates that any person  
14 who has been convicted of certain enumerated offenses inside or outside of Pennsylvania  
15 "regardless of the length of sentence" or whose conduct meets certain specified criteria "shall  
16 not possess, use, control, sell, transfer or manufacture or obtain a license to possess, use, control,  
17 sell, transfer or manufacture a firearm in this Commonwealth." 18 Pa. C.S. § 6105(a). The  
18 definition of "firearm" in section 6105 "shall include" any weapons which are "designed to or  
19 may readily be converted to" expel any projectile by the action of an explosive or the frame or  
20 receiver of any such weapon. 18 Pa. C.S. § 6105(i). The "downloadable guns" that Defense  
21 Distributed promises to make available constitutes a "firearm" under this section of the Firearms  
22 Act because it is a weapon that is designed and, by 3D printing, "may readily be converted to"  
23 expel bullets by an explosive. *Id.* Depending on the underlying offense or criteria, violation of  
24 section 6105, by individuals who shall not possess, use, control, sell, transfer or manufacture the

1 Defendants' firearm in the Commonwealth is a second degree felony or first or third degree  
2 misdemeanor. 18 Pa. C.S. § 6105(a)(1). Each firearm wrongly possessed by a felon constitutes  
3 a separate offense.

4 120. By law, the State Police "shall have the responsibility to administer the provisions  
5 of" Pennsylvania's Uniform Firearms Act, and are assigned certain specific duties thereunder.  
6 18 Pa. C.S. § 6111.1.(a), (b). Among these duties, the State Police must: (1) review criminal  
7 histories, delinquency histories, and mental health histories of potential firearms' purchasers or  
8 transferees; make all reasonable efforts to identify the legal owner of any firearm confiscated or  
9 recovered by law enforcement; (3) establish a telephone number for inquires by licensed firearms  
10 manufacturer, importers, and dealers; and (4) provide information regarding the firearms laws  
11 and firearms safety. 18 Pa. C.S. § 6111.1

12 121. Section 6106 of the Firearms Act mandates, with limited exceptions, that, outside  
13 of one's home or "fixed place of business," firearms may not be carried in the Commonwealth  
14 "without a valid and lawfully issued license." 18 Pa. C.S. § 6106(a). Violation of this section  
15 constitutes a third degree felony unless the unlawful carrier of the firearm is "eligible" to have a  
16 valid license, in which case the violation is a first degree misdemeanor. *Id.*

17 122. Under section 6109 of the Firearms Act, a "license to carry a firearm" is required  
18 to carry a concealed firearm "on or about one's person or in a vehicle throughout this  
19 Commonwealth." 18 Pa. C.S. § 6109(a). In order to apply for a concealed carry license, you  
20 must be "21 years of age or older" and the application itself must be "uniform throughout this  
21 Commonwealth" and only "on a form prescribed by the Pennsylvania State Police." 18 Pa. C.S.  
22 § 6109(b),(c). In filling out the application, the licensee must identify one of the following  
23 reasons for applying for a firearm license: "self-defense, employment, hunting and fishing, target  
24 shooting, gun collecting or another proper reason." 18 Pa. C.S. § 6109(c).

1        123. Applicants must also sign and date the following statement under penalty of  
2 perjury, certifying that they have “never been convicted of a crime that prohibits [them] from  
3 possessing or acquiring a firearm under Federal or State law,” are “of sound mind,” and “have  
4 never been committed to a mental institution.” *Id.* Applicants must also authorize the relevant  
5 law enforcement officials to research all records necessary to verify the certification and promise  
6 to “promptly notify” them if they are issued a license but later “knowingly become ineligible to  
7 legally possess or acquire firearms.” *Id.*

8        124. Then, before a license is issued, the sheriff must “conduct [an] investigation” of  
9 the applicant including an investigation of the applicant’s “record of criminal conviction,”  
10 whether or not the applicant “is under indictment for or has ever been convicted of a crime  
11 punishable by imprisonment exceeding one year,” and has a “character and reputation” such that  
12 the applicant “will not be likely to act in a manner dangerous to public safety.” 18 Pa. C.S. §  
13 6109(d). The sheriff must also “conduct a criminal background, juvenile delinquency and  
14 mental health check.” *Id.*

15        125. As can be seen, these various requirements and background checks serve to keep  
16 Pennsylvanians safe by keeping guns out of the hands of those who should not have access to  
17 them. This system, however, will be effectively nullified if those ineligible to buy or possess  
18 firearms can avoid the legal prerequisites for lawful possession by simply printing an untraceable  
19 gun at home or elsewhere.

20        **9. District of Columbia’s Firearms Laws**

21        126. The District of Columbia, like the States, has a comprehensive statutory scheme  
22 regulating the possession, licensing, and registration of firearms. Certain types of weapons are  
23 prohibited entirely.  
24

1           127. District of Columbia law prohibits certain persons from registering firearms.<sup>11</sup>  
2 For example, persons cannot register firearms if they have been acquitted by reason of insanity  
3 within the last five years, or have been voluntarily or involuntarily committed to a mental  
4 hospital or institution in that time. D.C. Code § 7-2502.03. Other persons prohibited from  
5 registering firearms include persons convicted of a felony, persons with a history of violent  
6 behavior, under indictment for a crime of violence or a weapons offense, or convicted within the  
7 previous five years of: (a) use, possession, or sale of any narcotic or dangerous drug; (b) assault  
8 or threats; (c) two or more impaired driving offenses; (d) intrafamily offenses punishable as  
9 misdemeanors; or (e) stalking. D.C. Code § 7-2502.03(a)(2)–(4).

10           128. The District of Columbia also prohibits the registration of certain types of  
11 firearms, including “unsafe” pistols, assault weapons, and .50 caliber firearms. D.C. Code §§ 7-  
12 2502.02, 7-2501.01(3A)(A) (defining “assault weapon”).

13           129. One of the cornerstones of the District of Columbia’s firearms regulatory  
14 structure is the use of background checks. All persons seeking to register a firearm (or obtain a  
15 license to carry concealed) are subject to background checks. D.C. Code § 7-2502.04(a); § 22-  
16 4506. The purpose of the background check is simple and obvious: to ensure that persons  
17 prohibited by law from possessing firearms are unable to do so.

18           130. The Government’s “temporary modification” of the USML Category I to permit  
19 “any United States person” to “access, discuss, use, reproduce, or otherwise benefit from” CAD  
20 files for the automated production of 3-D printed weapons quite literally nullifies the District of  
21 Columbia’s laws prohibiting certain categories of persons from possessing firearms.

22  
23           <sup>11</sup> Registration is a prerequisite to firearm possession and carrying in the District of Columbia. D.C. Code  
24 § 7-2502.01(a). *See also* D.C. Code § 22-4504 (license required to carry firearm within the District “either openly  
or concealed”).

131. If the “temporary modification” is left in place, the District of Columbia stands to suffer extreme and irreparable harm. Persons ineligible to possess firearms under District of Columbia law will easily be able to obtain downloadable guns that they can produce at home using a 3-D printer, and even produce guns which are explicitly prohibited in the District because they are assault weapons such as the AR-15. See D.C. Code Sec. 7-2501.01(3A)(A) (defining assault weapons). District of Columbia law enforcement will have no means of detecting such weapons using standard equipment such as metal detectors, and no means of tracing such weapons because they have no serial numbers. In sum, the Government’s actions are an extreme infringement on the District of Columbia’s right to enact and enforce its public safety laws.

## V. CAUSES OF ACTION

### Count I:

#### Violation of the Administrative Procedure Act—*Ultra Vires* Conduct

132. All of the foregoing allegations are repeated and realleged as though fully set forth herein.

133. Under the Administrative Procedure Act (APA), a court must set “aside agency action that is “in excess of statutory jurisdiction, authority, or limitations, or short of statutory right.” 5 U.S.C. § 706(2)(C).

134. The Government Defendants’ enactment of a “temporary modification” of the USML Category I so as to deregulate CAD files used for the production of 3-D printed guns constitutes a final agency action that is *ultra vires* and should be set aside by the Court. Likewise, Defendants approval of the CAD files for public release and effective removal from USML Category I constitutes a final agency action that is *ultra vires* and should be set aside by the Court.

1           135. The Government Defendants may only exercise the authority conferred to them  
2 by statute. Neither the AECA nor ITAR confer upon the Government Defendants the power to  
3 modify the USML Category I, temporarily or otherwise, without 30 days' notice to the relevant  
4 Congressional committees and without concurrence of the Defense Department.

5           136. Upon information and belief, the Government Defendants did not provide  
6 advance notice of the proposed temporary modification to the House Committee on Foreign  
7 Affairs and to the Committee on Foreign Relations of the Senate, and did not receive the  
8 concurrence of the Secretary of Defense, before enacting the modification on July 27, 2018.

9           137. According to Rep. Engel, Ranking Member of the House Committee on Foreign  
10 Affairs, notice of the terms of the settlement has not been provided by the President or the State  
11 Department. *See* "Engel Decries State Department Policy to Allow 3-D Gun Printing," Press  
12 Release (July 20, 2018), *available at* [https://democrats-foreignaffairs.house.gov/news/press-](https://democrats-foreignaffairs.house.gov/news/press-releases/engel-decries-state-department-policy-allow-3-d-gun-printing)  
13 [releases/engel-decries-state-department-policy-allow-3-d-gun-printing](https://democrats-foreignaffairs.house.gov/news/press-releases/engel-decries-state-department-policy-allow-3-d-gun-printing), attached hereto as Ex. 8.

14           138. The Government Defendants also lack statutory authority to determine that the  
15 Plaintiffs' CAD files should be removed from the Category I list and approval of the CAD files  
16 for public release without following the "established procedures" for commodity jurisdiction.  
17 This is especially relevant here because, in effect, the "temporary modifications" and approval  
18 for public release at issue will negate—in large part—the need for final rulemaking with respect  
19 to the data at issue, because once the data is on the internet, the damage to the national security  
20 and public safety in the State of Washington will be irreparable.

21           139. In addition, although ITAR allows the Deputy Assistant Secretary for Defense  
22 Trade Controls to order the temporary modification of any ITAR regulation, it may do so only  
23 "in the interest of the security and foreign policy of the United States." 22 C.F.R. § 126.2.  
24

1       140. The temporary modification enacted by DDTC on July 27, 2018 and the approval  
2 of the CAD files for public release sent the same day are not in the interest of the security and  
3 foreign policy of the United States, and, upon information and belief, Government Defendants  
4 have made no determination otherwise.

5       141. In addition, Government Defendants lack statutory authority to permit “any  
6 United States person” to “access, discuss, use, reproduce, or otherwise benefit” from CAD files  
7 for the automated production of 3-D printed weapons, as this would allow “any United States  
8 person” to manufacture, possess, and sell firearms made from the files. As such, this provision  
9 would violate numerous provisions of Washington’s statutory scheme regulating firearms,  
10 including laws that promote public safety by keeping guns out of the hands of minors, persons  
11 convicted of violent felonies, the mentally ill, and persons subject to various protection and no-  
12 contact orders. For similar reasons, this provision would also violate numerous provisions of  
13 the federal Gun Control Act, including 18 U.S.C. § 922(x)(2) (prohibiting handgun possession  
14 by minors), § 922(g) (prohibiting firearm possession by felons and domestic abusers), and §  
15 922(p) (prohibiting the manufacture of undetectable firearms). Government Defendants lack  
16 any authority to amend, rescind, or waive any portion of these laws.

17       142. For these reasons, the State of Washington is entitled to a declaration that the  
18 “temporary modification” is invalid, and an injunction requiring Government Defendants to  
19 rescind the temporary modification and restore the status quo until a proper administrative  
20 process is completed.

21  
22                   **Count II:**

23                   **Violation of the Administrative Procedure Act—Action Not in Accordance with Law**

24       143. All of the foregoing allegations are repeated and realleged as though fully set



1 forth herein.

2 144. Under the APA, a court must set aside agency action that is “not in accordance  
3 with law.” 5 U.S.C. § 706(2)(A).

4 145. As alleged above, upon information and belief, Government Defendants did not  
5 give 30 days’ notice to the required Congressional Committees or receive concurrence from the  
6 Secretary of Defense before enacting the “temporary modification” of USML Category I to  
7 remove the CAD files at issue from ITAR regulation on July 27, 2018 as well as the approval of  
8 the CAD files for public release on the same day.

9 146. Upon information and belief, Government Defendants also did not follow  
10 established procedures before granting Defense Distributed an exception to ITAR jurisdiction.

11 147. Furthermore, it is unlawful for Government Defendants to permit “any United  
12 States person” to “access, discuss, use, reproduce, or otherwise benefit from” CAD files for the  
13 automated production of 3-D printed weapons, as this purports to allow prohibited individuals  
14 to possess, manufacture, and sell firearms made using such files, in violation of existing state  
15 and federal law.

16 148. For these reasons, the State of Washington is entitled to a declaration that the  
17 “temporary modification” is invalid, and an injunction requiring Government Defendants to  
18 rescind the temporary modification and restore the status quo until a proper administrative  
19 process is completed.

20  
21 **Count III:**  
22 **Violation of the Administrative Procedure Act—Arbitrary and Capricious Agency**  
23 **Action**

24 149. All of the foregoing allegations are repeated and realleged as though fully set

1     forth herein.

2             150. Under the APA, a court must set “aside agency action” that is “arbitrary,  
3 capricious, an abuse of discretion, or otherwise not in accordance with the law,” 5 U.S.C. §  
4 706(2)(A).

5             151. A court may hold that an agency action is arbitrary and capricious when the  
6 agency has failed to consider relevant evidence or articulate a satisfactory explanation for its  
7 action. An agency’s departure from prior practice can serve as an additional basis for finding an  
8 agency’s action to be arbitrary and capricious.

9             152. Upon information and belief, Government Defendants have provided no  
10 explanation for the Government’s complete reversal of its position on the CAD files at issue.  
11 The Government has released no reports, studies, or analyses to explain why CAD files for the  
12 automated production of 3-D printed weapons should be removed from ITAR regulation or that  
13 the files should be publically released. It appears that Government Defendants have also failed  
14 to consider or acknowledge the serious national security concerns or the threat to public safety  
15 posed to states, including the Plaintiff States, created by the export of the CAD files.  
16

17             153. Government Defendants’ enactment of a “temporary modification” to exclude the  
18 CAD files at issue from ITAR jurisdiction, the approval of the CAD files for public release, and  
19 the agreement to permit “any United States person” to “access, discuss, use, reproduce, or  
20 otherwise benefit from” the CAD files is arbitrary and capricious because the Government has  
21 not offered a reasoned explanation for ignoring or countermanding its earlier factual  
22 determinations. It is also arbitrary and capricious because it is contrary to the purposes of AECA,  
23 which requires the State Department to administer AECA to reduce the international trade in  
24 arms and avoid destabilizing effects abroad through arms export. *See* 22 U.S.C. § 2751. It is also

1 arbitrary and capricious because it is an extreme infringement of the Plaintiff States' sovereign  
2 right to exercise its police power by enacting and enforcing public safety laws that restrict certain  
3 persons' possession of firearms and provide for licensing and tracking gun ownership.

