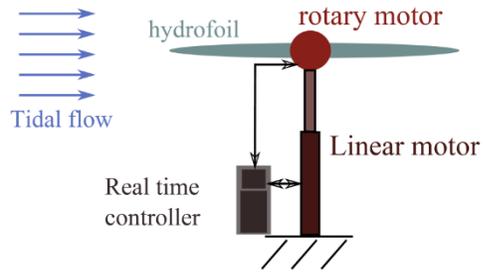


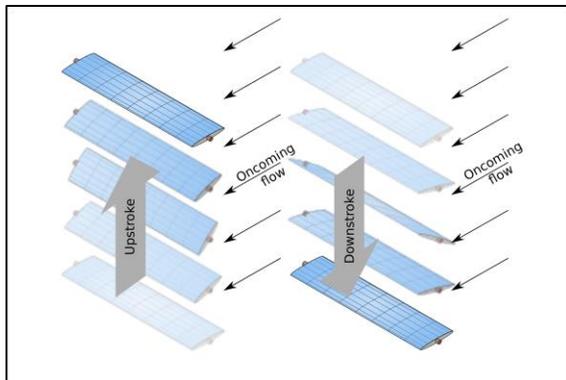
Ryan Umstatt  
Deputy Director of Commercialization (Acting)  
Advanced Research Projects Agency - Energy

***Changing What's Possible***

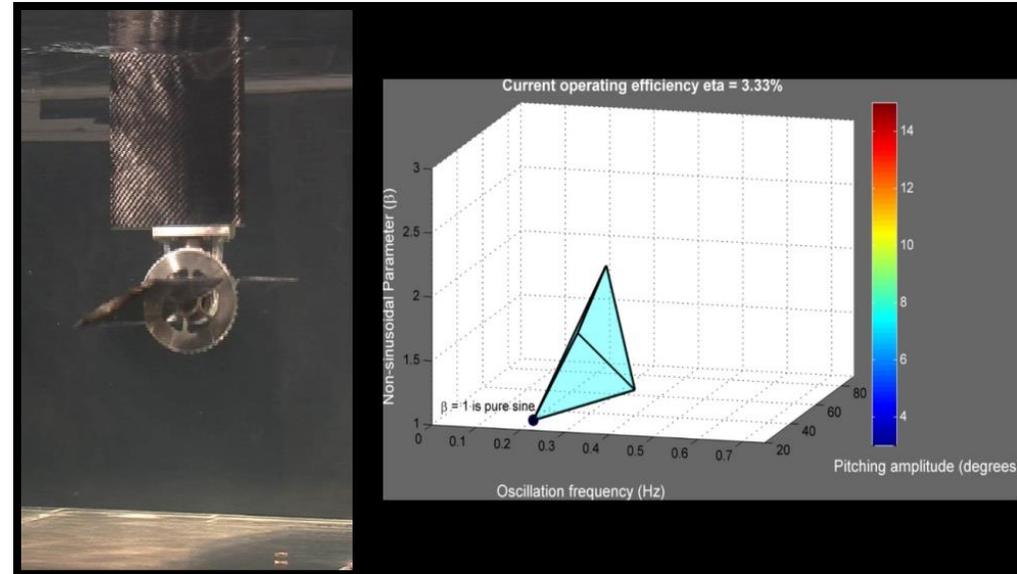
# Brown University – Auto-adaptive Tidal Power Conversion



1. Active control of two-degrees of freedom of the hydrofoil.
2. Real-time measurement & optimization of extracted power.
3. Continuously learn and adapt to current environment.

