

1.0 SCOPE

- 1.1. This SOP describes the process for obtaining and documenting informed consent, assent and parental permission from individuals involved in research involving human subjects as defined in CCHMC Research Policy R-03, when the individual are not physically present for the consenting process.
- 1.2. This SOP applies to the informed consent process of research only; it does not replace any informed consent requirements related to standard medical treatment.

2.0 PROCEDURES

- 2.1. **This SOP supplement SOP 41-1.4 – Informed Consent Process and Documentation and describes additional requirements to the standard informed consent process.**
- 2.2. **Obtaining informed consent/assent/parental permission from individuals who are not physically present for the consenting process**
 - 2.2.1. Unless the IRB approved otherwise, the following process for obtaining consent in this circumstance should proceed as described in SOP 41-1.4 with the following exceptions.
 - 2.2.1.1. Prior to obtaining informed consent, the researcher must ensure that the individual has received complete copies of all information related to the informed consent process (i.e. consent/assent/parental permission forms, information sheets, etc)
 - 2.2.1.2. The consent discussion should take place directly with the individual via conference call, video conference, web conference, etc.
- 2.3. **Documentation of consent/assent/parental permission from individuals who are not physically present for the consenting process**
 - 2.3.1. Unless the IRB has approved otherwise, the following process for documentation of consent should be completed at the time consent is obtained:
 - 2.3.1.1. The participant/LAR signs and dates the consent form on the appropriate line.
 - 2.3.1.2. The participant/LAR electronically transfers a copy of the signed and dated document to the research team via fax or email.
 - 2.3.1.3. The person authorized to obtain the consent signs and dates the form on the appropriate line.
 - 2.3.1.4. The method/process used to obtain the consent/assent/parental permission is documented on the signature page of the consent form as well as in the informed consent progress note.
 - 2.3.2. The signed and dated consent form should be distributed per ORCRA SOP 41-1.4.
- 2.4. **Requirements for additional IRB review and approval**
 - 2.4.1. A deviation from this process without specific IRB approval constitutes a protocol violation. The researcher should consider whether it is likely that compliance with the above process will be possible (i.e. the participant/LAS will not have access to fax or email) and that researcher should consult the IRB to establish an acceptable alternative process.

3.0 REFERENCES

- 3.1. CCHMC Research Policy R-03
- 3.2. ORCRA SOP 41-1.4
- 3.3. CCHMC ORCRA Guidance Informed Consent: Process, Elements, Documentation, & Waivers
- 3.4. 21 CFR 50 Protection of human subjects
- 3.5. 21 CFR 56 Institutional Review Boards
- 3.6. 21 CFR 312 Investigational new drug applications

4.0 APPROVAL

Approved electronically via Compliance360

REVISION HISTORY	
Original Date	July 1, 2009
Revision Date	June 1, 2013
No changes. Management review.	