4 154. For these reasons, the Plaintiff States are entitled to a declaration that the  
5 "temporary modification" is invalid, and an injunction requiring Government Defendants to  
6 rescind the temporary modification and restore the status quo until a proper administrative  
7 process is completed.

8  
9 **Count IV:**  
**Violation of the Tenth Amendment**

10 155. All of the foregoing allegations are repeated and realleged as though fully set  
11 forth herein.

12 156. The structure and limitations of federalism allow the States great latitude under  
13 their police powers to legislate as to the protection of the lives, limbs, health, comfort, and quiet  
14 of all persons. The police power is a critical function reserved to the States by the Tenth  
15 Amendment.

16 157. While the regulation of health and safety is primarily and historically a matter of  
17 State and local concern, the Federal Government can set uniform national standards in these  
18 areas—but only if Congress makes its intent to alter the usual constitutional balance between the  
19 States and the Federal Government "unmistakably clear" in the language of the statute.

20 158. Government Defendants' enactment of a "temporary modification" to the USML  
21 permitting "any United States person" to "access, discuss, use, reproduce, or otherwise benefit  
22 from" CAD files for the automated production of 3-D printed weapons and the approval of the  
23 CAD files for public release purports to allow any U.S. citizen to manufacture and use an  
24

1 undetectable and untraceable weapon—regardless of their age, mental health status, or criminal  
2 history—in violation of Washington’s public safety laws.

3 159. Government Defendants’ action infringes on the Plaintiff States’ exercise of its  
4 police power and enforcement of its safety laws, including (i) prohibiting certain United States  
5 persons from possessing firearms—such as minors, persons convicted of violent felonies, the  
6 mentally ill; and persons subject to various protection and no-contact orders; (ii) regulating the  
7 acquisition and tracking the ownership of firearms; (iii) using serial numbers to trace weapons;  
8 and (iv) keeping government buildings and other public places safe through the use of metal  
9 detectors.

10 160. Government Defendants were not authorized by Congress to infringe upon the  
11 Plaintiff States’ police power to this extreme degree, which is well outside the scope of any  
12 authority delegated by AECA. Indeed, Government Defendants failed even to follow the  
13 required administrative procedures before enacting the temporary modification, including  
14 providing Congress with 30 days’ notice and obtaining the Secretary of Defense’s concurrence.  
15 Rather, Government Defendants enacted the temporary modification unilaterally on July 27,  
16 2018, completely reversing the Government’s previous position as to the CAD files at issue  
17 while sidestepping Congressional review and flouting APA requirements.

18 161. As such, the State of Washington is entitled to a declaration that the “temporary  
19 modification” is an unconstitutional violation of the Tenth Amendment, and an injunction  
20 requiring Government Defendants to rescind the temporary modification and restore the status  
21 quo until a proper administrative process is completed.  
22  
23  
24

1 **VI. PRAYER FOR RELIEF**

2 WHEREFORE, the State of Washington requests that the Court enter a judgment against  
3 Defendants and award the following relief:

4 a. Declare that the "temporary modification" of the USML Category I and  
5 the approval of the CAD files for public release are unlawful and *ultra vires* agency  
6 action, including to the extent it purports to permit "any United States person" to "use,  
7 reproduce or otherwise benefit from" the files at issue in violation of state and federal  
8 law;

9 b. Declare that the "temporary modification" of the USML Category I and  
10 approval of the CAD files for public release are an unconstitutional violation of the Tenth  
11 Amendment;

12 c. Declare that the "temporary modification" of the USML Category I and  
13 approval of the CAD files for public release are null and void;

14 d. Issue an injunction requiring Defendants to rescind the "temporary  
15 modification" of the USML Category I and to rescind the approval of the CAD files for  
16 public release;

17 e. Issue an injunction prohibiting Defendants and anyone acting in concert  
18 with them from taking any action inconsistent with the rescission of the "temporary  
19 modification" of the USML Category I and the rescission of the approval of the CAD  
20 files for public release;

21 f. Award the State its costs and reasonable attorneys' fees; and

22 g. Award such additional relief as the interests of justice may require.

23 Respectfully submitted this 30th day of July, 2018.  
24

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2 Attorney General

3 /s/ Jeffrey Rupert

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JIMMY ROCK  
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Public Advocacy Division  
*Attorneys for Plaintiff District of Columbia*

***Pro Hac Vice*** motions forthcoming for all  
counsel of record not barred in the Western  
District of Washington



**DECLARATION OF SERVICE**

I hereby certify that on July 30, 2018, I electronically filed the foregoing document with the Clerk of the Court using the CM/ECF system, which will serve a copy of this document upon all counsel of record.

DATED this 30th day of July, 2018, at Olympia, Washington.

/s/ Jeffrey Rupert  
Assistant Attorney General

## Diamond, Joshua

---

**From:** Lydgate, Joanna (AGO) <joanna.lydgate@state.ma.us>  
**Sent:** Monday, July 30, 2018 12:08 PM  
**To:** Andrew Bruck; Jeremy Feigenbaum; Mary.McTaggart@state.de.us;  
Aaron.Goldstein@state.de.us; Brian.Mahanna@ag.ny.gov; Eric.Haren@ag.ny.gov; EllenA1@ATG.WA.GOV; elizabeth.wilkins@dc.gov; Diamond, Joshua; Kelli.Evans@doj.ca.gov; Eleanor.Blume@doj.ca.gov; Laura.Stuber@doj.ca.gov; GCoyne@riag.ri.gov; Kimberly.Massicotte@ct.gov; Jaclyn.Severance@ct.gov; CHudson@oag.state.va.us; LPlummer@oag.state.va.us; Melanie.Snyder@coag.gov; Eric.Tabor@ag.iowa.gov; Nathan.Blake@ag.iowa.gov; Cathleen.White@ag.iowa.gov; aaron.s.joyce@hawaii.gov; dviola3325@██████████; bstratton@atg.state.il.us; aspillane@atg.state.il.us; Laura.Yustak@maine.gov; linda.pistner@maine.gov; lharris@oag.state.md.us; cscheiber@oag.state.md.us; cquattrocki@oag.state.md.us; tmaestas@nmag.gov; jgoldman@attorneygeneral.gov; dwade@attorneygeneral.gov; mhenry@attorneygeneral.gov; mhenry@attorneygeneral.gov; fred.boss@doj.state.or.us; kamala.h.shugar@doj.state.or.us; al.gilbert@ag.state.mn.us  
**Cc:** Miller, Jonathan (AGO); Yannett, Elise (AGO); Gainey, Emalie (AGO)  
**Subject:** RE: Sign-on letter re 3-D guns: plan for release  
**Attachments:** 3D guns release TEMPLATE.docx

Dear All,

Our press team is sharing this with each of your press teams, but I have attached the draft template press release re today's multistate letter on 3-D printable guns. Each of your offices will of course make changes to the release as you see fit, but if you see anything problematic in the release language-wise that you want to bring to my attention, please let me know ASAP and cc my colleague [Emalie.Gainey@state.ma.us](mailto:Emalie.Gainey@state.ma.us).

Please also note that we have moved the press embargo to 2pm EST today. If you haven't yet sent your AG's e-signature, please email it to me and [Elise.Yannett@state.ma.us](mailto:Elise.Yannett@state.ma.us) as soon as possible.

Thanks so much,  
Joanna

**From:** Lydgate, Joanna (AGO)  
**Sent:** Sunday, July 29, 2018 10:28 PM  
**To:** Andrew Bruck <Andrew.Bruck@njoag.gov>; Jeremy Feigenbaum <Jeremy.Feigenbaum@njoag.gov>; Mary.McTaggart@state.de.us; Aaron.Goldstein@state.de.us; Brian.Mahanna@ag.ny.gov; Eric.Haren@ag.ny.gov; EllenA1@ATG.WA.GOV; elizabeth.wilkins@dc.gov; joshua.diamond@vermont.gov; Kelli.Evans@doj.ca.gov; Eleanor.Blume@doj.ca.gov; Laura.Stuber@doj.ca.gov; GCoyne@riag.ri.gov; Kimberly.Massicotte@ct.gov; Jaclyn.Severance@ct.gov; CHudson@oag.state.va.us; LPlummer@oag.state.va.us; Melanie.Snyder@coag.gov; Eric.Tabor@ag.iowa.gov; Nathan.Blake@ag.iowa.gov; Cathleen.White@ag.iowa.gov; aaron.s.joyce@hawaii.gov; dviola3325@██████████; bstratton@atg.state.il.us; aspillane@atg.state.il.us; Laura.Yustak@maine.gov; linda.pistner@maine.gov; lharris@oag.state.md.us; cscheiber@oag.state.md.us; cquattrocki@oag.state.md.us; tmaestas@nmag.gov; jgoldman@attorneygeneral.gov; dwade@attorneygeneral.gov; mhenry@attorneygeneral.gov; mhenry@attorneygeneral.gov; fred.boss@doj.state.or.us; kamala.h.shugar@doj.state.or.us; al.gilbert@ag.state.mn.us  
**Cc:** Miller, Jonathan (AGO) <Jonathan.Miller@MassMail.State.MA.US>; Yannett, Elise (AGO) <Elise.Yannett@MassMail.State.MA.US>; Gainey, Emalie (AGO) <Emalie.Gainey@MassMail.State.MA.US>  
**Subject:** Sign-on letter re 3-D guns: plan for release

Dear Colleagues,

Thank you all for joining the multistate letter to the Department of State and the Department of Justice on 3-D printable guns. We have 21 signatories to the letter. I have attached the near-final version here, which incorporates edits from a few states. **If you have not yet sent us your Attorney General's signature block, please do so by 12pm EST tomorrow (Monday) if at all possible.**

**The letter is embargoed until 1pm EST tomorrow.** Emalie Gainey from our press team (cc'ed here) is communicating with PIOs in each of your offices and will circulate a draft press release in the morning.

Again, we really appreciate your quick review of the letter and your support.

With best wishes,  
Joanna

**Joanna Lydgate**  
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## **AG XXXXX JOINS MULTISTATE EFFORT TO PREVENT DISTRIBUTION OF ONLINE FILES FOR 3-D PRINTED FIREARMS**

*AGs from 20 States and the District of Columbia Urge Federal Government to Reconsider  
Proposed Rules and Abrupt Settlement*

XXXXX – Attorney General XX led a coalition today of 21 attorneys general urging U.S. Attorney General Jeff Sessions and U.S. Secretary of State Mike Pompeo to withdraw from a settlement that would allow a company to post plans online to print plastic guns using 3-D printers, writing that these actions recklessly disregard public safety.

### **AG QUOTE**

A letter sent by the state attorneys general today expresses serious concern over the federal government's recent settlement with Defense Distributed, an online company that in 2013 was previously instructed by the U.S. Department of State to remove downloadable files for firearms from its website.

In the settlement, the Department of State also agreed to amend federal rules regulating the export of weapons on the United States Munitions List. The proposed rules would allow information about certain military weapons such as semi-automatic firearms, previously considered critical to national security and public safety, to be uploaded to the Internet. The attorneys general argue that these actions will facilitate violations of state and federal law and create unprecedented risks to public safety, allowing terrorists, transnational criminals, convicted felons, and individuals otherwise prohibited by federal and state laws from purchasing, manufacturing, selling, and possessing firearms to have unrestricted access to computer designs for unsafe, undetectable and untraceable firearms.

The Arms Export Control Act requires the federal government to reduce the international trade of firearms abroad, which the federal government has successfully done through the International Traffic in Arms Regulations, in part by prohibiting certain technical data about weapons from being made publicly available. Many states also have independent laws and regulations to prevent gun violence and protect public safety. In the letter, the attorneys general argue that publicly available information on 3-D printed weapons will enable the production of firearms that are untraceable and undetectable by magnetometers in places such as airports, government buildings and schools. Additionally, unrestricted access to this kind of information will increase illegal trafficking of weapons across state and national borders.

In the letter, the attorneys general also express their serious concern over the Department of State's abrupt change in position on these matters, pointing to arguments the Department of Justice and Department of State have made for years in the challenge brought by Defense Distributed. Until very recently, the Department of State had argued that the federal government has a strong national security interest in the regulation of these types of files. The attorneys general also note that courts have previously recognized the risk of allowing these gun designs to be publicly available on the Internet, and urge the Administration not to disregard those rulings.

This multistate letter was organized by AG Healey of Massachusetts and includes state attorneys general from California, Colorado, Connecticut, Delaware, the District of Columbia, Hawaii, Illinois, Iowa, Maine, Maryland, Minnesota, New Jersey, New Mexico, New York, Oregon, Pennsylvania, Rhode Island, Vermont, Virginia, and Washington.

###

## Diamond, Joshua

---

**From:** Bradley, Alexander (AGO) <alexander.bradley@state.ma.us>  
**Sent:** Monday, July 30, 2018 12:24 PM  
**To:** Bologna, Paul (AGO); Gotsis, Chloe (AGO); Fennimore, Jillian (AGO); Gainey, Emalie (AGO); jgrace@attorneygeneral.gov; msartoretto@attorneygeneral.gov; csimpson@attorneygeneral.gov; lhatalla@attorneygeneral.gov; jgrace@attorneygeneral.gov; msartoretto@attorneygeneral.gov; csimpson@attorneygeneral.gov; lhatalla@attorneygeneral.gov; Carl.Kanefsky@state.de.us; Amy.Spitalnick@ag.ny.gov; BrionnaF@ATG.WA.GOV; IanC@atg.wa.gov; BethC@atg.wa.gov; AndreaP2@atg.wa.gov; DanJ1@atg.wa.gov; marrisa.geller@dc.gov; Robert.marus@dc.gov; andrew.phifer@dc.gov; Diamond, Joshua; Silver, Natalie; Bethany.Lesser@doj.ca.gov; karen.white@cwagweb.org; Susan.Lustig@CWAGWeb.org; akempe@riag.ri.gov; Jaclyn.Severance@ct.gov; mkelly@oag.state.va.us; Annie.Skinner@coag.gov; Jacqlin.McKinnon@coag.gov; eric.tabor@ag.iowa.gov; cathleen.white@ag.iowa.gov; dana.o.viola@hawaii.gov; James.W.Walther@hawaii.gov; pthompson@atg.state.il.us; eboyce@atg.state.il.us; mpossley@atg.state.il.us; Andrew.Roth-Wells@maine.gov; rcoombs@oag.state.md.us; ctobar@oag.state.md.us; fschantz@oag.state.md.us; jhallinan@nmag.gov; dcarl@nmag.gov; dana.o.viola@hawaii.gov; James.W.Walther@hawaii.gov; kristina.edmunson@doj.state.or.us; Annie.Skinner@coag.gov; Jacqlin.McKinnon@coag.gov  
**Subject:** RE: Press for Multistate 3-D Firearm Letter \*UPDATED EMBARGO TIME\*  
**Attachments:** 3D guns release final TEMPLATE.docx

Hi all –

Please see this template release.

PLEASE NOTE: THE EMBARGO IS NOW 2PM EST

Thanks!