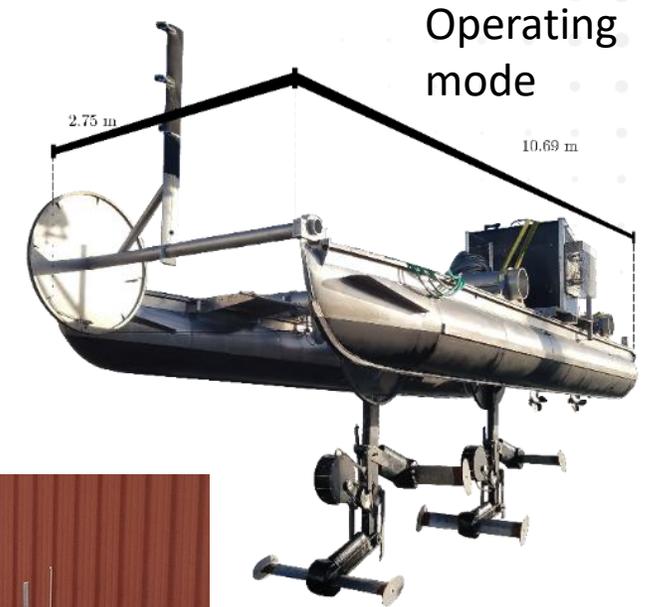
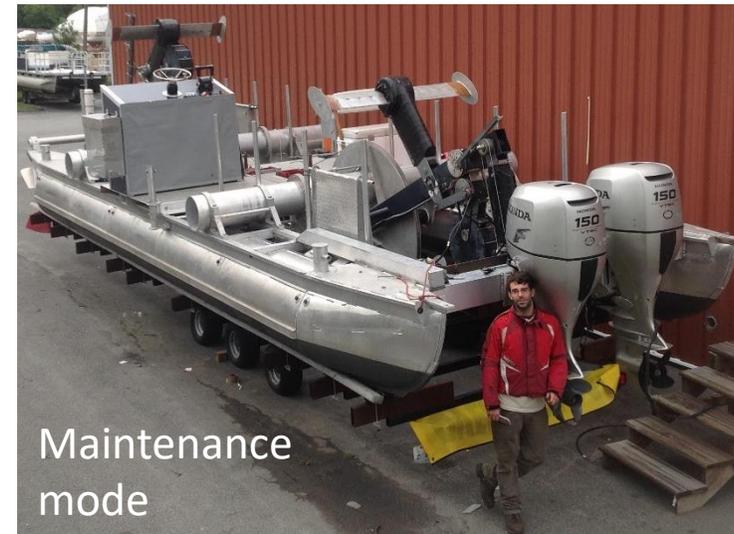


Basic mechanism: Orient hydrofoil so that lift always points in the direction of motion



# Portable, Resilient Power

- Install multiple 50 kW units to achieve desired capacity
- Floating design facilitates deployment and accessibility
- Swing arm allows for easy service of wet components
- Electronics and critical components are located above water
- Modular hydrofoil design allows for quick swapping of hydrofoils