**From:** Bradley, Alexander (AGO)  
**Sent:** Monday, July 30, 2018 9:58 AM  
**To:** Bologna, Paul (AGO) <Paul.Bologna@MassMail.State.MA.US>; Gotsis, Chloe (AGO) <Chloe.Gotsis@MassMail.State.MA.US>; Fennimore, Jillian (AGO) <Jillian.Fennimore@MassMail.State.MA.US>; Gainey, Emalie (AGO) <Emalie.Gainey@MassMail.State.MA.US>; 'jgrace@attorneygeneral.gov' <jgrace@attorneygeneral.gov>; 'msartoretto@attorneygeneral.gov' <msartoretto@attorneygeneral.gov>; 'csimpson@attorneygeneral.gov' <csimpson@attorneygeneral.gov>; 'lhatalla@attorneygeneral.gov' <lhatalla@attorneygeneral.gov>; 'jgrace@attorneygeneral.gov' <jgrace@attorneygeneral.gov>; 'msartoretto@attorneygeneral.gov' <msartoretto@attorneygeneral.gov>; 'csimpson@attorneygeneral.gov' <csimpson@attorneygeneral.gov>; 'lhatalla@attorneygeneral.gov' <lhatalla@attorneygeneral.gov>; 'Carl.Kanefsky@state.de.us' <Carl.Kanefsky@state.de.us>; 'Amy.Spitalnick@ag.ny.gov' <Amy.Spitalnick@ag.ny.gov>; 'BrionnaF@ATG.WA.GOV' <BrionnaF@ATG.WA.GOV>; 'IanC@atg.wa.gov' <IanC@atg.wa.gov>; 'BethC@atg.wa.gov' <BethC@atg.wa.gov>; 'AndreaP2@atg.wa.gov' <AndreaP2@atg.wa.gov>; 'DanJ1@atg.wa.gov' <DanJ1@atg.wa.gov>; 'marrisa.geller@dc.gov' <marrisa.geller@dc.gov>; 'Robert.marus@dc.gov' <Robert.marus@dc.gov>; 'andrew.phifer@dc.gov' <andrew.phifer@dc.gov>; 'Joshua.Diamond@vermont.gov' <Joshua.Diamond@vermont.gov>; 'Natalie.Silver@vermont.gov' <Natalie.Silver@vermont.gov>; 'Bethany.Lesser@doj.ca.gov' <Bethany.Lesser@doj.ca.gov>; 'karen.white@cwagweb.org' <karen.white@cwagweb.org>;

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'Jacqlin.McKinnon@coag.gov' <Jacqlin.McKinnon@coag.gov>

**Subject:** RE: Press for Multistate 3-D Firearm Letter

Hi all –

This is a notice that the embargo time will be 1PM EST. A template release will be sent shortly.

Thank you!

Alex Bradley  
*Deputy Press Secretary*  
Office of Massachusetts Attorney General Maura Healey  
One Ashburton Place, 20<sup>th</sup> Floor  
Boston, MA 02108  
617-727-2543

**From:** Bradley, Alexander (AGO)

**Sent:** Friday, July 27, 2018 5:22 PM

**To:** Bologna, Paul (AGO) <Paul.Bologna@MassMail.State.MA.US>; Gotsis, Chloe (AGO)  
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'jgrace@attorneygeneral.gov' <jgrace@attorneygeneral.gov>; 'msartoretto@attorneygeneral.gov'  
<msartoretto@attorneygeneral.gov>; 'csimpson@attorneygeneral.gov' <csimpson@attorneygeneral.gov>;  
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'AndreaP2@atg.wa.gov' <AndreaP2@atg.wa.gov>; 'DanJ1@atg.wa.gov' <DanJ1@atg.wa.gov>; 'marrisa.geller@dc.gov'  
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<andrew.phifer@dc.gov>; 'Joshua.Diamond@vermont.gov' <Joshua.Diamond@vermont.gov>;  
'Natalie.Silver@vermont.gov' <Natalie.Silver@vermont.gov>; 'Bethany.Lesser@doj.ca.gov'  
<Bethany.Lesser@doj.ca.gov>; 'karen.white@cwagweb.org' <karen.white@cwagweb.org>;  
'Susan.Lustig@CWAGWeb.org' <Susan.Lustig@CWAGWeb.org>; 'akempe@riag.ri.gov' <akempe@riag.ri.gov>;  
'Jaclyn.Severance@ct.gov' <Jaclyn.Severance@ct.gov>; 'mkelly@oag.state.va.us' <mkelly@oag.state.va.us>;  
'Annie.Skinner@coag.gov' <Annie.Skinner@coag.gov>; 'Jacqlin.McKinnon@coag.gov' <Jacqlin.McKinnon@coag.gov>;

'eric.tabor@ag.iowa.gov' <eric.tabor@ag.iowa.gov>; 'cathleen.white@ag.iowa.gov' <cathleen.white@ag.iowa.gov>;  
'dana.o.viola@hawaii.gov' <dana.o.viola@hawaii.gov>; 'James.W.Walther@hawaii.gov'  
<James.W.Walther@hawaii.gov>; 'pthompson@atg.state.il.us' <pthompson@atg.state.il.us>; 'eboyce@atg.state.il.us'  
<eboyce@atg.state.il.us>; 'mpossley@atg.state.il.us' <mpossley@atg.state.il.us>; 'Andrew.Roth-Wells@maine.gov'  
<Andrew.Roth-Wells@maine.gov>; 'rcoombs@oag.state.md.us' <rcoombs@oag.state.md.us>; 'ctobar@oag.state.md.us'  
<ctobar@oag.state.md.us>; 'fschantz@oag.state.md.us' <fschantz@oag.state.md.us>; 'jhallinan@nmag.gov'  
<jhallinan@nmag.gov>; 'dcarl@nmag.gov' <dcarl@nmag.gov>

**Subject:** Press for Multistate 3-D Firearm Letter

Hi all –

If you are receiving this email, you are the press contact for one of the state Attorneys General for the Multistate Letter for 3D Printed Firearms.

We will be doing press on Monday, but are currently waiting for additional states to sign on. We will touch base on Monday morning with a template press release and an embargo time.

Thank you, enjoy your weekend!

Alex Bradley  
*Deputy Press Secretary*  
Office of Massachusetts Attorney General Maura Healey  
One Ashburton Place, 20<sup>th</sup> Floor  
Boston, MA 02108  
617-727-2543



**\*\*TEMPLATE RELEASE EMBARGOED FOR 2 PM ET\*\***

**AG XXXXX JOINS MULTISTATE EFFORT TO PREVENT DISTRIBUTION OF  
ONLINE FILES FOR 3-D PRINTED FIREARMS**

*AGs from 20 States and the District of Columbia Urge Federal Government to Reconsider  
Proposed Rules and Abrupt Settlement*

XXXXXX – Attorney General XX joined a coalition today of 21 attorneys general urging U.S. Attorney General Jeff Sessions and U.S. Secretary of State Mike Pompeo to withdraw from a settlement that would allow a company to post plans online to print plastic guns using 3-D printers, writing that these actions recklessly disregard public safety.

**AG QUOTE**

A letter sent by the state attorneys general today expresses serious concern over the federal government's recent settlement with Defense Distributed, an online company that in 2013 was previously instructed by the U.S. Department of State to remove downloadable files for firearms from its website.

In the settlement, the Department of State also agreed to amend federal rules regulating the export of weapons on the United States Munitions List. The proposed rules would allow information about certain military weapons such as semi-automatic firearms, previously considered critical to national security and public safety, to be uploaded to the Internet. The attorneys general argue that these actions will facilitate violations of state and federal law and create unprecedented risks to public safety, allowing terrorists, transnational criminals, convicted felons, and individuals otherwise prohibited by federal and state laws from purchasing, manufacturing, selling, and possessing firearms to have unrestricted access to computer designs for unsafe, undetectable and untraceable firearms.

The Arms Export Control Act requires the federal government to reduce the international trade of firearms abroad, which the federal government has successfully done through the International Traffic in Arms Regulations, in part by prohibiting certain technical data about weapons from being made publicly available. Many states also have independent laws and regulations to prevent gun violence and protect public safety. In the letter, the attorneys general argue that publicly available information on 3-D printed weapons will enable the production of firearms that are untraceable and undetectable by magnetometers in places such as airports, government buildings and schools. Additionally, unrestricted access to this kind of information will increase illegal trafficking of weapons across state and national borders.

In the letter, the attorneys general also express their serious concern over the Department of State's abrupt change in position on these matters, pointing to arguments the Department of Justice and Department of State have made for years in the challenge brought by Defense Distributed. Until very recently, the Department of State had argued that the federal government has a strong national security interest in the regulation of these types of files. The attorneys general also note that courts have previously recognized the risk of allowing these gun designs to be publicly available on the Internet, and urge the Administration not to disregard those rulings.

This multistate letter was organized by AG Healey of Massachusetts and includes state attorneys general from California, Colorado, Connecticut, Delaware, the District of Columbia, Hawaii, Illinois, Iowa, Maine, Maryland, Minnesota, New Jersey, New Mexico, New York, Oregon, Pennsylvania, Rhode Island, Vermont, Virginia, and Washington.

###

DRAFT

## Diamond, Joshua

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**From:** Bradley, Alexander (AGO) <alexander.bradley@state.ma.us>  
**Sent:** Monday, July 30, 2018 2:13 PM  
**To:** Bologna, Paul (AGO); Gotsis, Chloe (AGO); Fennimore, Jillian (AGO); Gainey, Emalie (AGO); jgrace@attorneygeneral.gov; msartoretto@attorneygeneral.gov; csimpson@attorneygeneral.gov; lhatalla@attorneygeneral.gov; jgrace@attorneygeneral.gov; msartoretto@attorneygeneral.gov; csimpson@attorneygeneral.gov; lhatalla@attorneygeneral.gov; Carl.Kanefsky@state.de.us; Amy.Spitalnick@ag.ny.gov; BrionnaF@ATG.WA.GOV; IanC@atg.wa.gov; BethC@atg.wa.gov; AndreaP2@atg.wa.gov; DanJ1@atg.wa.gov; marrisa.geller@dc.gov; Robert.marus@dc.gov; andrew.phifer@dc.gov; Diamond, Joshua; Silver, Natalie; Bethany.Lesser@doj.ca.gov; karen.white@cwagweb.org; Susan.Lustig@CWAGWeb.org; akempe@riag.ri.gov; Jaclyn.Severance@ct.gov; mkelly@oag.state.va.us; Annie.Skinner@coag.gov; Jacqlin.McKinnon@coag.gov; eric.tabor@ag.iowa.gov; cathleen.white@ag.iowa.gov; dana.o.viola@hawaii.gov; James.W.Walther@hawaii.gov; pthompson@atg.state.il.us; eboyce@atg.state.il.us; mpossley@atg.state.il.us; Andrew.Roth-Wells@maine.gov; rcoombs@oag.state.md.us; ctobar@oag.state.md.us; fschantz@oag.state.md.us; jhallinan@nmag.gov; dcarl@nmag.gov; dana.o.viola@hawaii.gov; James.W.Walther@hawaii.gov; kristina.edmunson@doj.state.or.us; Annie.Skinner@coag.gov; Jacqlin.McKinnon@coag.gov  
**Subject:** RE: Press for Multistate 3-D Firearm Letter \*UPDATED EMBARGO TIME\*  
**Attachments:** 7.30.18 Multistate Letter re 3D Firearms Final.pdf

Hi all – see the finalized letter.

Thank you!

**From:** Bradley, Alexander (AGO)  
**Sent:** Monday, July 30, 2018 12:24 PM  
**To:** Bologna, Paul (AGO) <Paul.Bologna@MassMail.State.MA.US>; Gotsis, Chloe (AGO) <Chloe.Gotsis@MassMail.State.MA.US>; Fennimore, Jillian (AGO) <Jillian.Fennimore@MassMail.State.MA.US>; Gainey, Emalie (AGO) <Emalie.Gainey@MassMail.State.MA.US>; 'jgrace@attorneygeneral.gov' <jgrace@attorneygeneral.gov>; 'msartoretto@attorneygeneral.gov' <msartoretto@attorneygeneral.gov>; 'csimpson@attorneygeneral.gov' <csimpson@attorneygeneral.gov>; 'lhatalla@attorneygeneral.gov' <lhatalla@attorneygeneral.gov>; 'jgrace@attorneygeneral.gov' <jgrace@attorneygeneral.gov>; 'msartoretto@attorneygeneral.gov' <msartoretto@attorneygeneral.gov>; 'csimpson@attorneygeneral.gov' <csimpson@attorneygeneral.gov>; 'lhatalla@attorneygeneral.gov' <lhatalla@attorneygeneral.gov>; 'Carl.Kanefsky@state.de.us' <Carl.Kanefsky@state.de.us>; 'Amy.Spitalnick@ag.ny.gov' <Amy.Spitalnick@ag.ny.gov>; 'BrionnaF@ATG.WA.GOV' <BrionnaF@ATG.WA.GOV>; 'IanC@atg.wa.gov' <IanC@atg.wa.gov>; 'BethC@atg.wa.gov' <BethC@atg.wa.gov>; 'AndreaP2@atg.wa.gov' <AndreaP2@atg.wa.gov>; 'DanJ1@atg.wa.gov' <DanJ1@atg.wa.gov>; 'marrisa.geller@dc.gov' <marrisa.geller@dc.gov>; 'Robert.marus@dc.gov' <Robert.marus@dc.gov>; 'andrew.phifer@dc.gov' <andrew.phifer@dc.gov>; 'Joshua.Diamond@vermont.gov' <Joshua.Diamond@vermont.gov>; 'Natalie.Silver@vermont.gov' <Natalie.Silver@vermont.gov>; 'Bethany.Lesser@doj.ca.gov' <Bethany.Lesser@doj.ca.gov>; 'karen.white@cwagweb.org' <karen.white@cwagweb.org>; 'Susan.Lustig@CWAGWeb.org' <Susan.Lustig@CWAGWeb.org>; 'akempe@riag.ri.gov' <akempe@riag.ri.gov>; 'Jaclyn.Severance@ct.gov' <Jaclyn.Severance@ct.gov>; 'mkelly@oag.state.va.us' <mkelly@oag.state.va.us>; 'Annie.Skinner@coag.gov' <Annie.Skinner@coag.gov>; 'Jacqlin.McKinnon@coag.gov' <Jacqlin.McKinnon@coag.gov>; 'eric.tabor@ag.iowa.gov' <eric.tabor@ag.iowa.gov>; 'cathleen.white@ag.iowa.gov' <cathleen.white@ag.iowa.gov>;

'dana.o.viola@hawaii.gov' <dana.o.viola@hawaii.gov>; 'James.W.Walther@hawaii.gov' <James.W.Walther@hawaii.gov>; 'pthompson@atg.state.il.us' <pthompson@atg.state.il.us>; 'eboyce@atg.state.il.us' <eboyce@atg.state.il.us>; 'mpossley@atg.state.il.us' <mpossley@atg.state.il.us>; 'Andrew.Roth-Wells@maine.gov' <Andrew.Roth-Wells@maine.gov>; 'rcoombs@oag.state.md.us' <rcoombs@oag.state.md.us>; 'ctobar@oag.state.md.us' <ctobar@oag.state.md.us>; 'fschantz@oag.state.md.us' <fschantz@oag.state.md.us>; 'jhallinan@nmag.gov' <jhallinan@nmag.gov>; 'dcarl@nmag.gov' <dcarl@nmag.gov>; 'dana.o.viola@hawaii.gov' <dana.o.viola@hawaii.gov>; 'James.W.Walther@hawaii.gov' <James.W.Walther@hawaii.gov>; 'kristina.edmunson@doj.state.or.us' <kristina.edmunson@doj.state.or.us>; 'Annie.Skinner@coag.gov' <Annie.Skinner@coag.gov>; 'Jacqlin.McKinnon@coag.gov' <Jacqlin.McKinnon@coag.gov>  
**Subject:** RE: Press for Multistate 3-D Firearm Letter \*UPDATED EMBARGO TIME\*

Hi all –

Please see this template release.

**PLEASE NOTE: THE EMBARGO IS NOW 2PM EST**

Thanks!

**From:** Bradley, Alexander (AGO)

**Sent:** Monday, July 30, 2018 9:58 AM

**To:** Bologna, Paul (AGO) <Paul.Bologna@MassMail.State.MA.US>; Gotsis, Chloe (AGO) <Chloe.Gotsis@MassMail.State.MA.US>; Fennimore, Jillian (AGO) <Jillian.Fennimore@MassMail.State.MA.US>; Gainey, Emalie (AGO) <Emalie.Gainey@MassMail.State.MA.US>; 'jgrace@attorneygeneral.gov' <jgrace@attorneygeneral.gov>; 'msartoretto@attorneygeneral.gov' <msartoretto@attorneygeneral.gov>; 'csimpson@attorneygeneral.gov' <csimpson@attorneygeneral.gov>; 'lhatalla@attorneygeneral.gov' <lhatalla@attorneygeneral.gov>; 'jgrace@attorneygeneral.gov' <jgrace@attorneygeneral.gov>; 'msartoretto@attorneygeneral.gov' <msartoretto@attorneygeneral.gov>; 'csimpson@attorneygeneral.gov' <csimpson@attorneygeneral.gov>; 'lhatalla@attorneygeneral.gov' <lhatalla@attorneygeneral.gov>; 'Carl.Kanefsky@state.de.us' <Carl.Kanefsky@state.de.us>; 'Amy.Spitalnick@ag.ny.gov' <Amy.Spitalnick@ag.ny.gov>; 'BrionnaF@ATG.WA.GOV' <BrionnaF@ATG.WA.GOV>; 'lanC@atg.wa.gov' <lanC@atg.wa.gov>; 'BethC@atg.wa.gov' <BethC@atg.wa.gov>; 'AndreaP2@atg.wa.gov' <AndreaP2@atg.wa.gov>; 'DanJ1@atg.wa.gov' <DanJ1@atg.wa.gov>; 'marrisa.geller@dc.gov' <marrisa.geller@dc.gov>; 'Robert.marus@dc.gov' <Robert.marus@dc.gov>; 'andrew.phifer@dc.gov' <andrew.phifer@dc.gov>; 'Joshua.Diamond@vermont.gov' <Joshua.Diamond@vermont.gov>; 'Natalie.Silver@vermont.gov' <Natalie.Silver@vermont.gov>; 'Bethany.Lesser@doj.ca.gov' <Bethany.Lesser@doj.ca.gov>; 'karen.white@cwagweb.org' <karen.white@cwagweb.org>; 'Susan.Lustig@CWAGWeb.org' <Susan.Lustig@CWAGWeb.org>; 'akempe@riag.ri.gov' <akempe@riag.ri.gov>; 'Jaclyn.Severance@ct.gov' <Jaclyn.Severance@ct.gov>; 'mkelly@oag.state.va.us' <mkelly@oag.state.va.us>; 'Annie.Skinner@coag.gov' <Annie.Skinner@coag.gov>; 'Jacqlin.McKinnon@coag.gov' <Jacqlin.McKinnon@coag.gov>; 'eric.tabor@ag.iowa.gov' <eric.tabor@ag.iowa.gov>; 'cathleen.white@ag.iowa.gov' <cathleen.white@ag.iowa.gov>; 'dana.o.viola@hawaii.gov' <dana.o.viola@hawaii.gov>; 'James.W.Walther@hawaii.gov' <James.W.Walther@hawaii.gov>; 'pthompson@atg.state.il.us' <pthompson@atg.state.il.us>; 'eboyce@atg.state.il.us' <eboyce@atg.state.il.us>; 'mpossley@atg.state.il.us' <mpossley@atg.state.il.us>; 'Andrew.Roth-Wells@maine.gov' <Andrew.Roth-Wells@maine.gov>; 'rcoombs@oag.state.md.us' <rcoombs@oag.state.md.us>; 'ctobar@oag.state.md.us' <ctobar@oag.state.md.us>; 'fschantz@oag.state.md.us' <fschantz@oag.state.md.us>; 'jhallinan@nmag.gov' <jhallinan@nmag.gov>; 'dcarl@nmag.gov' <dcarl@nmag.gov>; 'dana.o.viola@hawaii.gov' <dana.o.viola@hawaii.gov>; 'James.W.Walther@hawaii.gov' <James.W.Walther@hawaii.gov>; 'kristina.edmunson@doj.state.or.us' <kristina.edmunson@doj.state.or.us>; 'Annie.Skinner@coag.gov' <Annie.Skinner@coag.gov>; 'Jacqlin.McKinnon@coag.gov' <Jacqlin.McKinnon@coag.gov>  
**Subject:** RE: Press for Multistate 3-D Firearm Letter

Hi all –

This is a notice that the embargo time will be 1PM EST. A template release will be sent shortly.

Thank you!

Alex Bradley  
Deputy Press Secretary  
Office of Massachusetts Attorney General Maura Healey  
One Ashburton Place, 20<sup>th</sup> Floor  
Boston, MA 02108  
617-727-2543

**From:** Bradley, Alexander (AGO)  
**Sent:** Friday, July 27, 2018 5:22 PM  
**To:** Bologna, Paul (AGO) <Paul.Bologna@MassMail.State.MA.US>; Gotsis, Chloe (AGO) <Chloe.Gotsis@MassMail.State.MA.US>; Fennimore, Jillian (AGO) <Jillian.Fennimore@MassMail.State.MA.US>; Gainey, Emalie (AGO) <Emalie.Gainey@MassMail.State.MA.US>; 'jgrace@attorneygeneral.gov' <jgrace@attorneygeneral.gov>; 'msartoretto@attorneygeneral.gov' <msartoretto@attorneygeneral.gov>; 'csimpson@attorneygeneral.gov' <csimpson@attorneygeneral.gov>; 'lhatalla@attorneygeneral.gov' <lhatalla@attorneygeneral.gov>; 'jgrace@attorneygeneral.gov' <jgrace@attorneygeneral.gov>; 'msartoretto@attorneygeneral.gov' <msartoretto@attorneygeneral.gov>; 'csimpson@attorneygeneral.gov' <csimpson@attorneygeneral.gov>; 'lhatalla@attorneygeneral.gov' <lhatalla@attorneygeneral.gov>; 'Carl.Kanefsky@state.de.us' <Carl.Kanefsky@state.de.us>; 'Amy.Spitalnick@ag.ny.gov' <Amy.Spitalnick@ag.ny.gov>; 'BrionnaF@ATG.WA.GOV' <BrionnaF@ATG.WA.GOV>; 'lanC@atg.wa.gov' <lanC@atg.wa.gov>; 'BethC@atg.wa.gov' <BethC@atg.wa.gov>; 'AndreaP2@atg.wa.gov' <AndreaP2@atg.wa.gov>; 'DanJ1@atg.wa.gov' <DanJ1@atg.wa.gov>; 'marrisa.geller@dc.gov' <marrisa.geller@dc.gov>; 'Robert.marus@dc.gov' <Robert.marus@dc.gov>; 'andrew.phifer@dc.gov' <andrew.phifer@dc.gov>; 'Joshua.Diamond@vermont.gov' <Joshua.Diamond@vermont.gov>; 'Natalie.Silver@vermont.gov' <Natalie.Silver@vermont.gov>; 'Bethany.Lesser@doj.ca.gov' <Bethany.Lesser@doj.ca.gov>; 'karen.white@cwagweb.org' <karen.white@cwagweb.org>; 'Susan.Lustig@CWAGWeb.org' <Susan.Lustig@CWAGWeb.org>; 'akempe@riag.ri.gov' <akempe@riag.ri.gov>; 'Jaclyn.Severance@ct.gov' <Jaclyn.Severance@ct.gov>; 'mkelly@oag.state.va.us' <mkelly@oag.state.va.us>; 'Annie.Skinner@coag.gov' <Annie.Skinner@coag.gov>; 'Jacqlin.McKinnon@coag.gov' <Jacqlin.McKinnon@coag.gov>; 'eric.tabor@ag.iowa.gov' <eric.tabor@ag.iowa.gov>; 'cathleen.white@ag.iowa.gov' <cathleen.white@ag.iowa.gov>; 'dana.o.viola@hawaii.gov' <dana.o.viola@hawaii.gov>; 'James.W.Walther@hawaii.gov' <James.W.Walther@hawaii.gov>; 'pthompson@atg.state.il.us' <pthompson@atg.state.il.us>; 'eboyce@atg.state.il.us' <eboyce@atg.state.il.us>; 'mpossley@atg.state.il.us' <mpossley@atg.state.il.us>; 'Andrew.Roth-Wells@maine.gov' <Andrew.Roth-Wells@maine.gov>; 'rcoombs@oag.state.md.us' <rcoombs@oag.state.md.us>; 'ctobar@oag.state.md.us' <ctobar@oag.state.md.us>; 'fschantz@oag.state.md.us' <fschantz@oag.state.md.us>; 'jhallinan@nmag.gov' <jhallinan@nmag.gov>; 'dcarl@nmag.gov' <dcarl@nmag.gov>  
**Subject:** Press for Multistate 3-D Firearm Letter

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Thank you, enjoy your weekend!

Alex Bradley  
*Deputy Press Secretary*  
Office of Massachusetts Attorney General Maura Healey  
One Ashburton Place, 20<sup>th</sup> Floor  
Boston, MA 02108  
617-727-2543



MAURA HEALEY  
ATTORNEY GENERAL

THE COMMONWEALTH OF MASSACHUSETTS  
OFFICE OF THE ATTORNEY GENERAL  
ONE ASHBURTON PLACE  
BOSTON, MASSACHUSETTS 02108

(617) 727-2200  
(617) 727-4765 TTY  
[www.mass.gov/ago](http://www.mass.gov/ago)

July 30, 2018

The Honorable Mike Pompeo  
Secretary of State  
U.S. Department of State  
2201 C. Street, N.W.  
Washington, D.C. 20520

The Honorable Jeff Sessions  
Attorney General  
U.S. Department of Justice  
950 Pennsylvania Avenue, N.W.  
Washington, D.C. 20530

Dear Secretary Pompeo and Attorney General Sessions:

We, the undersigned Attorneys General, write to express our serious concern about the Department of State's settlement with Defense Distributed and the proposed rules (83 Fed. Reg. 24198; 83 Fed. Reg. 24166) published by the Department of State and the Department of Commerce to amend the International Trafficking in Arms Regulations. As the Chief Law Enforcement Officers of our states, we believe the settlement terms and proposed rules are deeply dangerous and could have an unprecedented impact on public safety. In addition to helping arm terrorists and transnational criminals, the settlement and proposed rules would provide another path to gun ownership for people who are prohibited by federal and state law from possessing firearms. Federal courts have recognized the danger of allowing these guns to be publicly available on the Internet, and this Administration has abruptly disregarded those rulings. We urge you to withdraw from the settlement and withdraw the proposed rules immediately, and allow full and fair consideration of any future proposed rules on these issues.

We believe the settlement and proposed rules will facilitate violations of federal and state laws, and will make Americans less safe from both domestic and international threats. For example, individuals who access the files posted by Defense Distributed (and similar files posted by others in the future) and use those files will be circumventing laws that regulate the

manufacture, sale, transfer, possession, and export of firearms. The Arms Export Control Act requires the federal government to reduce the international trade in, and lessen the burden of, arms abroad. Domestically, many of our states have carefully crafted regulatory regimes geared at preventing gun violence and protecting public safety. The Department of State's abrupt change in position seriously undermines the efficacy of those laws and creates an imminent risk to public safety.

As a result of the Department of State's settlement with Defense Distributed, terrorists, criminals, and individuals seeking to do harm would have unfettered access to print and manufacture dangerous firearms. Some of these weapons may even be undetectable by magnetometers in places like airports and government buildings and untraceable by law enforcement. Illegal trafficking of these guns across state and national borders could also increase, and self-made, unregistered, and untraceable firearms could easily wind up in the hands of (or simply be produced directly by) dangerous individuals.

The proposed rules would also transfer oversight of certain weapons and ammunition – which have long been considered “military grade” and are currently on the United States Munitions List – from the Department of State to the Department of Commerce. The settlement and proposed rules would facilitate the upload of files and other information sufficient to build unsafe and untraceable guns to the Internet. There would be unrestricted access, domestically and abroad, to large amounts of technical data that had previously been regulated to promote serious national security interests.

We agree with the argument that the Department of Justice and Department of State asserted for years in the lawsuit brought by Defense Distributed, before this abrupt reversal: that the release of these computer files of firearms would threaten national security and put our residents in danger.<sup>1</sup> For example, the Department of Justice wrote in its brief to the Fifth Circuit Court of Appeals, “[t]he computer data files at issue here, if made publicly available without restriction, would allow anyone with a 3-D printer (or related device) to create, at the touch of a button, parts and components for an operational firearm that is untraceable and undetectable by metal detectors. Because such printers are readily available, allowing the distribution of the computer files at issue here is tantamount to permitting the dissemination of firearms themselves.”<sup>2</sup> The settlement and the related proposed rules are inconsistent with the government's longstanding position and recklessly disregard public safety and security.

These rules, if finalized, and the settlement, if implemented, set a precedent that would endanger the lives of civilians, law enforcement, and members of the armed forces at home and

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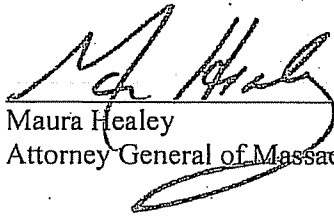
<sup>1</sup> *Defense Distributed v. U.S. Dep't of State*, Case 1:15-cv-00372-RP, Defs.' Mot. Dismiss Second Am. Compl., at 1 (W.D. Tex. April 6, 2018).

<sup>2</sup> Brief for Federal Appellees, 2016 WL 614088, Case No. No. 15-50759, at \*7 (5th Cir. 2016). In the same brief, the Department of Justice also wrote “[t]he availability of such firearms to foreign nationals, particularly if...attributable to the United States, could raise significant foreign policy and national security concerns....” *Id.* at \*1. The Department of Justice additionally asserted, “[i]f such a firearm were produced and ‘then used to commit an act of terrorism, piracy, assassination, or other serious crime,’ the United States could be held accountable, causing ‘serious and long-lasting harm to the foreign policy and national security interests of the United States.’” *Id.* at \*23 (quoting Aguirre Decl. ¶ 35(a) [ROA.571]).