# ARPA-E Mission

**Mission:** To overcome long-term and high-risk technological barriers in the development of energy technologies

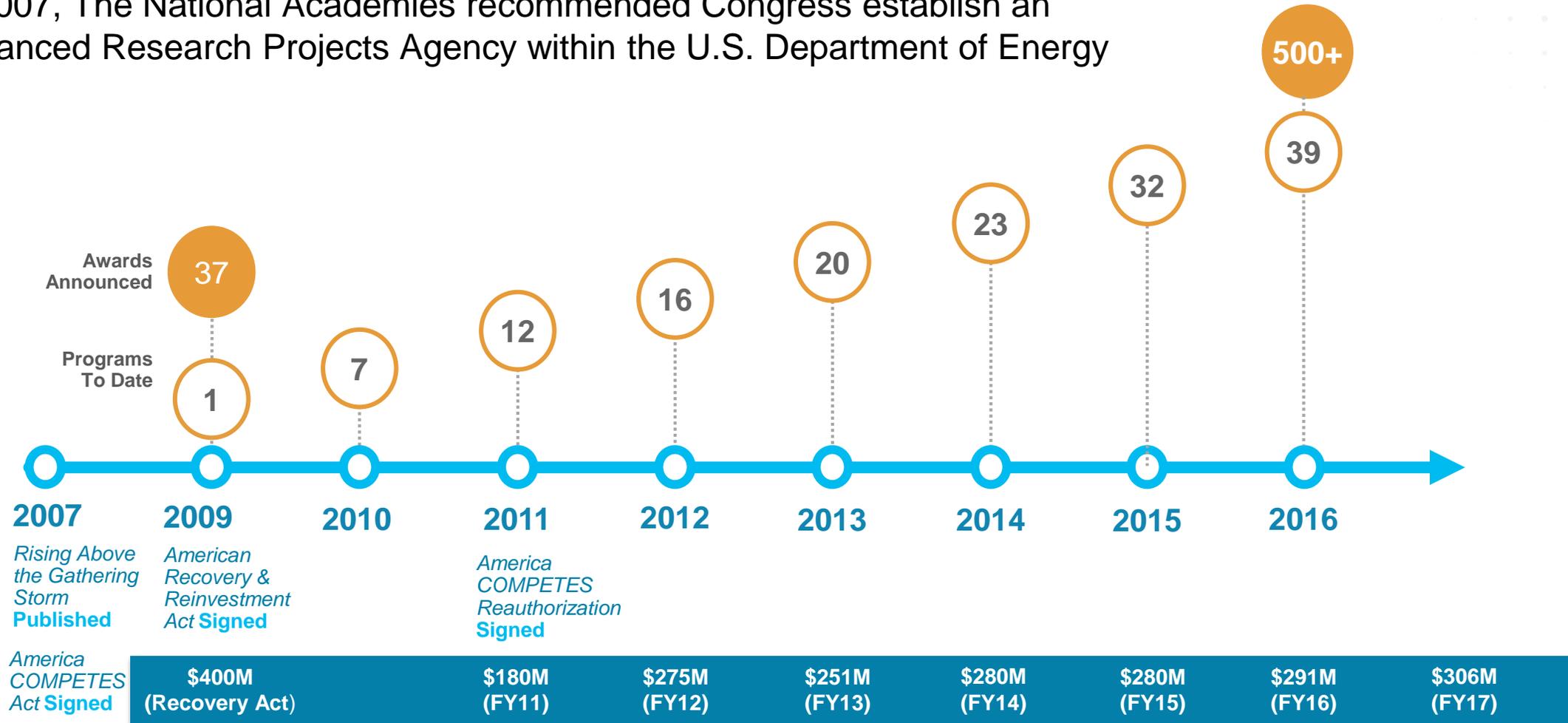


## Means:

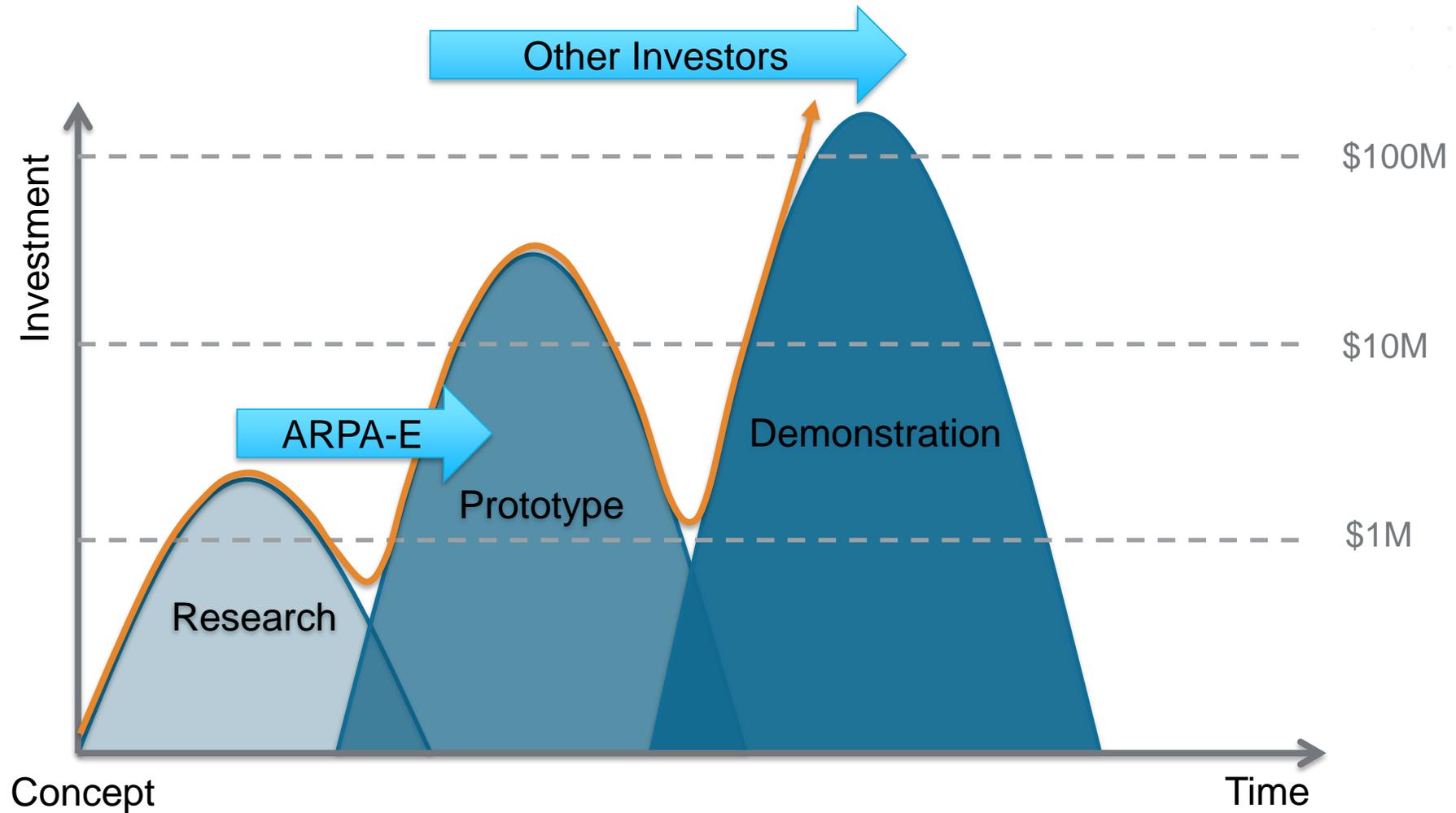
- Identify and promote revolutionary advances in fundamental and applied sciences
- Translate scientific discoveries and cutting-edge inventions into technological innovations
- Accelerate transformational technological advances in areas that industry by itself is not likely to undertake because of technical and financial uncertainty