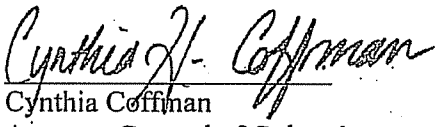


abroad. We urge you to withdraw from the settlement immediately. The status quo – which currently ensures public safety and national security by prohibiting publication of firearm design files on the Internet – should be maintained. Any rulemaking on these issues should not be tied to a specific settlement agreement and should be subject to full and fair rulemaking proceedings, so that all stakeholders may provide input into the rules in the interest of public safety.

Sincerely,



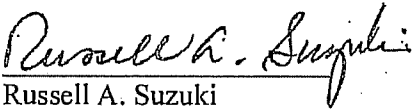
Maura Healey  
Attorney General of Massachusetts



Cynthia Coffman  
Attorney General of Colorado



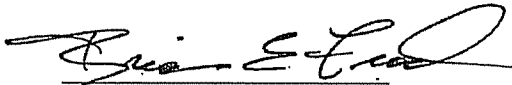
Matthew P. Denn  
Attorney General of Delaware



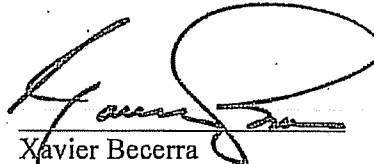
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Attorney General of Hawaii



Thomas J. Miller  
Attorney General of Iowa



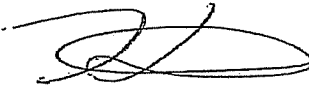
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Attorney General of Maryland



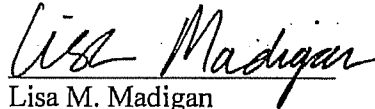
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Attorney General of California



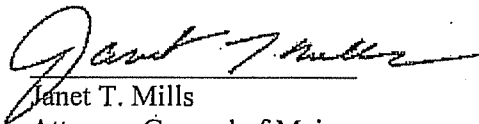
George Jepsen  
Attorney General of Connecticut



Karl A. Racine  
Attorney General of the District of Columbia



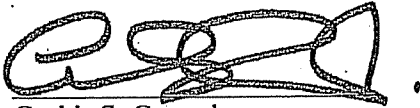
Lisa M. Madigan  
Attorney General of Illinois




Janet T. Mills  
Attorney General of Maine



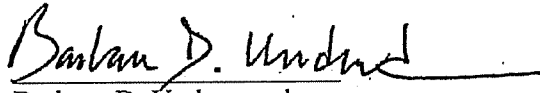
Lori Swanson  
Attorney General of Minnesota




Gurbir S. Grewal  
Attorney General of New Jersey



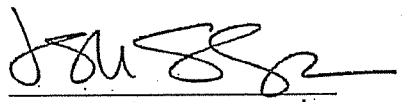
Hector Balderas  
Attorney General of New Mexico



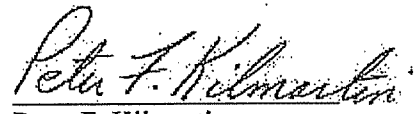
Barbara D. Underwood  
Attorney General of New York



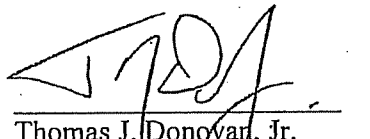
Ellen Rosenblum  
Attorney General of Oregon



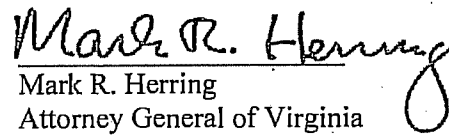
Josh Shapiro  
Attorney General of Pennsylvania



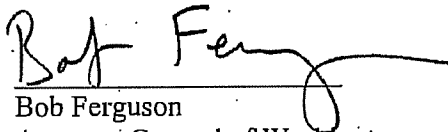
Peter F. Kilmartin  
Attorney General of Rhode Island



Thomas J. Donovan, Jr.  
Attorney General of Vermont



Mark R. Herring  
Attorney General of Virginia



Bob Ferguson  
Attorney General of Washington

## Diamond, Joshua

---

**From:** Aho, Brionna (ATG) <BrionnaF@ATG.WA.GOV>  
**Sent:** Thursday, August 2, 2018 8:17 PM  
**To:** Goldman, Jonathan Scott; Jaclyn.Severance@ct.gov; Robert.marus@dc.gov;  
Amy.Spitalnick@ag.ny.gov; emalie.gainey@state.ma.us; jillian.fennimore@state.ma.us;  
kristina.edmunson@doj.state.or.us; rcoombs@oag.state.md.us;  
Leland.Moore@njoag.gov; Jennifer Molina; Annie.Skinner@coag.gov;  
Carl.Kanefsky@state.de.us; James.W.Walther@hawaii.gov; pthompson@atg.state.il.us;  
lynn.hicks@ag.iowa.gov; benjamin.wogsland@ag.state.mn.us; lbrewer@ncdoj.gov;  
akempe@riag.ri.gov; Clark, Charity; Diamond, Joshua; CGomer@oag.state.va.us  
**Subject:** 3d-printed guns amended complaint  
**Attachments:** AmendedComplaint.pdf

Hi all,

I just wanted to make sure everyone was in the loop that the amended complaint has been filed. Also, it looks like our new date to hear the preliminary injunction motion is 8/21.

Welcome to all the new sign-ons!

Best regards,

Brionna

Brionna Aho  
Communications Director | Office of State Attorney General Bob Ferguson  
Office: 360-753-2727 | Cell: 360-338-2743 | Email: brionna.aho@atg.wa.gov  
1125 Washington Street SE, Mailstop 40100 | Olympia | WA | 98504

For the latest news from the AG's office, visit our website at [www.atg.wa.gov](http://www.atg.wa.gov) or follow us on Twitter and Facebook!

## Diamond, Joshua

---

**From:** Quackenbush, Margaret (AGO) <Margaret.Quackenbush@MassMail.State.MA.US>  
**Sent:** Friday, August 10, 2018 11:05 AM  
**To:** patricia.moscoso@doj.ca.gov; jennifer.molina@doj.ca.gov; tania.mercado@doj.ca.gov; Bethany.Lesser@doj.ca.gov; karen.white@cwagweb.org; Susan.Lustig@CWAGWeb.org; Annie.Skinner@coag.gov; Jacqlin.McKinnon@coag.gov; Jaclyn.Severance@ct.gov; Marrisa.Geller@dc.gov; Robert.Marus@dc.gov; David.Mayorga@dc.gov; andrew.phifer@dc.gov; Carl.Kanefsky@state.de.us; Carl.Kanefsky@state.de.us; pthompson@atg.state.il.us; eboyce@atg.state.il.us; mpossley@atg.state.il.us; eric.tabor@ag.iowa.gov; lynn.hicks@ag.iowa.gov; cathleen.white@ag.iowa.gov; melissa.oneal@maine.gov; rcoombs@oag.state.md.us; fschantz@oag.state.md.us; Laura.Fredrick@njoag.gov; Leland.Moore@njoag.gov; Sharon.Lauchaire@njoag.gov; dcarl@nmag.gov; jhallinan@nmag.gov; Amy.Spitalnick@ag.ny.gov; lbrewer@ncdoj.gov; nahmed@ncdoj.gov; nmadavilli@ncdoj.gov; kristina.edmuns@doj.state.or.us; jgrace@attorneygeneral.gov; msartoretto@attorneygeneral.gov; csimpson@attorneygeneral.gov; lhatalla@attorneygeneral.gov; akempe@riag.ri.gov; Diamond, Joshua; Clark, Charity; CGomer@oag.state.va.us; mkelly@oag.state.va.us; MaureenS@atg.wa.gov; BrionnaF@ATG.WA.GOV; lanC@atg.wa.gov; BethC@atg.wa.gov; AndreaP2@atg.wa.gov; DanJ1@atg.wa.gov; benjamin.wogsland@ag.state.mn.us  
**Subject:** RE: 3D-printed guns letter to DOS  
**Attachments:** Multistate Letter on 3D Printed Guns 08.10.18.pdf

Hello,

Please see the below template release with an embargo time of 12 p.m. EST. I've also attached the final letter. Let me know of questions.

Thanks and have a nice weekend!

**FOR IMMEDIATE RELEASE**  
**August 10, 2018**

**MEDIA CONTACT:**

### **COALITION OF 22 STATE ATTORNEYS GENERAL DEMAND THAT U.S. STATE DEPARTMENT STOP ONLINE SPREAD OF 3D-PRINTED GUN PLANS**

*Multistate Letter Urges Trump Administration to Take Immediate Action*

**DATeline** – A coalition of 22 state attorneys general led by Massachusetts Attorney General Maura Healey today sent a letter to U.S. Secretary of State Mike Pompeo and U.S. Attorney General Jeff Sessions demanding that the Department of State take immediate action to remove from several websites downloadable plans for 3D-printed guns that were illegally posted online.

The letter criticizes the Department of State's failure to mitigate the harms of its settlement with Defense Distributed, an online company that was authorized by the federal government to post plans for 3D-printed guns online. Last week, a multistate lawsuit filed by nine state attorneys general seeking to stop the Department of State's action won a temporary restraining order from a federal judge in Seattle, blocking the publication of downloadable plans online. Eleven other state AGs have since joined that lawsuit.

In the letter, the state attorneys general call on Secretary Pompeo and AG Sessions to take steps to ensure that Defense Distributed's files are not available to anyone, especially those who pose a threat to public safety.

Since the temporary restraining order was put in place, Defense Distributed removed files for 3D-printed guns posted on its website, but several other easily accessible websites have since re-posted these files online and the federal government has taken no apparent action to have them removed.

A multistate coalition sent a letter last week urging AG Jeff Sessions and Secretary Pompeo to withdraw from the settlement with Defense Distributed, writing that it recklessly disregards public safety. AG Sessions and Secretary Pompeo have yet to respond to the state AGs' concerns and have not indicated any willingness to confront the urgent public safety risk posed by 3D-printed firearms.

Joining AG Healey in today's coalition are state attorneys general from California, Colorado, Connecticut, Delaware, the District of Columbia, Hawaii, Illinois, Iowa, Maine, Maryland, Minnesota, New Jersey, New Mexico, New York, North Carolina, Oregon, Pennsylvania, Rhode Island, Vermont, Virginia, and Washington.

###

**From:** Quackenbush, Margaret (AGO)

**Sent:** Friday, August 10, 2018 9:38 AM

**To:** 'patricia.moscoso@doj.ca.gov' <patricia.moscoso@doj.ca.gov>; 'jennifer.molina@doj.ca.gov' <jennifer.molina@doj.ca.gov>; 'tania.mercado@doj.ca.gov' <tania.mercado@doj.ca.gov>; 'Bethany.Lesser@doj.ca.gov' <Bethany.Lesser@doj.ca.gov>; 'karen.white@cwagweb.org' <karen.white@cwagweb.org>; 'Susan.Lustig@CWAGWeb.org' <Susan.Lustig@CWAGWeb.org>; 'Annie.Skinner@coag.gov' <Annie.Skinner@coag.gov>; 'Jacqlin.McKinnon@coag.gov' <Jacqlin.McKinnon@coag.gov>; 'Jaclyn.Severance@ct.gov' <Jaclyn.Severance@ct.gov>; 'Marrisa.Geller@dc.gov' <Marrisa.Geller@dc.gov>; 'Robert.Marus@dc.gov' <Robert.Marus@dc.gov>; 'David.Mayorga@dc.gov' <David.Mayorga@dc.gov>; 'andrew.phifer@dc.gov' <andrew.phifer@dc.gov>; 'Carl.Kanefsky@state.de.us' <Carl.Kanefsky@state.de.us>; 'Carl.Kanefsky@state.de.us' <Carl.Kanefsky@state.de.us>; 'pthompson@atg.state.il.us' <pthompson@atg.state.il.us>; 'eboyce@atg.state.il.us' <eboyce@atg.state.il.us>; 'mpossley@atg.state.il.us' <mpossley@atg.state.il.us>; 'eric.tabor@ag.iowa.gov' <eric.tabor@ag.iowa.gov>; 'lynn.hicks@ag.iowa.gov' <lynn.hicks@ag.iowa.gov>; 'cathleen.white@ag.iowa.gov' <cathleen.white@ag.iowa.gov>; 'melissa.oneal@maine.gov' <melissa.oneal@maine.gov>; 'rcoombs@oag.state.md.us' <rcoombs@oag.state.md.us>; 'fschantz@oag.state.md.us' <fschantz@oag.state.md.us>; 'bitelya@michigan.gov' <bitelya@michigan.gov>; 'sellekj@michigan.gov' <sellekj@michigan.gov>; 'hawthornem1@michigan.gov' <hawthornem1@michigan.gov>; 'Laura.Fredrick@njoag.gov' <Laura.Fredrick@njoag.gov>; 'Leland.Moore@njoag.gov' <Leland.Moore@njoag.gov>; 'Sharon.Lauchaire@njoag.gov' <Sharon.Lauchaire@njoag.gov>; 'dcarl@nmag.gov' <dcarl@nmag.gov>; 'jhallinan@nmag.gov' <jhallinan@nmag.gov>; 'Amy.Spitalnick@ag.ny.gov' <Amy.Spitalnick@ag.ny.gov>; 'lbrewer@ncdoj.gov' <lbrewer@ncdoj.gov>; 'nahmed@ncdoj.gov' <nahmed@ncdoj.gov>; 'nmadavilli@ncdoj.gov' <nmadavilli@ncdoj.gov>; 'kristina.edmunson@doj.state.or.us' <kristina.edmunson@doj.state.or.us>; 'jgrace@attorneygeneral.gov' <jgrace@attorneygeneral.gov>; 'msartoretto@attorneygeneral.gov' <msartoretto@attorneygeneral.gov>; 'csimpson@attorneygeneral.gov' <csimpson@attorneygeneral.gov>; 'lhatalla@attorneygeneral.gov' <lhatalla@attorneygeneral.gov>; 'akempe@riag.ri.gov' <akempe@riag.ri.gov>; 'Joshua.Diamond@vermont.gov' <Joshua.Diamond@vermont.gov>; 'Charity.Clark@vermont.gov' <Charity.Clark@vermont.gov>; 'CGomer@oag.state.va.us' <CGomer@oag.state.va.us>; 'mkelly@oag.state.va.us' <mkelly@oag.state.va.us>; 'anola.duncan@doj.vi.gov' <anola.duncan@doj.vi.gov>; 'MaureenS@atg.wa.gov' <MaureenS@atg.wa.gov>; 'BrionnaF@ATG.WA.GOV' <BrionnaF@ATG.WA.GOV>; 'IanC@atg.wa.gov' <IanC@atg.wa.gov>; 'BethC@atg.wa.gov' <BethC@atg.wa.gov>; 'AndreaP2@atg.wa.gov' <AndreaP2@atg.wa.gov>; 'DanJ1@atg.wa.gov' <DanJ1@atg.wa.gov>

**Subject:** 3D-printed guns letter to DOS

Good morning,

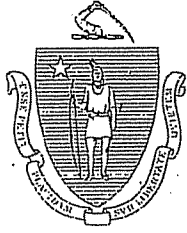
You are receiving this because your attorney general has signed on to a letter to Secretary of State Mike Pompeo and Attorney General Jeff Sessions demanding the Department of State take immediate action to remove from several

websites downloadable plans for 3D-printed guns. I will be in touch shortly with a template release, and we have set an embargo time for 12 p.m. EST.

Thanks and please let me know of any questions.

Meggie

Margaret Quackenbush  
Deputy Press Secretary  
Massachusetts Office of the Attorney General  
One Ashburton Place  
Boston, MA 02108  
o: 617-727-2543  
m: 781-445-9843  
[margaret.quackenbush@state.ma.us](mailto:margaret.quackenbush@state.ma.us)



MAURA HEALEY  
ATTORNEY GENERAL

THE COMMONWEALTH OF MASSACHUSETTS  
OFFICE OF THE ATTORNEY GENERAL

ONE ASHBURTON PLACE  
BOSTON, MASSACHUSETTS 02108

(617) 727-2200  
[www.mass.gov/ago](http://www.mass.gov/ago)

August 10, 2018.

The Honorable Mike Pompeo  
Secretary of State  
U.S. Department of State  
2201 C Street, NW  
Washington, DC 20520

The Honorable Jeff Sessions  
Attorney General  
U.S. Department of Justice  
950 Pennsylvania Avenue, NW  
Washington, DC 20530

Dear Secretary Pompeo and Attorney General Sessions,

We, the undersigned Attorneys General, write to follow up on our letter dated July 30, 2018, in which we expressed our grave concerns about the Department of State's settlement with Defense Distributed. Since writing to you last week, there have been significant developments, both in and out of court, yet we have not heard from either of you about your willingness to confront the urgent public safety risk posed by firearms that can be generated by use of a 3D printer.

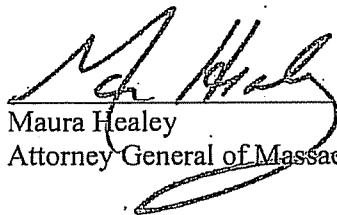
As you know, following execution of the settlement agreement with the Department of State, Defense Distributed posted several downloadable 3D gun files on its website, including files that had been previously identified as subject to the controls of the International Traffic in Arms Regulations. These files remained online even after the Attorneys General of New Jersey and Pennsylvania instituted enforcement actions against Defense Distributed under federal and state law. Only after a coalition of nine state attorneys general, led by the Attorney General of Washington state, and now joined by 11 additional state attorneys general, secured a temporary restraining order from the U.S. District Court in the Western District of Washington were the files removed from Defense Distributed's website. However, soon after their removal by Defense Distributed, the files re-appeared on other websites.

This is a manufactured crisis. The Department of State had won every stage of its litigation with Defense Distributed, yet conceded the case without consulting with Congress or the Department of Defense, as it was required to do, let alone with the White House. Now, the Department of State's inexplicable settlement with Defense Distributed has put lives at risk, including those of our law enforcement officers. As we mentioned in our prior letter, terrorists, criminals, and other individuals seeking to do harm now have access to the technical specifications necessary to print and manufacture dangerous firearms. Some of these weapons may even be undetectable by x-ray machines and magnetometers in places like airports, courthouses, and other government buildings; they are also untraceable by law enforcement. The federal government's actions have made it easier for violent criminals, transnational gangs, and other bad actors to develop, acquire, and conceal firearms, in violation of state and federal laws.

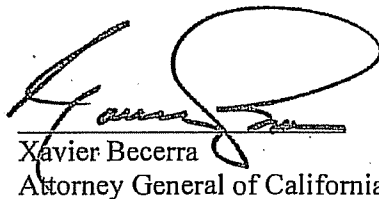
Communications from the White House have indicated that the Administration is reconsidering the wisdom of its handling of the Defense Distributed case. However, we have seen no evidence of any change in course to date. We are not aware of any efforts by the federal government to remove these and other downloadable 3D gun files from the Internet or to enforce federal law against those who have illegally posted these files. We will continue to do what lies within our authority to confront this public safety risk head on. Your swift action is needed as well.

We urge the Department of State to take immediate steps to ensure compliance with the Arms Export Control Act and International Traffic in Arms Regulations. There is no time to waste.

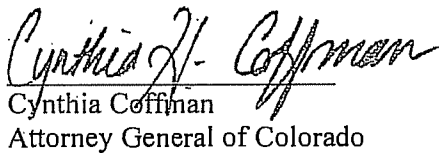
Sincerely,



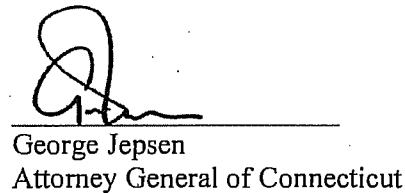
Maura Healey  
Attorney General of Massachusetts



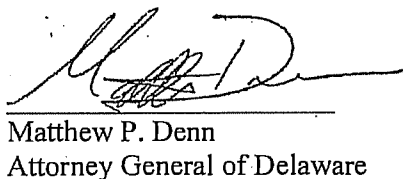
Xavier Becerra  
Attorney General of California



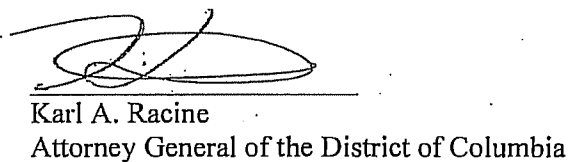
Cynthia Coffman  
Attorney General of Colorado



George Jepsen  
Attorney General of Connecticut

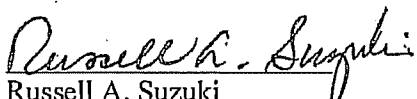


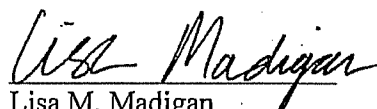
Matthew P. Denn  
Attorney General of Delaware

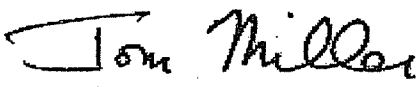


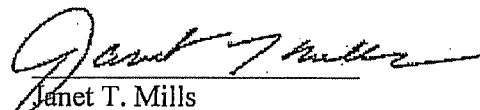
Karl A. Racine  
Attorney General of the District of Columbia

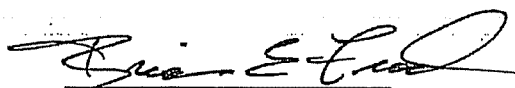


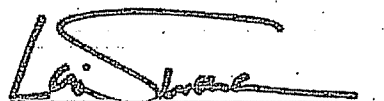
  
Russell A. Suzuki  
Attorney General of Hawaii

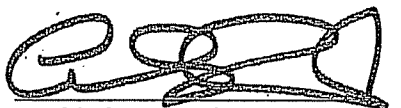
  
Lisa M. Madigan  
Attorney General of Illinois

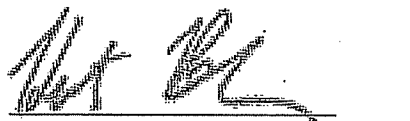
  
Thomas J. Miller  
Attorney General of Iowa

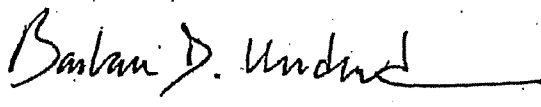
  
Janet T. Mills  
Attorney General of Maine


  
Brian E. Frosh  
Attorney General of Maryland

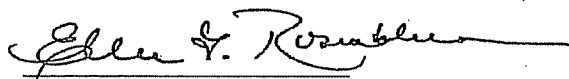
  
Lori Swanson  
Attorney General of Minnesota

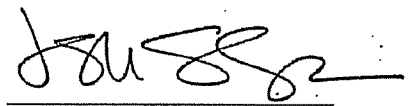
  
Gurbir S. Grewal  
Attorney General of New Jersey

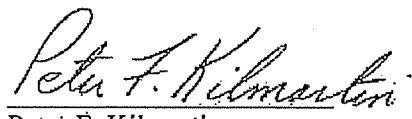
  
Hector Balderas  
Attorney General of New Mexico

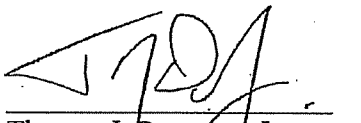
  
Barbara D. Underwood  
Attorney General of New York

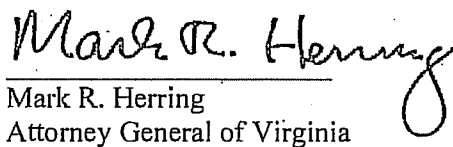
  
Joshua H. Stein  
Attorney General of North Carolina

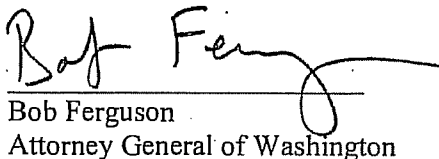
  
Ellen Rosenblum  
Attorney General of Oregon

  
Josh Shapiro  
Attorney General of Pennsylvania

  
Peter F. Kilmartin  
Attorney General of Rhode Island

  
Thomas J. Donovan, Jr.  
Attorney General of Vermont

  
Mark R. Herring  
Attorney General of Virginia

  
Bob Ferguson  
Attorney General of Washington

Cc: Stuart J. Robinson

## **Diamond, Joshua**

---

**From:** Kantor Henry <henry.kantor@doj.state.or.us>  
**Sent:** Friday, August 10, 2018 7:01 PM  
**To:** 'stuart.j.robinson@usdoj.gov'; 'mgoldstein@swlaw.com'; 'Joel.Ard@immixlaw.com'  
**Cc:** Kaplan Scott; 'Aaron Goldstein'; 'Abigail Wood'; 'Al Gilbert'; 'Andy Saindon'; 'Bart DeLone'; 'Beneski, Kristin (ATG)'; 'Battles, Benjamin'; 'Cynthia Hudson'; 'Dana Viola'; 'Eleanor Blume'; 'Spottswood, Eleanor'; 'Eric Haren'; 'Eric Tabor'; 'Jacob Campion'; 'Jeff Dunlap'; 'Jennifer Thomson'; 'Jeremy Feigenbaum'; 'Jerry Coyne'; 'Jimmy Rock'; 'Lydgate, Joanna (AGO)'; 'Miller, Jonathan (AGO)'; 'Jonathan Goldman'; 'Jones, Zach (ATG)'; 'Joseph Rubin'; 'Diamond, Joshua'; 'Kelli Evans'; 'Kim Berger'; 'Kim Massicotte'; 'Laura Stuber'; 'Lauren Sulcove'; 'Mark Beckington'; 'Matt Grove'; 'Maura Murphy Osborne'; 'Michael Field'; 'Nelson Richards'; 'Robert Nakatsuiji'; 'Robyn Bender'; 'Sam Towell'; 'Kaplan Scott'; 'Sprung, Jeff (ATG)'; 'Sripriya Narasimhan'; 'Steven Wu'; 'Rupert, Jeffrey (ATG)'; 'Bowers, Todd (ATG)'; 'Williams, Jennah (ATG)'; 'Shavit, Yael (AGO)'; 'Cc: Williams, Jennah (ATG)'; 'Webb, Mike (ATG)'; 'Bowers, Todd (ATG)'; 'Esquibel, Shane (ATG)'  
**Subject:** State of Washington v. United States Department of State, Case No. 2:18-cv-1115-RSL (W.D. Wash.)  
**Attachments:** 3D\_Guns\_1115\_PLD\_State\_s\_Motion\_for\_Relief\_from\_PHV\_Requirements.pdf

Dear Defense Counsel:

Greetings from Oregon. I am contacting you regarding your position on a procedural motion, which we would prefer to file as "unopposed" if possible.

On behalf of the plaintiff States, the State of Oregon is moving for relief from the pro hac vice requirements. Our draft motion is attached.

Essentially, we ask that state attorneys be relieved from seeking pro hac vice status in this multistate case, just as federal attorneys are in this District as well as across the country. In the alternative, we ask for relief from the requirement that local counsel have an office in the District.

Please take a look at the motion and let us know if you would like to talk. The person to call is Senior Assistant Attorney Scott J. Kaplan of this office (direct line 971-673-5037). If you respond with your position via email, please "reply to all."

Given the quick way this case is moving, I ask that you get back to us no later than 4 pm Pacific time on Tuesday, August 14. Thank you.

Sincerely, Henry Kantor

**Henry Kantor**  
Special Counsel to the Attorney General  
Oregon Department of Justice  
100 SW Market Street, Portland, OR 97201  
Desk: 971-673-3805  
Cell: 503-480-6399  
Email: henry.kantor@doj.state.or.us

\*\*\*\*\*CONFIDENTIALITY NOTICE\*\*\*\*\*

This e-mail may contain information that is privileged, confidential, or otherwise exempt from disclosure under applicable law. If you are not the addressee or it appears from the context or otherwise that you have received this e-mail in error, please advise me immediately by reply e-mail, keep the contents confidential, and immediately delete the message and any attachments from your system.

\*\*\*\*\*

**UNITED STATES DISTRICT COURT  
WESTERN DISTRICT OF WASHINGTON  
AT SEATTLE**

STATE OF WASHINGTON; STATE OF  
CONNECTICUT; STATE OF  
MARYLAND; STATE OF NEW JERSEY;  
STATE OF NEW YORK; STATE OF  
OREGON; COMMONWEALTH OF  
MASSACHUSETTS; COMMONWEALTH  
OF PENNSYLVANIA; DISTRICT OF  
COLUMBIA; STATE OF CALIFORNIA;  
STATE OF COLORADO; STATE OF  
DELAWARE; STATE OF HAWAII;  
STATE OF ILLINOIS; STATE OF IOWA;  
STATE OF MINNESOTA; STATE OF  
NORTH CAROLINA; STATE OF RHODE  
ISLAND; STATE OF VERMONT and  
STATE OF VIRGINIA,

Plaintiffs,

v.

UNITED STATES DEPARTMENT OF  
STATE; MICHAEL R. POMPEO, in his  
official capacity as Secretary of State;  
DIRECTORATE OF DEFENSE TRADE  
CONTROLS; MIKE MILLER, in his  
official capacity as Acting Deputy Assistant  
Secretary of Defense Trade Controls;  
SARAH HEIDEMA, in her official capacity  
as Director of Policy, Office of Defense  
Trade Controls Policy; DEFENSE  
DISTRIBUTED; SECOND AMENDMENT  
FOUNDATION, INC.; AND CONN  
WILLIAMSON,

Defendants.