# Our History

In 2007, The National Academies recommended Congress establish an Advanced Research Projects Agency within the U.S. Department of Energy

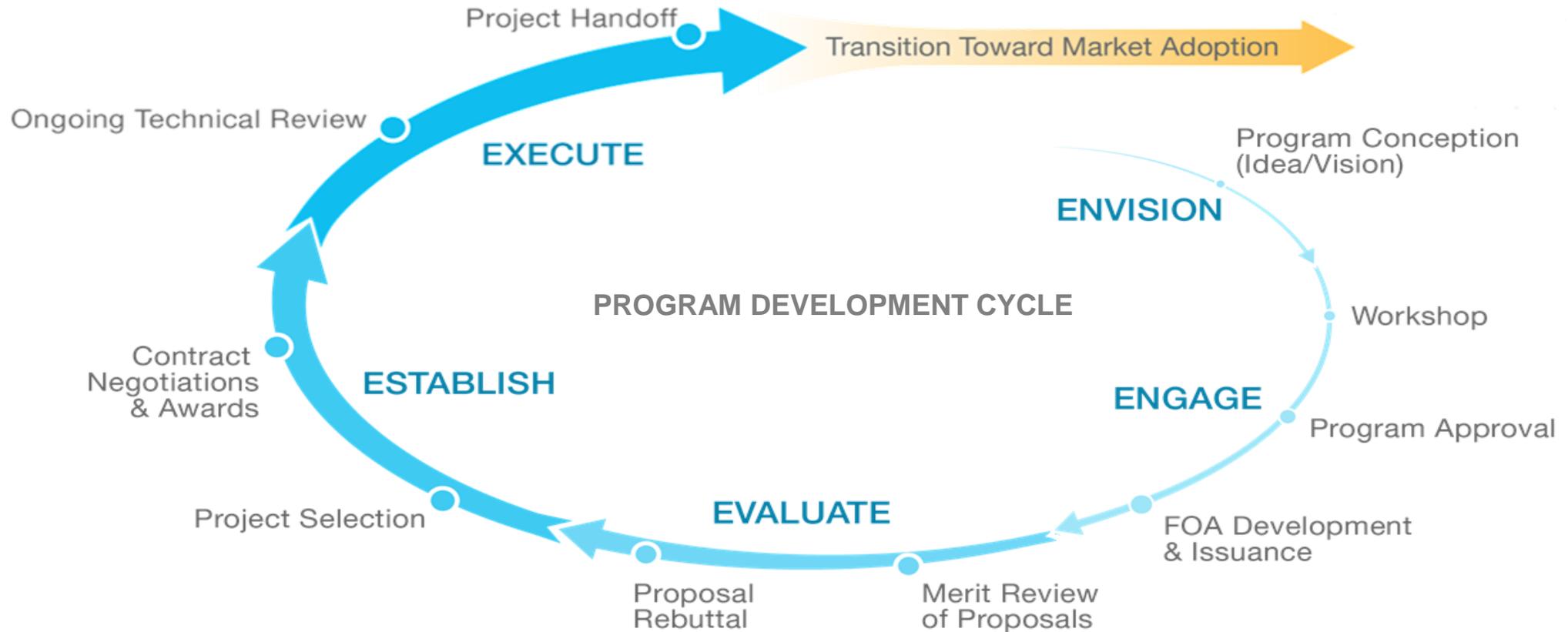


# Energy Technology “Mountains of Opportunity”

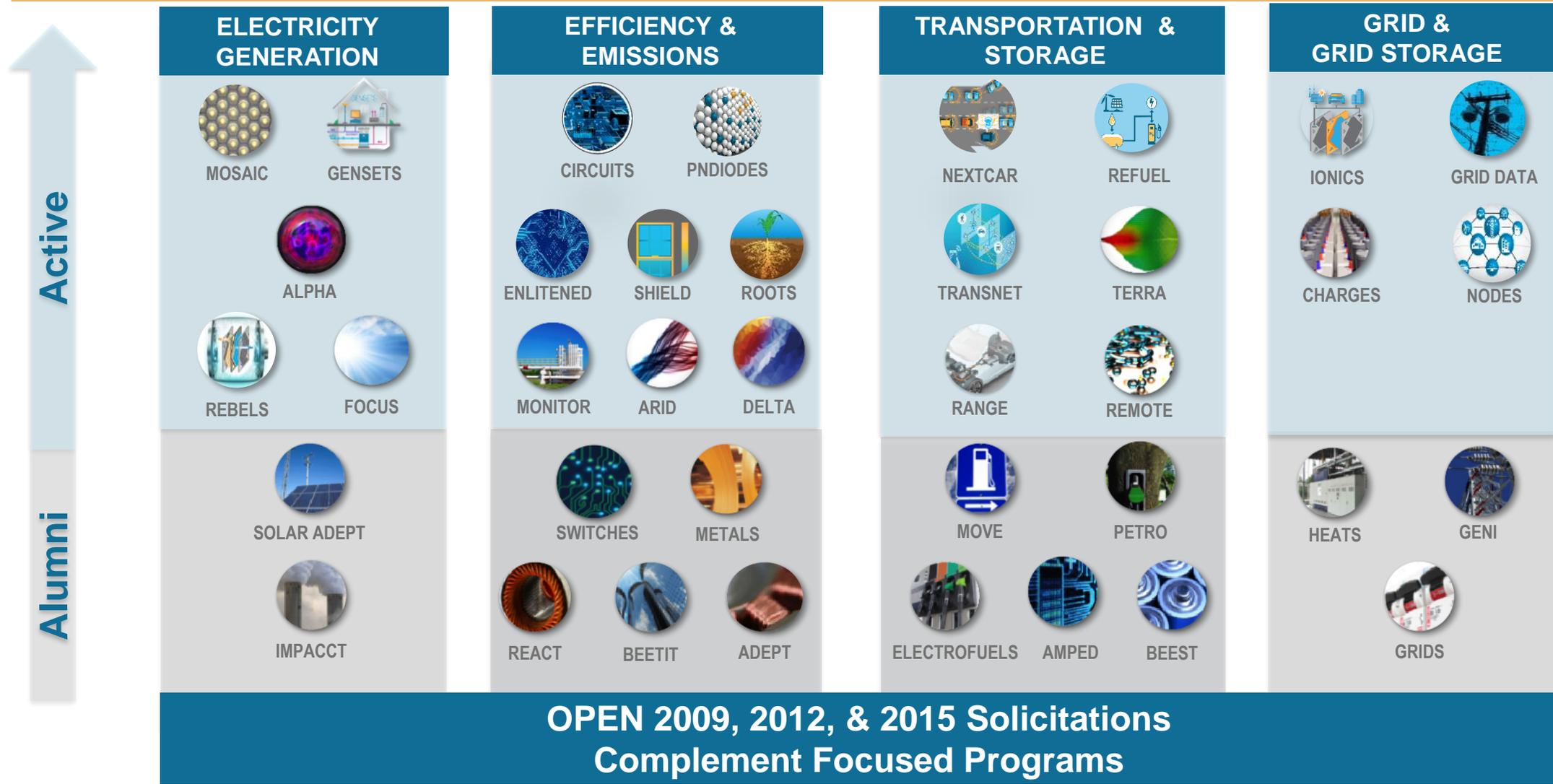


# Developing Our Focused Programs

## ARPA-E Program Directors



# Program Portfolio

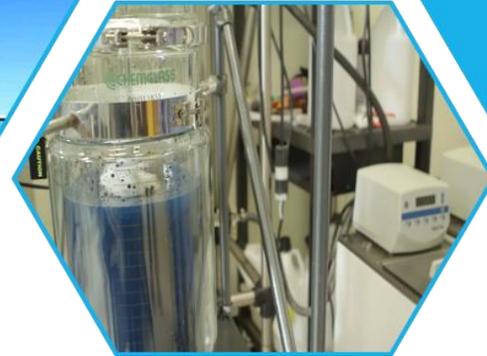


# OPEN Solicitations Supplement Portfolio

**OPEN  
2018**



**We are looking for  
the best ideas and  
teams to  
transform our  
energy future**



If it works...

***will it matter?***

# Impact Indicators

Since 2009  
ARPA-E has  
provided

**\$1.5 billion**

in R&D funding to  
more than **580 projects**



**56 projects**

have formed  
**new  
companies**



**1,328**

peer-reviewed  
**journal articles**  
from ARPA-E  
projects



**68 projects**

have **partnered**  
**with other**  
**government**  
**agencies**  
to further  
development

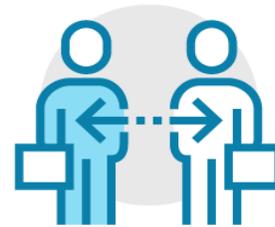


**74 Projects**

have attracted  
more than

**\$1.8 billion**

in private-sector follow-on funding



**208**  
**patents**

issued by U.S.  
Patent and  
Trademark Office



# Impact Requires Work Both In and Out of the Lab

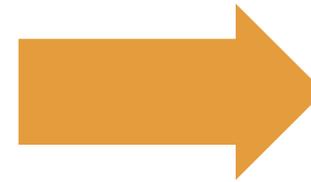
## Work in the lab is critical

- Core of innovation
- Discovery
- Ideas are tested and proven to work



## Beyond the lab work is required for solving big problems

- Provides direction for lab experimentation
- Validates the impact and viability of lab work
- Generates resources needed in the lab



# Tech-to-Market: Preparing Teams for Success



## Scope

Support creation of highly innovative, commercially-relevant programs



## Manage

Manage project teams' T2M efforts through T2M plans and jointly developed milestones



## Advise

Support project teams with skills & knowledge to align technology with market needs



## Partnerships

Engage third-party investors and partners to support technology development towards the market

# An Evidence-based Approach

**TEA**  
(How much does it cost to make and why?)

**Customer Discovery**  
(Who would buy it, why, and for how much?)

**Product Hypothesis**  
(What will you make? What does it do?)

**IP Plan**  
(Can you legally make it? Can others legally make it?)

**T2M**

**Follow on Funding**  
(Who will finance it?)

**Value Chain Analysis**  
(Who's involved in it?)

**Scaling**  
(How would you make many?)

**Market Analysis**  
(Who's currently buying and consuming it?)

# Our Tech-to-Market Team



*Constant feedback between engagement and management efforts brings value to ARPA-E awardees*