NO. 2:18-cv-01115-RSL

PLAINTIFF STATE OF OREGON'S  
MOTION FOR RELIEF FROM PRO  
HAC VICE REQUIREMENTS

NOTE ON MOTION CALENDAR:  
August \_\_\_\_, 2018 (Same Day/Second  
Friday)

PLAINTIFF STATE OF OREGON'S  
MOTION FOR RELIEF FROM PRO HAC  
VICE REQUIREMENTS

1

Oregon Department of Justice  
100 SW Market St  
Portland, OR 97201  
Tel: (971) 673-1880 / Fax: (971) 673-5000

1 Pursuant to Rules 1 and 83(b) of the Federal Rules of Civil Procedure and Rule 83(d) of  
2 this Court's Local Civil Rules, plaintiff State of Oregon moves this Court for an order:

3 (1) Waiving the requirement that state government attorneys representing the  
4 plaintiff States seek and receive this Court's permission to participate in this case *pro hac vice*;

5 Or in the alternative if the complete waiver requested above is denied;

6 (2) Waiving the requirement that local counsel have a physical office within the  
7 geographic boundaries of this District.

8 This motion has been sent to attorneys representing all parties. No party objects to the  
9 relief requested. The plaintiff States, including the State of Washington, either agree with the  
10 relief requested or have no opposition, and may defer filing *pro hac vice* applications until this  
11 motion is decided. The defendants \_\_\_\_\_. No hearing is requested.

12 The State of Oregon respects this Court's authority and need to regulate the conduct of  
13 the attorneys appearing before it. In past cases in this District, the State of Oregon, by and  
14 through its Attorney General and Department of Justice, has sought and received permission to  
15 participate *pro hac vice*. Also, the attorney signing this motion for the State of Oregon is a  
16 member of the bar of this District (but does not have a physical office in this District).

17 This Court permits government attorneys representing the United States to obtain  
18 "conditional admission," not *pro hac vice* status, under LRC 83.1(c)(2). Other federal courts  
19 permit similar relief to *pro hac vice* requirements for federal attorneys. This makes good  
20 sense. Some courts extend that type of relief to attorneys representing states. See LR 83-4 (D.  
21 Or.).

22 Attorneys representing States are similarly situated to attorneys representing the United  
23 States. Most if not all are attorneys with their state's Department of Justice or Attorney  
24 General's Office and have been elected or appointed to offices such as Attorney General,  
25 Deputy Attorney General, Assistant Attorney General, Solicitor General or Special Counsel.  
26 They represent only their State, much as federal attorneys represent only the United States.

1 In recent years, the number of cases involving nationally significant issues in which  
2 multiple states have appeared as co-plaintiffs or co-defendants, often with the federal  
3 government on the other side, has multiplied. Some of these cases have been filed by the State  
4 of Washington in this district. The same is true for cases pending in many districts around the  
5 country. Processing many *pro hac vice* applications in such cases could be burdensome for the  
6 courts and their staff, with additional burdens on the States' Departments of Justice and  
7 Attorney General Offices.

8 This issue arose in *State of California v. Trump*, Case No. 17-cv-05895-VC (N.D. Cal.),  
9 which involved the cost saving reduction subsidy payments required under the Affordable Care  
10 Act. Multiple states, including Washington and Oregon, filed as co-plaintiffs. A telephone  
11 call was made to the staff of the Honorable Vince Chhabria inquiring whether the judge might  
12 be open to considering the waiver of the applicable *pro hac vice* requirements for the State  
13 Attorneys General, presumably by a motion similar to this motion. In response to that inquiry,  
14 without any specific motion or even discussion, Judge Chhabria added the following language  
15 to page 2 of his "Order Re Briefing" dated October 19, 2017: "Attorneys employed by the  
16 United States Department of Justice or a state Attorney General's office are exempt from the  
17 requirements of Civil Local Rule 11-3 regarding *pro hac vice* admission. Lawyers representing  
18 amici are exempt as well." A copy of that order is attached as Exhibit A.

19 There is nothing in the Federal Rules of Civil Procedure, this Court's Local Civil Rules  
20 or other controlling law which prohibits the requested relief. Therefore, the requested relief is  
21 permissible under Fed. R. Civ. P. 83(b). Further, the requested relief serves the purpose of all  
22 the rules of court: "to secure the just, speedy, and inexpensive determination of every action  
23 and proceeding." Fed. R. Civ. P. 1.

24 In the alternative, if the request to waive the *pro hac vice* requirements for the attorneys  
25 representing the plaintiff States is denied, the State of Oregon asks that the requirement that  
26 local counsel have an office in this District be waived. The Oregon Department of Justice has

1 many offices throughout Oregon but has none outside of Oregon. In order to retain local  
2 counsel with an office in this District (other than perhaps an attorney with the Washington  
3 Department of Justice), the Oregon Department of Justice would have to go through a process  
4 to designate the attorneys as a "special assistant attorney general" under Or. Rev. Stat.  
5 § 180.140(5). While that may be appropriate in a case in which the State of Oregon is the lead  
6 plaintiff, it is less so when another state is serving in that role. Other states could find similar  
7 burdens.

8 Senior Assistant Attorney General Scott J. Kaplan is a member of this Court's bar and  
9 is counsel of record in this case. However, Mr. Kaplan does not have an office in this District  
10 and therefore appears to not be qualified under the rules to serve as local counsel for any other  
11 attorney with the Oregon Department of Justice. This is true for every other attorney with the  
12 Oregon Department of Justice. This means that, for example, Special Counsel Henry Kantor  
13 cannot apply to participate *pro hac vice* using an attorney with the Oregon Department of  
14 Justice as local counsel.

15 Under the circumstances of this multistate case, the State of Oregon asks that the Court  
16 allow Mr. Kaplan to serve as local counsel for Mr. Kantor and any other attorney with the  
17 Oregon Department of Justice who seeks to participate *pro hac vice* in this case, and for the  
18 same relief as any other similarly situated plaintiff State. As above, there is nothing in the  
19 Federal Rules of Civil Procedure, this Court's local rules or other controlling law which  
20 prohibits the alternative relief requested and it is permissible under Fed. R. Civ. P. 1 and 83(b).

21 DATED August \_\_\_, 2018.

22  
23 STATE OF OREGON  
24 ATTORNEY GENERAL ELLEN F. ROSENBLUM  
OREGON DEPARTMENT OF JUSTICE

25 By

26 Scott J. Kaplan (WSBA # 49377)  
Senior Assistant Attorney General

PLAINTIFF STATE OF OREGON'S  
MOTION FOR RELIEF FROM PRO HAC  
VICE REQUIREMENTS

UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA

STATE OF CALIFORNIA, et al.,

Plaintiffs,

v.

DONALD J. TRUMP, et al.,

Defendants.

Case No. 17-cv-05895-VC

**ORDER RE BRIEFING**

In its brief, the Administration should make sure to address the following questions, in no particular order and wherever in the brief that it's convenient:

- If the Administration plans to argue that the states are barred from seeking emergency relief in this court by virtue of their participation in the D.C. case, it should explain how the states would be able to get their request for emergency relief adjudicated promptly in that case.
- It appears that the federal government is now failing to meet its legal obligation, under the ACA, to reimburse insurance companies for covering co-payments and deductibles for low-income people (either because Congress failed to appropriate the money needed for those payments, or because the Administration is refusing to make payments for which funds have been appropriated). Is there any reason to doubt that the insurance companies would prevail in a Tucker Act lawsuit to recover the required reimbursements? If the insurance companies could indeed recover the reimbursements in a Tucker Act lawsuit, how does that affect the analysis of the merits and the balance of harms in this case?



- It appears that rates already have been set for insurance that can be purchased on the exchanges beginning November 1, 2017. It also appears that some insurance companies raised their premiums in anticipation of the likelihood they no longer would be reimbursed for covering deductibles and co-payments for low-income people. Can the Administration provide a state-by-state breakdown (perhaps in a supporting declaration) explaining whether insurance companies have in fact already raised their rates based on the assumption that the reimbursements will stop? And how do we know that the increases are related to the reimbursement issue, as opposed to something else?
- How common is it for Congress to require (not just authorize, but require) expenditures by the executive branch without making a permanent appropriation for those expenditures? Please give as many examples as possible (understanding the constraints of the deadline). If there are examples of Congress requiring expenditures without making a permanent appropriation, are there also examples of Congress having failed to make annual appropriations for the required expenditures, or has Congress always made annual appropriations to satisfy the federal government's legal obligation to make the payments? Has there been any litigation on this issue?

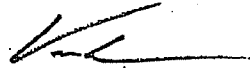
If the Administration needs to expand its brief to 35 pages to address these points, it may do so. It may also wait until noon Pacific time on Friday to file the brief. The states' reply brief can be up to 20 pages.

Incidentally, any amicus briefs are limited to 25 pages, and leave need not be sought to file an amicus brief so long as both sides consent to the filing.

Attorneys employed by the United States Department of Justice or a state Attorney General's office are exempt from the requirements of Civil Local Rule 11-3 regarding pro hac vice admission. Lawyers representing amici are exempt as well.

**IT IS SO ORDERED.**

Dated: October 19, 2017


  

---

VINCE CHHABRIA  
United States District Judge

**Diamond, Joshua**

**From:** Conference of Western Attorneys General <cwag@cwag.ccsend.com> on behalf of  
Conference of Western Attorneys General <slustig@cwagweb.org>  
**Sent:** Thursday, August 16, 2018 10:10 AM  
**To:** Diamond, Joshua  
**Subject:** CWAG Roundup August 16, 2018



Announcements, information and updates from CWAG Members and Associates

August 16, 2018

**HOT TOPICS**


Articles on topics relevant to the work of Attorneys General around the nation. (*Note: Subscription may be required for access.*)

**VAPING**  
Teens Hooked by Vaping: FDA Weighing a Ban on Flavored E-Cigarette Liquids

**FINTECH**  
States Spar with Trump Administration Over Fintech Oversight

**PRIVACY AND CIVIL LIBERTIES OVERSIGHT BOARD NOMINEES**  
RGS Urges Senate Judiciary Committee to Act Promptly on LeBlanc and Bamzai Nominations for the Privacy and Civil Liberties Oversight Board and the full Senate to Act on All Nominees

**HUMAN TRAFFICKING**



**AG Schimel Announces Arrest of Alleged Human Trafficker Operating in Wisconsin and 8 Other States**  
August 15, 2018

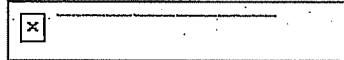
Attorney General Schimel announced the arrest of Glenwood Brown, Jr. of Chicago on charges involving human trafficking in at least nine states. The investigation was led by Human Trafficking Bureau agents from the Wisconsin Department of Justice (DOJ) Division of Criminal Investigation (DCI), with assistance from the FBI.

For more information about human trafficking visit [www.BeFreeWisconsin.com](http://www.BeFreeWisconsin.com).

**Press Release**

## **South Dakota Sex Trafficking Operation Results in Arrests**

August 14, 2018



Attorney General Marty Jackley, United States Attorney Ron Parsons, Pennington County State's Attorney Mark Vargo, Rapid City Police Chief Karl Jegeris, and Pennington County Sheriff Kevin Thom announced that the Division of Criminal Investigation, the South Dakota Internet Crimes Against Children (ICAC) Task Force, and Homeland Security Investigations have conducted investigations into sex trafficking in Rapid City.

"Law enforcement has joined together in a cooperative operation that focuses on removing sexual predators from our streets. Our operations continue to protect children and send a message that South Dakota is off-limits to anyone seeking to harm our children," said Jackley.

Attorney General Marty Jackley said Tuesday his office worked in conjunction with the Pennington County Sheriff's Office, Rapid City police, Sturgis police and the state Division of Criminal Investigation on the sting which took place during the Sturgis Motorcycle Rally.

Six men, ranging in age from 20 to 55, are charged with attempted enticement of a minor using the internet. The charge carries a minimum sentence of 10 years in prison upon conviction.

**Press Release**

## **3D-PRINTED GUNS**

### **Coalition of 22 State Attorneys General Demand That U.S. State Department Stop Online Spread of 3D-Printed Gun Plans**

*Multistate Letter Urges Trump Administration to Take Immediate Action*  
August 10, 2018



A coalition of 22 state attorneys general led by Massachusetts Attorney General Maura Healey sent a letter to U.S. Secretary of State Mike Pompeo and U.S. Attorney General Jeff Sessions demanding that the Department of State take immediate action to remove from several websites downloadable plans for 3D-printed guns that were illegally posted online.

The letter criticizes the Department of State's failure to mitigate the harms of its settlement with Defense Distributed, an online company that was authorized by the federal government to post plans for 3D-printed guns online. Last week, a multistate lawsuit filed by nine state attorneys general seeking to stop the Department of State's action won a temporary restraining order from a federal judge in Seattle, blocking the publication of downloadable plans online. Eleven other state AGs have since joined that lawsuit.

**Massachusetts Press Release**

**Letter**

Financial Times Article

Rhode Island Press Release

New Mexico Press Release

Maryland Press Release



## **Attorney General Becerra, Joining 19 AGs, Files Motion to Block Trump**

### **Administration's Green Light to Distribute Blueprints of 3D-Printed, Untraceable "Ghost" Guns**

August 10, 2018

California Attorney General Xavier Becerra joined a coalition of 20 Attorneys General in filing a motion for a preliminary injunction to continue blocking the Trump Administration's action making blueprints available on the internet for untraceable (so-called "ghost") guns that can be manufactured on a 3D printer. Attorney General Becerra also joined a letter expressing concerns about the U.S. Department of State's failure to enforce federal law to keep untraceable firearms out of the hands of terrorists, criminals, and others seeking to do harm.

Press Release

## **SECURITIES LITIGATION**

### **AG Balderas Seeks Lead Plaintiff Status in Lawsuit against PG&E to Recover Millions of Dollars in Losses to PERA Funds**

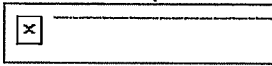
*At a time when state pension funds are facing extreme solvency issues, leaving New Mexican families unsure about their futures, the Attorney General is seeking recovery of nearly \$4 million critical to economic security of New Mexico's public employees.*

August 14, 2018

Attorney General Hector Balderas announced he has filed a motion seeking lead plaintiff status in securities litigation against Pacific Gas and Electric Company ("PG&E") to recover approximately \$4 million in losses suffered by the Public Employees Retirement Association ("PERA"), the State of New Mexico's largest public pension fund. The lawsuit alleges that the fund was severely damaged by PG&E's misstatements and omissions regarding the safety of their electrical lines that caused massive wildfires in Northern California in 2017, and resulted in severe losses to the company's stock, which PERA holds.

Press Release

## **FORENSIC DNA**



## **Attorney General Laxalt Praises "Cold Case" Murder Charges Filed Against Alleged Serial Murderer Christopher Ewing As a Result of Opinion Issued in 2016**

*Charges Against Nevada Inmate Follow AG Laxalt's 2016 Official Attorney General Opinion and Cross-Jurisdictional Collaboration with Colorado*  
August 10, 2018

Nevada Attorney General Adam Paul Laxalt issued the following statement concerning multiple newly filed cold case murder charges in Colorado against Nevada inmate Christopher Ewing. According to an affidavit for arrest warrant filed in Colorado, these cold case murders from 1984 were cracked using a DNA sample collected from Ewing as a result of a December 12, 2016 opinion by Attorney General Laxalt's Office. AG Laxalt's 2016 opinion clarifies that Senate Bill (SB) 243 applies all to convicted felons in Nevada's prisons—even felons convicted before the bill was signed into law.

Press Release

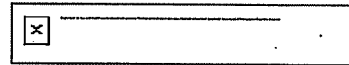
Affidavit

Opinion

## **STUDENT SAFETY**

### **Schuette Releases July OK2SAY Numbers, Encourages Schools to Sign Up for Fall Presentations**

August 13, 2018



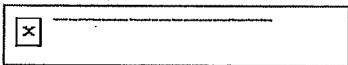
With the start of a new school year quickly approaching, Michigan Attorney General Bill Schuette is reminding students, parents, and teachers to continue using OK2SAY. The student safety program has been a great success — even in the summer months. In June and July, OK2SAY received 510 tips.

The highest number of tips for July are as follows:

- Suicide Threat – 61
- Cyberbullying – 15
- Self-harm – 12
- Threats – 12

Press Release

## **ENVIRONMENTAL PROTECTION**



### **Neurotoxic Pesticide**

August 9, 2018

**Court Agrees with Ferguson that EPA Cannot Allow Use of Dangerous**

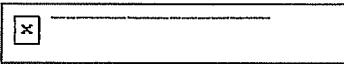
The U.S. Court of Appeals for the Ninth Circuit agreed with Attorney General Bob Ferguson that the Environmental Protection Agency improperly halted a scientific safety review of the neurotoxic pesticide chlorpyrifos, allowing the continued use of the pesticide at potentially dangerous levels.

The court reversed the EPA's decision to allow continued use of chlorpyrifos, and ordered the EPA to revoke all uses on food within 60 days. In its decision, the court wrote, "There was no justification for the EPA's decision in its 2017 order to maintain a tolerance for chlorpyrifos in the face of scientific evidence that its residue on food causes neurodevelopmental damage to children."

Press Release

Opinion

## SPONSOR SPOTLIGHT

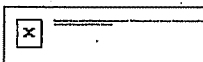


*Welcome to the Sponsor Spotlight, where each week CWAG will celebrate one of our private sector partners.*

We are the next generation of fintech. While some lenders rely upon a complex and inefficient network of third party providers to fill their business needs, at AWL all services are in-house, including customer support, risk/analytics, marketing, operations, project management and compliance. AWL, Inc. was created in October 2016 as the result of a merger with MacFarlane Group. This recent transaction will strengthen the company, open more avenues for our business and allow us to more effectively assist underbanked Americans.

AWL, Inc

## AI&D



### Updated American Indian Law Deskbook Is Now Available

The *American Indian Law Deskbook* is a concise, direct, and easy-to-understand handbook on Indian law. The chapter authors of this book are experienced state lawyers who have been involved in Indian law for many years.

*American Indian Law Deskbook* addresses the areas of Indian law most relevant to the practitioner.

Topics include:

- Definitions of Indians and Indian tribes
- Indian lands
- Criminal, civil regulatory, and civil adjudicatory jurisdiction
- Civil rights
- Indian water rights
- Fish and wildlife
- Environmental regulation
- Taxation

- Gaming
- Indian Child Welfare Act and tribal-state cooperative agreements

[Order Online](#)

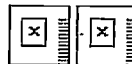
## AG ELECTION TRACKER

**Follow the 31 AG Races in 2018 on this Interactive Website**

A significant number of states, 30 and the District of Columbia, have contests for the Office of Attorney General. Cozen O'Connor's State Attorneys General Practice hosts an interactive map for those interested in following the races throughout the country. The State AG Election Tracker includes state-by-state AG candidate snapshots, filing deadlines and primary election dates; daily news, insights, polling and fundraising data; and the ability to sign up for real-time election-night updates via text and email. Access is free.

[State AG Elections](#)

CWAG | [INFO@CWAGWEB.ORG](mailto:INFO@CWAGWEB.ORG) | (916) 210-7640 | [WWW.CWAGWEB.ORG](http://WWW.CWAGWEB.ORG)



Conference of Western Attorneys General | 1300 I Street, Sacramento, CA 95814

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**Wemple, Doug**

---

**From:** Wemple, Doug  
**Sent:** Wednesday, August 01, 2018 11:01 AM  
**To:** [REDACTED]  
**Subject:** 3-D printed guns  
**Attachments:** 7.30.18 Multistate Letter re 3D Firearms Final.pdf

Hi Christina,

Thank you for contacting the Office of the Vermont Attorney General regarding 3-D printed guns. Our office is currently working with Attorney General Ferguson of Washington about joining the multi-state lawsuit.

On Monday, Attorney General Donovan joined 20 other Attorneys General and signed onto a letter addressed to Secretary of State Pompeo and Attorney General Sessions expressing concern about the State Department's settlement with Defense Distributed. I've attached a copy of Monday's letter for your review.

Our office will continue to monitor this closely and act appropriately. Please let me know if you have any further questions or concerns.

Sincerely,

Doug Wemple

**Original Message:**

Vermont should block the website with the printing plans. This is a shocking development, and it is NOT a first amendment issue. This is a huge public safety issue that does nothing to "form a more perfect union." Please take action to stop this plan and stop Cody Wilson. Thank you.

**Doug Wemple**  
Executive Assistant  
Vermont Attorney General's Office  
109 State Street - Montpelier, VT  
Office: (802)828-5515



MAURA HEALEY  
ATTORNEY GENERAL

THE COMMONWEALTH OF MASSACHUSETTS  
OFFICE OF THE ATTORNEY GENERAL  
ONE ASHBURTON PLACE  
BOSTON, MASSACHUSETTS 02108

(617) 727-2200  
(617) 727-4765 TTY  
[www.mass.gov/ago](http://www.mass.gov/ago)

July 30, 2018

The Honorable Mike Pompeo  
Secretary of State  
U.S. Department of State  
2201 C. Street, N.W.  
Washington, D.C. 20520

The Honorable Jeff Sessions  
Attorney General  
U.S. Department of Justice  
950 Pennsylvania Avenue, N.W.  
Washington, D.C. 20530

Dear Secretary Pompeo and Attorney General Sessions:

We, the undersigned Attorneys General, write to express our serious concern about the Department of State's settlement with Defense Distributed and the proposed rules (83 Fed. Reg. 24198; 83 Fed. Reg. 24166) published by the Department of State and the Department of Commerce to amend the International Trafficking in Arms Regulations. As the Chief Law Enforcement Officers of our states, we believe the settlement terms and proposed rules are deeply dangerous and could have an unprecedented impact on public safety. In addition to helping arm terrorists and transnational criminals, the settlement and proposed rules would provide another path to gun ownership for people who are prohibited by federal and state law from possessing firearms. Federal courts have recognized the danger of allowing these guns to be publicly available on the Internet, and this Administration has abruptly disregarded those rulings. We urge you to withdraw from the settlement and withdraw the proposed rules immediately, and allow full and fair consideration of any future proposed rules on these issues.

We believe the settlement and proposed rules will facilitate violations of federal and state laws, and will make Americans less safe from both domestic and international threats. For example, individuals who access the files posted by Defense Distributed (and similar files posted by others in the future) and use those files will be circumventing laws that regulate the

manufacture, sale, transfer, possession, and export of firearms. The Arms Export Control Act requires the federal government to reduce the international trade in, and lessen the burden of, arms abroad. Domestically, many of our states have carefully crafted regulatory regimes geared at preventing gun violence and protecting public safety. The Department of State's abrupt change in position seriously undermines the efficacy of those laws and creates an imminent risk to public safety.

As a result of the Department of State's settlement with Defense Distributed, terrorists, criminals, and individuals seeking to do harm would have unfettered access to print and manufacture dangerous firearms. Some of these weapons may even be undetectable by magnetometers in places like airports and government buildings and untraceable by law enforcement. Illegal trafficking of these guns across state and national borders could also increase, and self-made, unregistered, and untraceable firearms could easily wind up in the hands of (or simply be produced directly by) dangerous individuals.

The proposed rules would also transfer oversight of certain weapons and ammunition – which have long been considered “military grade” and are currently on the United States Munitions List – from the Department of State to the Department of Commerce. The settlement and proposed rules would facilitate the upload of files and other information sufficient to build unsafe and untraceable guns to the Internet. There would be unrestricted access, domestically and abroad, to large amounts of technical data that had previously been regulated to promote serious national security interests.

We agree with the argument that the Department of Justice and Department of State asserted for years in the lawsuit brought by Defense Distributed, before this abrupt reversal: that the release of these computer files of firearms would threaten national security and put our residents in danger.<sup>1</sup> For example, the Department of Justice wrote in its brief to the Fifth Circuit Court of Appeals, “[t]he computer data files at issue here, if made publicly available without restriction, would allow anyone with a 3-D printer (or related device) to create, at the touch of a button, parts and components for an operational firearm that is untraceable and undetectable by metal detectors. Because such printers are readily available, allowing the distribution of the computer files at issue here is tantamount to permitting the dissemination of firearms themselves.”<sup>2</sup> The settlement and the related proposed rules are inconsistent with the government's longstanding position and recklessly disregard public safety and security.

These rules, if finalized, and the settlement, if implemented, set a precedent that would endanger the lives of civilians, law enforcement, and members of the armed forces at home and

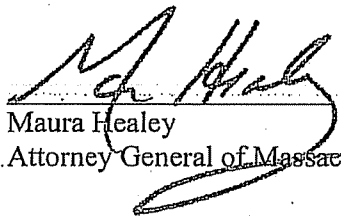
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<sup>1</sup> *Defense Distributed v. U.S. Dep't of State*, Case 1:15-cv-00372-RP, Defs.' Mot. Dismiss Second Am. Compl., at 1 (W.D. Tex. April 6, 2018).

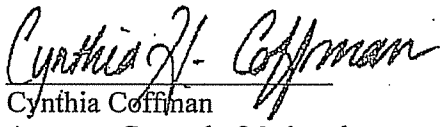
<sup>2</sup> Brief for Federal Appellees, 2016 WL 614088, Case No. No. 15-50759, at \*7 (5th Cir. 2016). In the same brief, the Department of Justice also wrote “[t]he availability of such firearms to foreign nationals, particularly if...attributable to the United States, could raise significant foreign policy and national security concerns....” *Id.* at \*1. The Department of Justice additionally asserted, “[i]f such a firearm were produced and ‘then used to commit an act of terrorism, piracy, assassination, or other serious crime,’ the United States could be held accountable, causing ‘serious and long-lasting harm to the foreign policy and national security interests of the United States.’” *Id.* at \*23 (quoting Aguirre Decl. ¶ 35(a) [ROA.571]).

abroad. We urge you to withdraw from the settlement immediately. The status quo – which currently ensures public safety and national security by prohibiting publication of firearm design files on the Internet – should be maintained. Any rulemaking on these issues should not be tied to a specific settlement agreement and should be subject to full and fair rulemaking proceedings, so that all stakeholders may provide input into the rules in the interest of public safety.

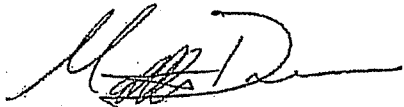
Sincerely,



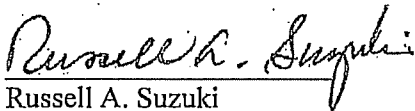
Maura Healey  
Attorney General of Massachusetts



Cynthia Coffman  
Attorney General of Colorado



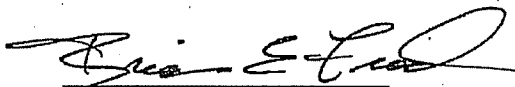
Matthew P. Denn  
Attorney General of Delaware



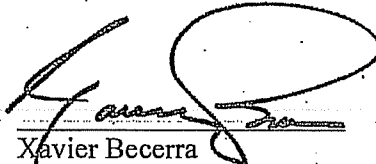
Russell A. Suzuki  
Attorney General of Hawaii



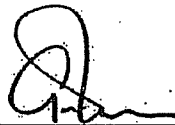
Thomas J. Miller  
Attorney General of Iowa



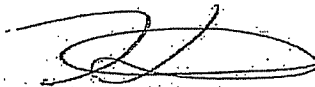
Brian E. Frosh  
Attorney General of Maryland



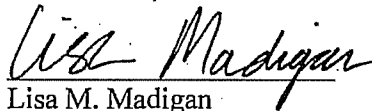
Xavier Becerra  
Attorney General of California



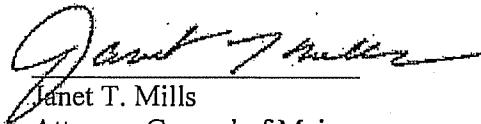
George Jepsen  
Attorney General of Connecticut



Karl A. Racine  
Attorney General of the District of Columbia



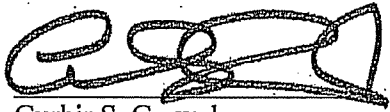
Lisa M. Madigan  
Attorney General of Illinois



Janet T. Mills  
Attorney General of Maine



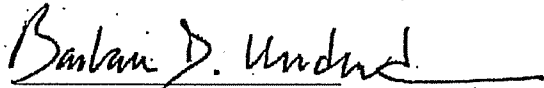
Lori Swanson  
Attorney General of Minnesota



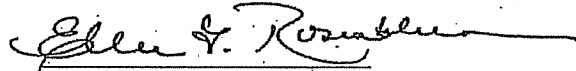
Gurbir S. Grewal  
Attorney General of New Jersey



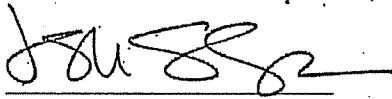
Hector Balderas  
Attorney General of New Mexico



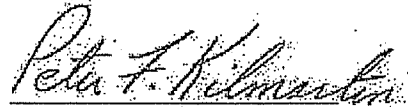
Barbara D. Underwood  
Attorney General of New York



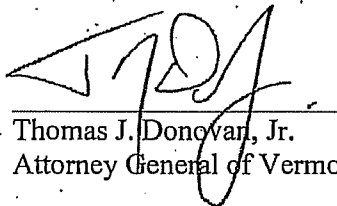
Ellen Rosenblum  
Attorney General of Oregon



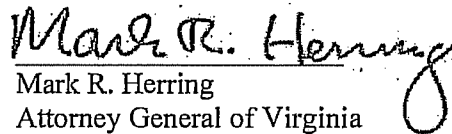
Josh Shapiro  
Attorney General of Pennsylvania



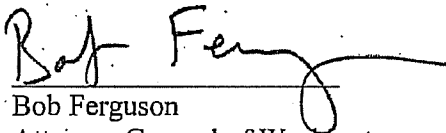
Peter F. Kilmartin  
Attorney General of Rhode Island



Thomas J. Donovan, Jr.  
Attorney General of Vermont



Mark R. Herring  
Attorney General of Virginia



Bob Ferguson  
Attorney General of Washington