# Tech-to-Market Plan

Product Hypothesis

Intellectual Property Strategy

Manufacturing and Scalability

Value Chain Analysis

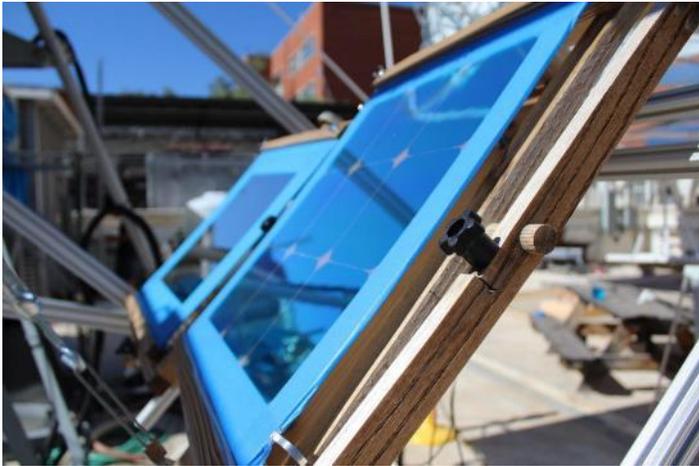


## TECHNOLOGY TO MARKET PLAN Template and Instructions

*Rev. 4/30/14*

# Tech Focus: Solar Energy

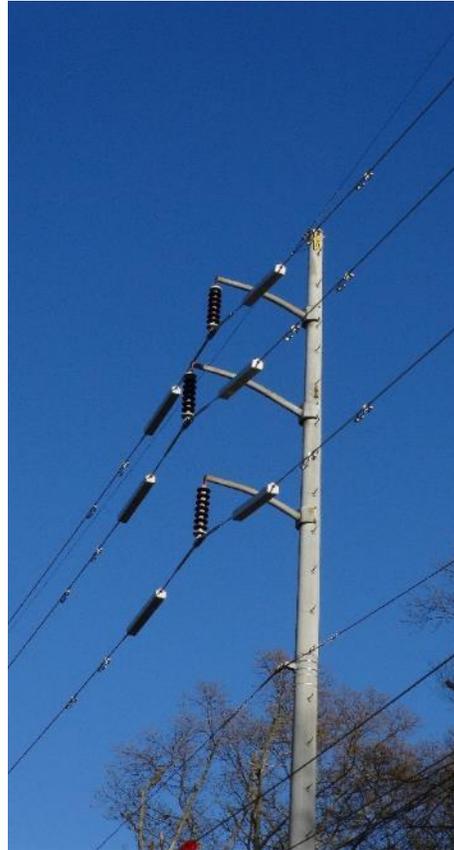
ARPA-E has funded innovative solar energy technologies in specific, targeted areas, including power electronics for solar applications, hybrid conversion systems, and microscale CPV.



Since 2009, ARPA-E has provided over **\$142 million** in R&D funding to **59 active projects** in solar energy.

# Tech Focus: Grid Management

ARPA-E has funded a broad range of technologies including software and hardware solutions designed to build the grid of tomorrow.



Since 2009, ARPA-E has provided over **\$135 million** in R&D funding to **50 projects** under several focused programs in **grid management**.

# Tech Focus: Natural Gas

ARPA-E has funded a broad range of technologies including conformable compressed natural gas tanks, methane-to-liquid processes, combustion engines and fuel cells to generate on-site electricity, and technologies to monitor costly methane leaks.



Since 2009, ARPA-E has provided over **\$222 million** in R&D funding to **82 projects** related to **natural gas** use under several focused programs.

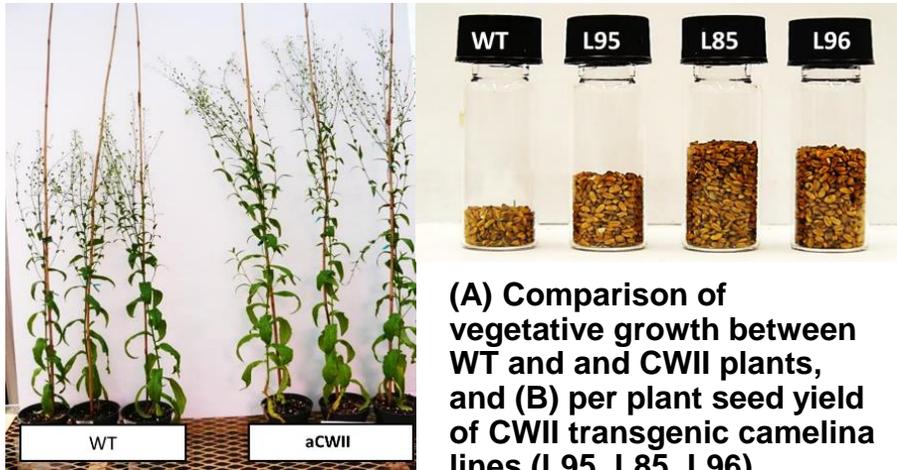
# Tech Focus: Energy Storage

ARPA-E has funded a broad range of battery technologies, including flow batteries, metal-air chemistries, and advanced lithium-ion, for both grid-scale storage and transportation.



Since 2009, ARPA-E has provided over **\$257 million** in R&D funding to **102 projects** in energy storage.

# Project Spotlight: NC State



(A) Comparison of vegetative growth between WT and aCWII plants, and (B) per plant seed yield of CWII transgenic camelina lines (L95, L85, L96).

<b>Program</b>	PETRO
<b>Program Director</b>	Dr. Joe Cornelius
<b>Technology</b>	Optimized Biofuel Crops
<b>Location</b>	Raleigh, NC

## Summary

- NC State produced economic models demonstrating that increasing oil yield in Camelina seeds by 70%—the level observed in the greenhouse with experimental plants—could more than triple farmers' profits

## Highlights

- The team engineered the gene to increase sugar available for biomass production and seed yield, which led to Camelina with higher vegetative biomass (greater than 20%), higher rates of photosynthesis and increased seed yield (40-80%)
- ARPA-E encouraged NC State to work with PETRO team UMass. The two then worked with commercial partner, Metabolix, in field trials.

# Project Spotlight: RTI International



**RTI's bench-scale solvent testing unit**

<b>Program</b>	IMPACCT
<b>Program Director</b>	Dr. Ping Liu
<b>Technology</b>	CO <sub>2</sub> Capture
<b>Location</b>	Research Triangle Park, NC

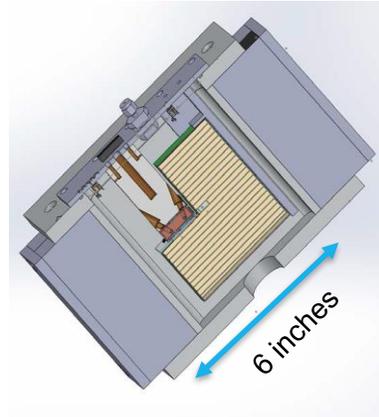
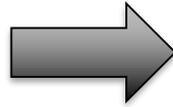
## Summary

- The RTI International team has demonstrated significant potential to lower the cost of carbon capture in coal-fired power plants compared with the incumbent amine treatment process

## Highlights

- RTI developed a non-aqueous solvent (NAS) that could potentially reduce the energy required for carbon capture by 20-30%
- RTI received additional federal funding from NETL to scale the RTI NAS process up to a 60 kW facility in collaboration with SINTEF, a Norwegian research company
- The SINTEF facility is being outfitted with a multiburner that allows, for the first time, testing of NAS in coal-derived flue gas

# Project Spotlight: Duke University



## Summary

- Duke University is developing a coded aperture miniature mass spectrometer environmental sensor (CAMMS-ES) for use in a methane monitoring system.

## Highlights

- Miniaturizing a mass spectrometer utilizing microfabrication and aperture coding
- High selectivity measurements at short detection times for methane as well as VOCs (such as benzene, C2-C7)
- Capable of thermogenic vs. biogenic differentiation
- Developing advanced search/location algorithms for optimum sampling

<b>Program</b>	MONITOR
<b>Program Director</b>	Dr. Joe King
<b>Technology</b>	Coded Aperture Miniature Mass Spectrometer for Methane
<b>Location</b>	Durham, NC

# Why Work at ARPA-E?



## CONTRIBUTE TO A BETTER ENERGY FUTURE

Work towards creating a more efficient, more secure energy future



## WORK IN DIVERSE TECH AREAS

At ARPA-E you'll have the opportunity to work with a diversity of energy issues and explore new fields



## JOIN OUR INNOVATIVE STARTUP-LIKE CULTURE

ARPA-E is a fast-paced, action-oriented Agency



## COLLABORATE WITH OTHER EXPERTS

Work with other experts from many different disciplines who are devoted to creating a better energy future

If you are interested in applying or learning more, please email [arpa-e-jobs@hq.doe.gov](mailto:arpa-e-jobs@hq.doe.gov).



U.S. DEPARTMENT OF  
**ENERGY**

<https://arpa-e.energy.gov>

Contact: Dr. Ryan Umstatt  
Ryan.Umstatt@Hq.Doe.Gov